

# Getting Started with Berkeley DB Java Edition



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# Preface

Welcome to Berkeley DB Java Edition (JE). This document introduces JE, version 1.7. It is intended to provide a rapid introduction to the JE API set and related concepts. The goal of this document is to provide you with an efficient mechanism with which you can evaluate JE against your project's technical requirements. As such, this document is intended for Java developers and senior software architects who are looking for an in-process data management solution. No prior experience with Sleepycat technologies is expected or required.

## Conventions Used in this Book

The following typographical conventions are used within in this manual:

Class names are represented in `monospaced font`, as are method names. For example: "The `Environment.openDatabase()` method returns a `Database` class object."

Variable or non-literal text is presented in *italics*. For example: "Go to your *JE\_HOME* directory."

Program examples are displayed in a `monospaced font` on a shaded background. For example:

```
import com.sleepycat.je.Environment;

...

// Open the environment. Allow it to be created if it does not already exist.
Environment myDbEnv;
```

In some situations, programming examples are updated from one chapter to the next. When this occurs, the new code is presented in `monospaced bold font`. For example:

```
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;
import java.io.File;

...

// Open the environment. Allow it to be created if it does not already exist.
Environment myDbEnv;
EnvironmentConfig envConfig = new EnvironmentConfig();
envConfig.setAllowCreate(true);
myDbEnv = new Environment(new File("/export/dbEnv"), envConfig);
```

 Finally, notes of interest are represented using a note block such as this.



---

# Chapter 1. Introduction to Berkeley DB Java Edition

Welcome to Berkeley DB Java Edition (JE). JE is a general-purpose, transactionally protected, embedded database written in 100% Java (JE makes no JNI calls). As such, it offers the Java developer safe and efficient in-process storage and management of arbitrary data.

JE requires Java J2SE 1.4.2 or later.

## Features

JE provides an enterprise-class Java-based data management solution. You use JE through a series of Java APIs. All you need to get started is to add a single jar file to your application's classpath. See [Getting and Using JE \(page 8\)](#) for more information.

JE offers the following major features:

- Large database support. JE databases efficiently scale from one to millions of records. The size of your JE databases are likely to be limited more by hardware resources than by any limits imposed upon you by JE.

Databases are described in [Databases \(page 17\)](#).

- Multiple thread and process support. JE is designed for multiple threads of control. Both read and write operations can be performed by multiple threads. JE uses record-level locking for high concurrency in threaded applications. Further, JE uses timeouts for deadlock detection to help you ensure that two threads of control do not deadlock indefinitely.

Moreover, JE allows multiple processes to access the same databases. However, in this configuration JE requires that there be no more than one process allowed to write to the database. Read-only processes are guaranteed a consistent, although potentially out of date, view of the stored data as of the time that the environment is opened.

- Database records. All database records are organized as simple key/data pairs. Both keys and data can be anything from primitive Java types to the most complex of Java objects.

Database records are described in [Database Records \(page 25\)](#).

- Transactions. Transactions allow you to treat one or more operations on one or more databases as a single unit of work. JE transactions offer the application developer recoverability, atomicity, and isolation for your database operations.

Note that transaction protection is optional. Transactions are described in [Transactions \(page 95\)](#).

- Indexes. JE allows you to easily create and maintain secondary indices for your primary data through the use of secondary databases. In this way, you can obtain rapid access to your data through the use of an alternative, or secondary, key.

Indexes are described in [Secondary Databases \(page 73\)](#).

- In-memory cache. The cache allows for high speed database access for both read and write operations by avoiding unnecessary disk I/O. The cache will grow on demand up to a preconfigured maximum size. To improve your application's performance immediately after startup time, you can preload your cache in order to avoid disk I/O for production requests of your data.

Cache management is described in [The Evictor Thread \(page 121\)](#) and in [Sizing the Cache \(page 121\)](#).

- Log files. JE databases are stored in one or more sequential numerically-named log files in the environment directory. The log files are write-once and are portable across platforms with different endian-ness.

Note that unlike other database implementations, there is no distinction between database files (that is, the "material database") and log files. Instead JE employs a log-based storage system to protect database modifications. Before any change is made to a database, JE writes information about the change to the log file.

Note that JE's log files are not binary compatible with Berkeley DB's database files. However, both products provide dump and load utilities, and the files that these operate on are compatible across product lines.

JE's log files are described in more detail in [Backing up and Restoring Berkeley DB Java Edition Applications \(page 113\)](#). For information on using JE's dump and load utilities, see [The Command Line Tools \(page 122\)](#).

- Background threads. JE provides several threads that manage internal resources for you. There is the evictor thread, which is responsible for keeping the in-memory cache within a preconfigured maximum size by removing unneeded records from it. The checkpointer is responsible for flushing database data to disk that was written to cache as the result of a transaction commit (this is done in order to shorten recovery time). The compressor thread removes subtrees from the database that are empty because of deletion activity. Finally, the cleaner thread is responsible for cleaning and removing unneeded log files, thereby helping you to save on disk space.

Background thread management is described in [Managing the Background Threads \(page 119\)](#).

- Database environments. Database environments provide a unit of encapsulation and management for one or more databases. In addition, an environment is the unit of management for internal resources such as the in-memory cache and the background threads. Environments are also used to manage concurrency and transactions. Note that all applications using JE are required to use database environments.

Database environments are described in [Database Environments \(page 9\)](#).

- Backup and restore. JE's backup procedure consists of simply copying JE's log files to a safe location for storage. To recover from a catastrophic failure, you copy your archived log files back to your production location on disk and reopen the JE environment.

Note that JE always performs *normal recovery* when it opens a database environment. Normal recovery brings the database to a consistent state based on change information found in the database log files.

JE's backup and recovery mechanisms are described in [Backing up and Restoring Berkeley DB Java Edition Applications \(page 113\)](#).

## The JE Application

This section provides a brief overview to the major concepts and operations that comprise a JE application. This section is concluded with a summary of the decisions that you need to make when building a JE application.

Note that the core JE classes are all contained in the `com.sleepycat.je` package. In addition, this book describes some classes that are found in `com.sleepycat.je.bind`. The bind APIs are used for converting Java objects in and out of `byte` arrays.

### Databases and Database Environments

To use a JE database, you must first create or open a JE database environment. Database environments require you to identify the directory on disk where the environment lives. This location must exist before you create the environment.

You open a database environment by instantiating an `Environment` object. Your `Environment` instance is called an *environment handle*.

Once you have opened an environment, you can use it to open any number of databases within that environment. Each such database is encapsulated by a `Database` object. You are required to provide a string that uniquely identifies the database when you open it. Like environments, the `Database` instance is sometimes referred to as a *database handle*.

You use the environment handle to manage database environments and database opens through methods available on the `Environment` class. You use the database handle to manage individual databases through methods available on the `Database` class. Further, You use environment handles to close environments, and you use database handles to close databases.

Note that for both databases and environments, you can optionally allow JE to create them if they do not exist at open time.

Environments are described in greater detail in [Database Environments \(page 9\)](#).

Databases are described in greater detail in [Databases \(page 17\)](#).

## Database Records

Database records are represented as simple key/data pairs. Both record keys and record data must be byte arrays and are passed to and returned from JE using `DatabaseEntry` instances. `DatabaseEntry` only supports storage of Java byte arrays. Complex objects must be marshalled using either Java serialization, or more efficiently with the bind APIs provided with JE

Database records and byte array conversion are described in [Database Records \(page 25\)](#).

## Putting and Getting Database Records

You store records in a `Database` by calling one of the put methods on a `Database` handle. JE automatically determines the record's proper placement in the database's internal B-Tree using whatever key and data comparison functions that are available to it.

You can also retrieve, or get, records using the `Database` handle. Gets are performed by providing the key (and sometimes also the data) of the record that you want to retrieve.

You can also use cursors for database puts and gets. Cursors are essentially a mechanism by which you can iterate over the records in the database. Like databases and database environments, cursors must be opened and closed. Cursors are managed using the `Cursor` class.

Databases are described in [Databases \(page 17\)](#). Cursors are described in [Using Cursors \(page 56\)](#).

## Duplicate Data

At creation time, databases can be configured to allow duplicate data. Remember that JE database records consist of a key/data pair. *Duplicate data*, then, occurs when two or more records have identical keys, but different data. By default, a `Database` does not allow duplicate data.

If your `Database` contains duplicate data, then a simple database get based only on a key returns just the first record that uses that key. To access all duplicate records for that key, you must use a cursor.

## Replacing and Deleting Entries

How you replace database records depends on whether duplicate data is allowed in the database.

If duplicate data is not allowed in the database, then simply calling `Database.put()` with the appropriate key will cause any existing record to be updated with the new data. Similarly, you can delete a record by providing the appropriate key to the `Database.delete()` method.

If duplicate data is allowed in the database, then you must position a cursor to the record that you want to update, and then perform the put operation using the cursor.

To delete records, you can use either `Database.delete()` or `Cursor.delete()`. If duplicate data is not allowed in your database, then these two methods behave identically. However, if duplicates are allowed in the database, then `Database.delete()` deletes every record that uses the provided key, while `Cursor.delete()` deletes just the record at which the cursor is currently positioned.

## Secondary Databases

Secondary Databases provide a mechanism by which you can automatically create and maintain secondary keys or indices. That is, you can access a database record using a key other than the one used to store the record in the first place.

When you are using secondary databases, the database that holds the data you are indexing is called the *primary database*.

You create a secondary database by opening it and associating it with an existing primary database. You must also provide a class that generates the secondary's keys (that is, the index) from primary records. Whenever a record in the primary database is added or changed, JE uses this class to determine what the secondary key should be.

When a primary record is created, modified, or deleted, JE automatically updates the secondary database(s) for you as is appropriate for the operation performed on the primary.

You manage secondary databases using the `SecondaryDatabase` class. You identify how to create keys for your secondary databases by supplying an instance of a class that implements the `SecondaryKeyCreator` interface.

Secondary databases are described in [Secondary Databases \(page 73\)](#).

## Transactions

Transactions provide a high level of safety for your database operations by allowing you to manage one or more database operations as if they were a single unit of work. Transactions provide your database operations with recoverability, atomicity, and isolation.

Transactions provide recoverability by allowing JE to undo any transactionally protected operations that may have been in progress at the time of an application or system failure.

Transactions provide atomicity by allowing you to group many database operations into a single unit of work. Either all operations succeed or none of them do. This means that if one write operation fails for any reason, then all other writes contained within that transaction also fail. This ensures that the database is never partially updated as the result of an only partially successful chain of read/write operations.

Transactions provide isolation by ensuring that the transaction will never write to a record that is currently in use (for either read or write) by another transaction. Similarly, any record to which the transaction has written can not be read outside of the transaction until the transaction ends. (Note that the exception to this second rule is that you can

configure your `Database` or `Cursor` to perform *dirty reads* - that is, read records modified but not yet committed by a transaction).

Essentially, transactional isolation provides a transaction with the same unmodified view of the database that it would have received had the operations been performed in a single-threaded application.

Transactions may be long or short lived, they can encompass as many database operations as you want, and they can span databases so long as all participating databases reside in the same environment.

Transaction usage results in a performance penalty for the application because they generally require more disk I/O than do non-transactional operations. Therefore, while most applications will use transactions for database writes, their usage is optional. In particular, processes that are performing read-only access to JE databases might not use transactions. Also, applications that use JE for an easily recreated cache might also choose to avoid transactions.

You manage transactions using the `Transaction` class. Transactions are described in [Transactions \(page 95\)](#).

## JE Resources

JE has some internal resources that you may want to manage. Most important of these is the in-memory cache. You should carefully consider how large the JE cache needs to be. If you set this number too low, JE will perform potentially unnecessary disk I/O which will result in a performance hit. If you set it too high, then you are potentially wasting RAM that could be put to better purposes.

Note that the size that you configure for the in-memory cache is a maximum size. At application startup, the cache starts out fairly small (only about 7% of the maximum allowed size for the cache). It then grows as is required by your application's database operations. Also, the cache is not pinned in memory - it can be paged out by your operating system's virtual memory system.

Beyond the cache, JE uses several background threads to keep the cache within its size limits, to clean the JE log files, to compress the database by removing unneeded subtrees, and to flush database changes seen in the cache to the backing data files. For the majority of JE applications, the default behavior for the background threads should be acceptable and you will not need to manage their behavior. Note that background threads are started no more than once per process upon environment open.

For more information on sizing the cache and on the background threads, see [Administering Berkeley DB Java Edition Applications \(page 119\)](#).

## Application Considerations

When building your JE application, be sure to think about the following things:

- What data do you want to store? What is best used for the primary key? What is the best representation for primary record data? Think about the most efficient way to move your keys and data in and out of byte arrays. See [Database Records \(page 25\)](#) for more information.
- Does the nature of your data require duplicate record support? Remember that duplicate support can be configured only at database creation time. See [Opening Databases \(page 17\)](#) for more information.

If you are supporting duplicate records, you may also need to think about duplicate comparators (not just key comparators). See [Using Comparators \(page 42\)](#) for more information.

- What secondary indexes do you need? How can you compute your secondary indexes based on the data and keys stored in your primary database? Indexes are described in [Secondary Databases \(page 73\)](#).
- What cache size do you need? See [Sizing the Cache \(page 121\)](#) for information on how to size your cache.
- Does your application require transactions (most will). Transactions are described in [Transactions \(page 95\)](#).

## JE Backup and Restore

To backup your database, copy the `.jdb` files starting from the lowest numbered log file to the highest numbered log file to your backup media. Be sure to copy the bytes of the individual database files in order from the lowest to the highest. You do not have to close your database or otherwise cease database operations when you do this.

Restoring a JE database from a backup consists of closing your JE environment, copying archived log files back into your environment directory and then opening your JE environment again.

Note that whenever a JE environment is opened, JE runs *normal recovery*. This involves bringing your database into a consistent state given the changed data found in the database. If you are using transactions during normal operations, then JE automatically runs checkpoints for you so as to limit the time required to run this recovery. In any case, running normal recovery is a routine operation, while performing database restores is not.

For more information on JE backup and restores, and on checkpoints, see [Backing up and Restoring Berkeley DB Java Edition Applications \(page 113\)](#).

## Getting and Using JE

You can obtain JE by visiting the Sleepycat download page:  
<http://www.sleepycat.com/download/index.shtml>.

To install JE, simply untar or unzip the distribution to the directory of your choice. If you use unzip, make sure to specify the `-U` option in order to preserve case.

For more information on installing JE, see `JE_HOME/docs/relnotes.html`, where `JE_HOME` is the directory where you unpacked JE.

You can use JE with your application by adding `JE_HOME/lib/je.jar` to your application's classpath.

Beyond this manual, you can find documentation for JE at `JE_HOME/docs/index.html` directory. In particular, complete Javadoc for the JE API set is available at `JE_HOME/docs/java/index.html`.

## JE Exceptions

Before describing the Java API usage, it is first useful to examine the exceptions thrown by those APIs. So, briefly, this section describes the exceptions that you can expect to encounter when writing JE applications.

All of the JE APIs throw `DatabaseException`. `DatabaseException` extends `java.lang.Exception`. Also, the following classes are subclasses of `DatabaseException`:

- `DatabaseNotFoundException`

Thrown whenever an operation requires a database, and that database cannot be found.

- `DeadlockException`

Thrown whenever a transaction is selected to resolve a deadlock. Upon receiving this exception, any open cursors must be closed and the enclosing transaction aborted. Transactions are described in [Transactions \(page 95\)](#).

- `RunRecoveryException`

Thrown whenever JE determines that recovery needs to be run on the database. If you receive this exception, you must reopen your environment so as to allow normal recovery to run. See [Databases and Log Files \(page 113\)](#) for more information on how normal recovery works.

Note that when reopening your environment, you should stop all database read and write activities, close all your cursors, close all your databases, and then reopen your environment.

Note that `DatabaseException` and its subclasses belong to the `com.sleepycat.je` package.

---

## Chapter 2. Database Environments

Berkeley DB Java Edition requires that all databases be placed in a database environment. Database environments encapsulate one or more databases. This encapsulation provides your threads with efficient access to your databases by allowing a single in-memory cache to be used for each of the databases contained in the environment. This encapsulation also allows you to group operations performed against multiple databases inside a single transactions (see [Transactions \(page 95\)](#) for more information).

Most commonly you use database environments to create and open databases (you close individual databases using the individual database handles). You can also use environments to delete and rename databases. For transactional applications, you use the environment to start transactions. For non-transactional applications, you use the environment to sync your in-memory cache to disk.

Finally, you also use the database environment for administrative and configuration activities related to your database log files and the in-memory cache. See [Administering Berkeley DB Java Edition Applications \(page 119\)](#) for more information.

For information on managing databases using database environments, see [Databases \(page 17\)](#). To find out how to use environments with a transactionally protected application, see [Transactions \(page 95\)](#).

### Opening Database Environments

You open a database environment by instantiating an `Environment` object. You must provide to the constructor the name of the on-disk directory where the environment is to reside. This directory location must exist or the open will fail.

By default, JE will not create the environment for you if it does not exist. Set the [creation property](#) to `true` if you want JE to create the environment. For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;

import java.io.File;

...

// Open the environment. Allow it to be created if it does not already exist.
Environment myDbEnvironment = null;

try {
    EnvironmentConfig envConfig = new EnvironmentConfig();
    envConfig.setAllowCreate(true);
    myDbEnvironment = new Environment(new File("/export/dbEnv"), envConfig);
}
```

```
} catch (DatabaseException dbe) {  
    // Exception handling goes here  
}
```

Your application can open and use as many environments as you have disk and memory to manage, although most applications will use just one environment. Also, you can instantiate multiple `Environment` objects for the same physical environment.

Note that opening an environment usually causes some background threads to be started. JE uses these threads for log file cleaning and some in-memory cache administrative tasks. However, these threads will only be opened once per process, so if you open the same environment more than once from within the same process, there is no performance impact on your application. Also, if you open the environment as read-only, then the background threads (with the exception of the evictor thread) are not started.

Note that opening your environment causes normal recovery to be run. This causes your databases to be brought into a consistent state relative to the changed data found in your log files. See [Databases and Log Files \(page 113\)](#) for more information.

## Closing Database Environments

You close your environment by calling the `Environment.close()` method. Note that if you are not using transactions, then you should run an environment sync before closing your environment. Without a sync, you are not guaranteed that your database will be written to disk. See [Databases and Log Files \(page 113\)](#) for more information on environment syncs.

```
import com.sleepycat.je.DatabaseException;  
  
import com.sleepycat.je.Environment;  
  
...  
  
try {  
    if (myDbEnvironment != null) {  
        myDbEnvironment.sync(); // For non-transactional only  
        myDbEnvironment.close();  
    }  
} catch (DatabaseException dbe) {  
    // Exception handling goes here  
}
```

You should close your environment(s) only after all other database activities have completed and you have closed any databases currently opened in the environment.

Closing the last environment handle in your application causes all internal data structures to be released and the background threads to be stopped. If there are any opened databases, then JE will complain before closing them as well. At this time, any open cursors are also closed, and any on-going transactions are aborted.

---

## Environment Properties

You set properties for the `Environment` using the `EnvironmentConfig` class. You can also set properties for a specific `Environment` instance using `EnvironmentMutableConfig`.

### The `EnvironmentConfig` Class

The `EnvironmentConfig` class makes a large number of fields and methods available to you. Describing all of these tuning parameters is beyond the scope of this manual. However, there are a few properties that you are likely to want to set. They are described here.

Note that for each of the properties that you can commonly set, there is a corresponding getter method. Also, you can always retrieve the `EnvironmentConfig` object used by your environment using the `Environment.getConfig()` method.

You set environment configuration parameters using the following methods on the `EnvironmentConfig` class:

- `EnvironmentConfig.setAllowCreate()`

If `true`, the database environment is created when it is opened. If `false`, environment open fails if the environment does not exist. This property has no meaning if the database environment already exists. Default is `false`.

- `EnvironmentConfig.setCachePercent()`

Sets the percentage of the JVM memory set aside for the in-memory cache. If a non-zero value is set for `EnvironmentConfig.setCacheSize()`, this percentage value is not used to determine the cache size. Further, this percentage is used only for those JVMs that are capable of reporting the maximum requested memory via `Runtime.maxMemory()`.

You can also set this property using the `je.maxMemoryPercent` parameter in your `env_home/je.properties` file.

- `EnvironmentConfig.setCacheSize()`

Sets the amount of memory in bytes allowed for the in-memory cache. If a non-zero value is set for this property, then any percentage set for `EnvironmentConfig.setCachePercent()` is ignored. See [Sizing the Cache \(page 121\)](#) for advice on setting your cache size.

You can also set this property using the `je.maxMemory` parameter in your `env_home/je.properties` file.

- `EnvironmentConfig.setReadOnly()`

If `true`, then all databases opened in this environment must be opened as read-only. If you are writing a multi-process application, then all but one of your processes must set this value to `true`. Default is `false`.

You can also set this property using the `je.env.isReadOnly` parameter in your `env_home/je.properties` file.

- `EnvironmentConfig.setTransactional()`

If `true`, configures the database environment to support transactions. Default is `false`.

You can also set this property using the `je.env.isTransactional` parameter in your `env_home/je.properties` file.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;

import java.io.File;

...

Environment myDatabaseEnvironment = null;
try {
    EnvironmentConfig envConfig = new EnvironmentConfig();
    envConfig.setAllowCreate(true);
    envConfig.setCacheSize(134217728); // 128 x 1024 x 1024
    envConfig.setTransactional(true);
    myDatabaseEnvironment =
        new Environment(new File("/export/dbEnv"), envConfig);
} catch (DatabaseException dbe) {
    System.err.println(dbe.toString());
    System.exit(1);
}
```

## EnvironmentMutableConfig

`EnvironmentMutableConfig` manages properties that can be reset after the `Environment` object has been constructed. In addition, `EnvironmentConfig` extends `EnvironmentMutableConfig`, so you can set these mutable properties at `Environment` construction time if necessary.

The `EnvironmentMutableConfig` class allows you to set just one property:

- `setTxnNoSync()`

Determines whether change records created due to a transaction commit are written to the backing log files on disk. A value of `true` causes the data to not be flushed to disk. See [Committing and Aborting Transactions \(page 97\)](#) for more information.

There is also a corresponding getter method (`getTxnNoSync()`). Moreover, you can always retrieve your environment's `EnvironmentMutableConfig` object by using the `Environment.getMutableConfig()` method.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentMutableConfig;

import java.io.File;

...

try {
    Environment myEnv = new Environment(new File("/export/dbEnv"), null);
    EnvironmentMutableConfig envMutableConfig =
        new EnvironmentMutableConfig();
    envMutableConfig.setTxnNoSync(true);
    myEnv.setMutableConfig(envMutableConfig);
} catch (DatabaseException dbe) {
    // Exception handling goes here
}
```

## Environment Statistics

JE offers a wealth of information that you can examine regarding your environment's operations. The majority of this information involves numbers relevant only to the JE developer and as such a description of those statistics is beyond the scope of this manual.

However, one statistic that is very important (especially for long-running applications) is `EnvironmentStats.getNCacheMiss()`. This statistic returns the total number of requests for database objects that were not serviceable from the cache. This number is important to the application administrator who is attempting to determine the proper size for the in-memory cache. See [Sizing the Cache \(page 121\)](#) for details.

To obtain this statistic from your environment, call `Environment.getStats()` to return an `EnvironmentStats` object. You can then call the `EnvironmentStats.getNCacheMiss()` method. For example:

```
import com.sleepycat.je.Environment;

...

long cacheMisses = myEnv.getStats(null).getNCacheMiss();

...
```

Note that environment statistics can only be obtained from within your application's process. In order for the application administrator to obtain this statistic, you must either provide a mechanism by which your application can be queried for the statistic (for example, using SNMP) or you must print it for examination (for example, log the value once a minute).

Note that what is really important for cache sizing is the change in this value over time, and not the actual value itself. So you might consider offering a delta from one examination of this statistic to the next (a delta of 0 is desired while large deltas are an indication that the cache is too small).

## Database Environment Management Example

This example provides a complete class that can open and close an environment. It is both extended and used in subsequent examples in this book to open and close both environments and databases. We do this so as to make the example code shorter and easier to manage. You can find this class in:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
MyDbEnv.java
```

where *JE\_HOME* is the location where you placed your JE distribution.

### Example 2.1. Database Environment Management Class

First we write the normal class declarations. We also set up some private data members that are used to manage environment creation. We use the class constructor to instantiate the `EnvironmentConfig` object that is used to configure our environment when we open it.

```
// File MyDbEnv.java  
package com.sleepycat.examples.je.gettingStarted;  
  
import com.sleepycat.je.DatabaseException;  
import com.sleepycat.je.Environment;  
import com.sleepycat.je.EnvironmentConfig;  
  
import java.io.File;  
  
public class MyDbEnv {  
  
    private Environment myEnv;  
  
    public MyDbEnv() {}  
  
}
```

Next we need a method to open the environment. This is responsible for instantiating our `Environment` object. Remember that instantiation is what opens the environment (or creates it if the creation property is set to `true` and the environment does not currently exist).

```
public void setup(File envHome, boolean readOnly)
    throws DatabaseException {

    // Instantiate an environment configuration object
    EnvironmentConfig myEnvConfig = new EnvironmentConfig();
    // Configure the environment for the read-only state as identified by
    // the readOnly parameter on this method call.
    myEnvConfig.setReadOnly(readOnly);
    // If the environment is opened for write, then we want to be able to
    // create the environment if it does not exist.
    myEnvConfig.setAllowCreate(!readOnly);

    // Instantiate the Environment. This opens it and also possibly
    // creates it.
    myEnv = new Environment(envHome, myEnvConfig);
}
```

Next we provide a getter method that allows us to retrieve the `Environment` directly. This is needed for later examples in this guide.

```
// Getter methods
public Environment getEnv() {
    return myEnv;
}
```

Finally, we need a method to close our `Environment`. We wrap this operation in a `try` block so that it can be used gracefully in a `finally` statement.

```
// Close the environment
public void close() {
    if (myEnv != null) {
        try {
            myEnv.close();
        } catch(DatabaseException dbe) {
            System.err.println("Error closing environment" +
                dbe.toString());
        }
    }
}
```

This completes the `MyDbEnv` class. While not particularly useful as it currently exists, we will build upon it throughout this book so that it will eventually open and close all of the databases required by our applications.

We can now use `MyDbEnv` to open and close a database environment from the appropriate place in our application. For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;

import java.io.File;

...

MyDbEnv exampleDbEnv = new MyDbEnv();

try {
    exampleDbEnv.setup(new File("/directory/currently/exists"), true);
    ...
} catch(DatabaseException dbe) {
    // Error code goes here
} finally {
    exampleDbEnv.close();
}
```

---

## Chapter 3. Databases

In Berkeley DB Java Edition, a database is a collection of *records*. Records, in turn, consist of key/data pairings.

Conceptually, you can think of a `Database` as containing a two-column table where column 1 contains a key and column 2 contains data. Both the key and the data are managed using `DatabaseEntry` class instances (see [Database Records \(page 25\)](#) for details on this class ). So, fundamentally, using a JE `Database` involves putting, getting, and deleting database records, which in turns involves efficiently managing information encapsulated by `DatabaseEntry` objects. The next several chapters of this book are dedicated to those activities.

Note that on disk, databases are stored in sequentially numerically named log files in the directory where the opening environment is located. JE log files are described [Databases and Log Files \(page 113\)](#).

### Opening Databases

You open a database by using the `Environment.openDatabase()` method (environments are described in [Database Environments \(page 9\)](#)). This method creates and returns a `Database` object handle. You must provide `Environment.openDatabase()` with a database name.

You can optionally provide `Environment.openDatabase()` with a `DatabaseConfig()` object. `DatabaseConfig()` allows you to set properties for the database, such as whether it can be created if it does not currently exist, whether you are opening it read-only, and whether the database is to support transactions.

Note that by default, JE does not create databases if they do not already exist. To override this behavior, set the [creation property](#) to true.

Finally, if you configured your environment and database to support transactions, you can optionally provide a transaction object to the `Environment.openDatabase()`. Transactions are described in [Transactions \(page 95\)](#) later in this manual.

The following code fragment illustrates a database open:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;

import java.io.File;
...

Environment myDbEnvironment = null;
Database myDatabase = null;

...

try {
    // Open the environment. Create it if it does not already exist.
    EnvironmentConfig envConfig = new EnvironmentConfig();
    envConfig.setAllowCreate(true);
    myDbEnvironment = new Environment(new File("/export/dbEnv"), envConfig);

    // Open the database. Create it if it does not already exist.
    DatabaseConfig dbConfig = new DatabaseConfig();
    dbConfig.setAllowCreate(true);
    myDatabase = myDbEnvironment.openDatabase(null,
                                                "sampleDatabase",
                                                dbConfig);
} catch (DatabaseException dbe) {
    // Exception handling goes here
}
```

## Closing Databases

Once you are done using the database, you must close it. You use the `Database.close()` method to do this.

Closing a database causes it to become unusable until it is opened again. If any cursors are opened for the database, JE warns you about the open cursors, and then closes them for you. Active cursors during a database close can cause unexpected results, especially if any of those cursors are writing to the database in another thread. You should always make sure that all your database accesses have completed before closing your database.

Remember that for the same reason, you should always close all your databases before closing the environment to which they belong.

Cursors are described in [Using Cursors \(page 56\)](#) later in this manual.

The following illustrates database and environment close:

```
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Database;
import com.sleepycat.je.Environment;

...

try {
    if (myDatabase != null) {
        myDatabase.close();
    }

    if (myDbEnvironment != null) {
        myDbEnvironment.close();
    }
} catch (DatabaseException dbe) {
    // Exception handling goes here
}
```

## Database Properties

You can set database properties using the `DatabaseConfig` class. For each of the properties that you can set, there is a corresponding getter method. Also, you can always retrieve the `DatabaseConfig` object used by your database using the `Database.getConfig()` method.

The database properties that you can set are:

- `DatabaseConfig.setAllowCreate()`

If `true`, the database is created when it is opened. If `false`, the database open fails if the database does not exist. This property has no meaning if the database currently exists. Default is `false`.
- `DatabaseConfig.setBtreeComparator()`

Sets the class that is used to compare the keys found on two database records. This class is used to determine the sort order for two records in the database. By default, byte for byte comparison is used. For more information, see [Using Comparators \(page 42\)](#).
- `DatabaseConfig.setDuplicateComparator()`

Sets the class that is used to compare two duplicate records in the database. For more information, see [Using Comparators \(page 42\)](#).
- `DatabaseConfig.setSortedDuplicates()`

If `true`, duplicate records are allowed in the database. If this value is `false`, then putting a duplicate record into the database results in an error return from the `put` call. Note that this property can be set only at database creation time. Default is `false`.

- `DatabaseConfig.setExclusiveCreate()`

If `true`, the database open fails if the database currently exists. That is, the open must result in the creation of a new database. Default is `false`.

- `DatabaseConfig.setReadOnly()`

If `true`, the database is opened for read activities only. Default is `false`.

- `DatabaseConfig.setTransactional()`

If `true`, the database supports transactions. Default is `false`. Note that a database cannot support transactions if the environment is non-transactional.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;

...
// Environment open omitted for brevity
...

Database myDatabase = null;
try {
    DatabaseConfig dbConfig = new DatabaseConfig();
    dbConfig.setAllowCreate(true);
    dbConfig.setSortedDuplicates(true);
    myDatabase =
        myDbEnv.openDatabase(null,
                            "sampleDatabase",
                            dbConfig);
} catch (DatabaseException dbe) {
    // Exception handling goes here.
}
```

## Administrative Methods

Both the `Environment` and `Database` classes provide methods that are useful for manipulating databases. These methods are:

- `Database.getDatabaseName()`

Returns the database's name.

```
String dbName = myDatabase.getDatabaseName();
```

- `Database.getEnvironment()`

Returns the `Environment` that contains this database.

```
Environment theEnv = myDatabase.getEnvironment();
```

- `Database.truncate()`

Deletes every record in the database and optionally returns the number of records that were deleted. Note that it is much less expensive to truncate a database without counting the number of records deleted than it is to truncate and count.

```
int numDiscarded =
    myDatabase.truncate(null,
                        true); // If true, then the number of
                               // records deleted are counted.
System.out.println("Discarded " + numDiscarded +
                  " records from database " +
                  myDatabase.getDatabaseName());
```

- `Database.preload()`

Preloads the database into the in-memory cache. Optionally takes a `long` that identifies the maximum number of bytes to load into the cache. If this parameter is not supplied, the maximum memory usage allowed by the evictor thread is used.

```
myDatabase.preload(10485761); // 1024*1024
```

- `Environment.getDatabaseNames()`

Returns a list of `Strings` of all the databases contained by the environment.

```
import java.util.List;
...
List myDbNames = myDbEnv.getDatabaseNames();
for(int i=0; i < myDbNames.size(); i++) {
    System.out.println("Database Name: " + (String)myDbNames.get(i));
}
```

- `Environment.removeDatabase()`

Deletes the database. The database must be closed when you perform this action on it.

```
String dbName = myDatabase.getDatabaseName();
myDatabase.close();
myDbEnv.removeDatabase(null, dbName);
```

- `Environment.renameDatabase()`

Renames the database. The database must be closed when you perform this action on it.

```
String oldName = myDatabase.getDatabaseName();
String newName = new String(oldName + ".new");
myDatabase.close();
myDbEnv.renameDatabase(null, oldName, newName);
```

## Database Example

In [Database Environment Management Example \(page 14\)](#) we created a class that manages an `Environment`. We now extend that class to allow it to open and manage multiple databases. Again, remember that you can find this class in:

```
JE_HOME/examples/com/sleepycat/examples/jc/gettingStarted/examples/
MyDbEnv.java
```

where *JE\_HOME* is the location where you placed your JE distribution.

### Example 3.1. Database Management with MyDbEnv

First, we need to import a few additional classes, and setup some global variables to support databases. The databases that we are configuring and creating here are used by applications developed in examples later in this guide.

```
// File MyDbEnv.java

package com.sleepycat.examples.jc.gettingStarted;

import com.sleepycat.jc.DatabaseException;
import com.sleepycat.jc.DatabaseConfig;
import com.sleepycat.jc.Database;
import com.sleepycat.jc.EnvironmentConfig;
import com.sleepycat.jc.Environment;

import java.io.File;

public class MyDbEnv {

    private Environment myEnv;
    private Database vendorDb;
    private Database inventoryDb;

    public MyDbEnv() {}
```

Next we need to update the `MyDbEnv.setup()` method to instantiate a `DatabaseConfig` object. We also need to set some properties on that object. These property values are

determined by the value of the `readOnly` parameter. We want our databases to be read-only if the environment is also read-only. We also want to allow our databases to be created if the databases are not read-only.

```
public void setup(File envHome, boolean readOnly)
    throws DatabaseException {

    // Instantiate an environment and database configuration object
    EnvironmentConfig myEnvConfig = new EnvironmentConfig();
    DatabaseConfig myDbConfig = new DatabaseConfig();
    // Configure the environment and databases for the read-only
    // state as identified by the readOnly parameter on this
    // method call.
    myEnvConfig.setReadOnly(readOnly);
    myDbConfig.setReadOnly(readOnly);
    // If the environment is opened for write, then we want to be
    // able to create the environment and databases if
    // they do not exist.
    myEnvConfig.setAllowCreate(!readOnly);
    myDbConfig.setAllowCreate(!readOnly);

    // Instantiate the Environment. This opens it and also possibly
    // creates it.
    myEnv = new Environment(envHome, myEnvConfig);

    // Now create and open our databases.
    vendorDb = myEnv.openDatabase(null,
                                  "VendorDB",
                                  myDbConfig);

    inventoryDb = myEnv.openDatabase(null,
                                      "InventoryDB",
                                      myDbConfig);
}
```

Next we need some additional getter methods used to return our database handles.

```
// Getter methods
public Environment getEnvironment() {
    return myEnv;
}

public Database getVendorDB() {
    return vendorDb;
}

public Database getInventoryDB() {
    return inventoryDb;
}
```

Finally, we need to update the `MyDbEnv.close()` method to close our databases.

```
// Close the environment
public void close() {
    if (myEnv != null) {
        try {
            vendorDb.close();
            inventoryDb.close();
            myEnv.close();
        } catch(DatabaseException dbe) {
            System.err.println("Error closing MyDbEnv: " +
                               dbe.toString());
            System.exit(-1);
        }
    }
}
```

We can now use `MyDbEnv` to open and close both database environments and databases from the appropriate place in our application. For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Database;

import java.io.File;

...

MyDbEnv exampleDbEnv = new MyDbEnv();

try {
    exampleDbEnv.setup(new File("/directory/currently/exists"), true);
    Database vendorDb = exampleDbEnv.getVendorDB();
    Database inventoryDB = exampleDbEnv.getInventoryDB();

    ...

} catch(DatabaseException dbe) {
    // Error code goes here
} finally {
    exampleDbEnv.close();
}
```

---

## Chapter 4. Database Records

JE records contain two parts — a key and some data. Both the key and its corresponding data are encapsulated in `DatabaseEntry` class objects. Therefore, to access a JE record, you need two such objects, one for the key and one for the data.

`DatabaseEntry` can hold any kind of data from simple Java primitive types to complex Java objects so long as that data can be represented as a Java `byte` array. Note that due to performance considerations, you should not use Java serialization to convert a Java object to a `byte` array. Instead, use the Bind APIs to perform this conversion (see [Using the BIND APIs \(page 31\)](#) for more information).

This chapter describes how you can convert both Java primitives and Java class objects into and out of `byte` arrays. It also introduces storing and retrieving key/value pairs from a database. In addition, this chapter describes how you can use comparators to influence how JE sorts its database records.

### Using Database Records

Each database record is comprised of two `DatabaseEntry` objects — one for the key and another for the data. The key and data information are passed to- and returned from JE using `DatabaseEntry` objects as `byte` arrays. Using `DatabaseEntry`s allows JE to change the underlying `byte` array as well as return multiple values (that is, key and data). Therefore, using `DatabaseEntry` instances is mostly an exercise in efficiently moving your keys and your data in and out of `byte` arrays.

For example, to store a database record where both the key and the data are Java `String` objects, you instantiate a pair of `DatabaseEntry` objects:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseEntry;

...

String aKey = "key";
String aData = "data";

try {
    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry(aData.getBytes("UTF-8"));
} catch (Exception e) {
    // Exception handling goes here
}

// Storing the record is described later in this chapter
```



Notice that we specify `UTF-8` when we retrieve the `byte` array from our `String` object. Without parameters, `String.getBytes()` uses the Java system's default encoding. You should

never use a system's default encoding when storing data in a database because the encoding can change.

When the record is retrieved from the database, the method that you use to perform this operation populates two `DatabaseEntry` instances for you, one for the key and another for the data. Assuming Java `String` objects, you retrieve your data from the `DatabaseEntry` as follows:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseEntry;

...

// theKey and theData are DatabaseEntry objects. Database
// retrieval is described later in this chapter. For now,
// we assume some database get method has populated these
// objects for us.

// Use DatabaseEntry.getData() to retrieve the encapsulated Java
// byte array.

byte[] myKey = theKey.getData();
byte[] myData = theData.getData();

String key = new String(myKey);
String data = new String(myData);
```

There are a large number of mechanisms that you can use to move data in and out of `byte` arrays. To help you with this activity, JE provides the bind APIs. These APIs allow you to efficiently store both primitive data types and complex objects in `byte` arrays.

The next section describes basic database put and get operations. A basic understanding of database access is useful when describing database storage of more complex data such as is supported by the bind APIs. Basic bind API usage is then described in [Using the BIND APIs \(page 31\)](#).

## Reading and Writing Database Records

When reading and writing database records, be aware that there are some slight differences in behavior depending on whether your database supports duplicate records. Two or more database records are considered to be duplicates of one another if they share the same key. The collection of records sharing the same key are called a *duplicates set*.

By default, JE databases do not support duplicate records. Where duplicate records are supported, cursors (see below) are used to access all of the records in the duplicates set.

JE provides two basic mechanisms for the storage and retrieval of database key/data pairs:

- The `Database.put()` and `Database.get()` methods provide the easiest access for all non-duplicate records in the database. These methods are described in this section.
- Cursors provide several methods for putting and getting database records. Cursors and their database access methods are described in [Using Cursors \(page 56\)](#).

### Writing Records to the Database

Database records are stored in the internal BTree based on whatever sorting routine is available to the database. Records are sorted first by their key. If the database supports duplicate records, then the records for a specific key are sorted by their data.

By default, JE sorts both keys and the data portion of duplicate records using byte-by-byte lexicographic comparisons. This default comparison works well for the majority of cases. However, in some case performance benefits can be realized by overriding the default comparison routine. See [Using Comparators \(page 42\)](#) for more information.

You can use the following methods to put database records:

- `Database.put()`  
Puts a database record into the database. If your database does not support duplicate records, and if the provided key already exists in the database, then the currently existing record is replaced with the new data.
- `Database.putNoOverwrite()`  
Disallows overwriting (replacing) an existing record in the database. If the provided key already exists in the database, then this method returns `OperationStatus.KEYEXIST` even if the database supports duplicates.

- `Database.putNoDupData()`

Puts a database record into the database. If the provided key and data already exists in the database (that is, if you are attempting to put a record that compares equally to an existing record), then this returns `OperationStatus.KEYEXIST`.

When you put database records, you provide both the key and the data as `DatabaseEntry` objects. This means you must convert your key and data into a Java `byte` array. For example:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;

...

// Environment and database opens omitted for clarity.
// Environment and database must NOT be opened read-only.

String aKey = "myFirstKey";
String aData = "myFirstData";

try {
    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry(aData.getBytes("UTF-8"));
    myDatabase.put(null, theKey, theData);
} catch (Exception e) {
    // Exception handling goes here
}
```

## Getting Records from the Database

The `Database` class provides several methods that you can use to retrieve database records. Note that if your database supports duplicate records, then these methods will only ever return the first record in a duplicate set. For this reason, if your database supports duplicates, you should use a cursor to retrieve records from it. Cursors are described in [Using Cursors \(page 56\)](#).

You can use either of the following methods to retrieve records from the database:

- `Database.get()`

Retrieves the record whose key matches the key provided to the method. If no records exists that uses the provided key, then `OperationStatus.NOTFOUND` is returned.

- `Database.getSearchBoth()`

Retrieve the record whose key matches both the key and the data provided to the method. If no record exists that uses the provided key and data, then `OperationStatus.NOTFOUND` is returned.

Both the key and data for a database record are returned as byte arrays in `DatabaseEntry` objects. These objects are passed as parameter values to the `Database.get()` method.

In order to retrieve your data once `Database.get()` has completed, you must retrieve the byte array stored in the `DatabaseEntry` and then convert that byte array back to the appropriate datatype. For example:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

// Environment and database opens omitted for clarity.
// Environment and database may be opened read-only.

String aKey = "myFirstKey";

try {
    // Create a pair of DatabaseEntry objects. theKey
    // is used to perform the search. theData is used
    // to store the data returned by the get() operation.
    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    // Perform the get.
    if (myDatabase.get(null, theKey, theData, LockMode.DEFAULT) ==
        OperationStatus.SUCCESS) {

        // Recreate the data String.
        byte[] retData = theData.getData();
        String foundData = new String(retData);
        System.out.println("For key: '" + aKey + "' found data: '" +
            foundData + "'.");
    } else {
        System.out.println("No record found for key '" + aKey + "'.");
    }
} catch (Exception e) {
    // Exception handling goes here
}
```

## Deleting Records

You can use the `Database.delete()` method to delete a record from the database. If your database supports duplicate records, then all records associated with the provided key are deleted. To delete just one record from a list of duplicates, use a cursor. Cursors are described in [Using Cursors \(page 56\)](#).

You can also delete every record in the database by using `Database.truncate()`.

For example:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;

...

// Environment and database opens omitted for clarity.
// Environment and database can NOT be opened read-only.

try {
    String aKey = "myFirstKey";
    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));

    // Perform the deletion. All records that use this key are
    // deleted.
    myDatabase.delete(null, theKey);
} catch (Exception e) {
    // Exception handling goes here
}
```

## Data Persistence

When you perform a database modification, your modification is made in the in-memory cache. This means that your data modifications are not necessarily flushed to disk, and so your data may not appear in the database after an application restart.

Therefore, if you care if your data is durable across system failures, and to guard against the rare possibility of database corruption, you should use transactions to protect your database modifications. Every time you commit a transaction, JE ensures that the data will not be lost due to application or system failure. Transaction usage is described in [Transactions \(page 95\)](#).

If you do not want to use transactions, then the assumption is that your data is of a nature that it need not exist the next time your application starts. You may want this if, for example, you are using JE to cache data relevant only to the current application runtime.

If, however, you are not using transactions for some reason and you still want some guarantee that your database modifications are persistent, then you should periodically

run environment syncs. Syncs cause any dirty entries in the in-memory cache and the operating system's file cache to be written to disk. As such, they are quite expensive and you should use them sparingly.

You run a sync by calling the `Environment.sync()` method. For applications that use them, syncs are at a minimum performed immediately before the environment is closed. This close should occur in the appropriate `finally` block. See [Opening Database Environments \(page 9\)](#) for an example of this.

For a brief description of how JE manages its data in the cache and in the log files, and how sync works, see [Databases and Log Files \(page 113\)](#).

## Using the BIND APIs

Except for Java String and boolean types, efficiently moving data in and out of Java byte arrays for storage in a database can be a nontrivial operation. To help you with this problem, JE provides the Bind APIs. While these APIs are described in detail in the *Sleepycat Java Collections Tutorial* (see <http://www.sleepycat.com/docs/ref/toc.html>), this section provides a brief introduction to using the Bind APIs with:

- Single field numerical and string objects

Use this if you want to store a single numerical or string object, such as `Long`, `Double`, or `String`.

- Complex objects that implement Java serialization.

Use this if you are storing objects that implement `Serializable` and if you do not need to sort them.

- Non-serialized complex objects.

If you are storing objects that do not implement serialization, you can create your own custom tuple bindings. Note that you should use custom tuple bindings even if your objects are serializable if you want to sort on that data.

### Numerical and String Objects

You can use the Bind APIs to store primitive data in a `DatabaseEntry` object. That is, you can store a single field containing one of the following types:

- `String`
- `Character`
- `Boolean`
- `Byte`
- `Short`

- Integer
- Long
- Float
- Double

To store primitive data using the Bind APIs:

1. Create an `EntryBinding` object.

When you do this, you use `TupleBinding.getPrimitiveBinding()` to return an appropriate binding for the conversion.

2. Use the `EntryBinding` object to place the numerical object on the `DatabaseEntry`.

Once the data is stored in the `DatabaseEntry`, you can put it to the database in whatever manner you wish. For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.tuple.TupleBinding;
import com.sleepycat.je.DatabaseEntry;

...

// Need a key for the put.
try {
    String aKey = "myLong";
    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));

    // Now build the DatabaseEntry using a TupleBinding
    Long myLong = new Long(1234567891);
    DatabaseEntry theData = new DatabaseEntry();
    EntryBinding myBinding = TupleBinding.getPrimitiveBinding(Long.class);
    myBinding.objectToEntry(myLong, theData);

    // Now store it
    myDatabase.put(null, theKey, theData);
} catch (Exception e) {
    // Exception handling goes here
}
```

Retrieval from the `DatabaseEntry` object is performed in much the same way:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.tuple.TupleBinding;
```

```

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

Database myDatabase = null;
// Database open omitted for clarity

try {
    // Need a key for the get
    String aKey = "myLong";
    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));

    // Need a DatabaseEntry to hold the associated data.
    DatabaseEntry theData = new DatabaseEntry();

    // Bindings need only be created once for a given scope
    EntryBinding myBinding = TupleBinding.getPrimitiveBinding(Long.class);

    // Get it
    OperationStatus retVal = myDatabase.get(null, theKey, theData,
                                           LockMode.DEFAULT);

    String retKey = null;
    if (retVal == OperationStatus.SUCCESS) {
        // Recreate the data.
        // Use the binding to convert the byte array contained in theData
        // to a Long type.
        Long theLong = (Long) myBinding.entryToObject(theData);
        retKey = new String(theKey.getData());
        System.out.println("For key: '" + retKey + "' found Long: '" +
                           theLong + "'.");
    } else {
        System.out.println("No record found for key '" + retKey + "'.");
    }
} catch (Exception e) {
    // Exception handling goes here
}

```

## Serializeable Complex Objects

Frequently your application requires you to store and manage objects for your record data and/or keys. You may need to do this if you are caching objects created by another process. You may also want to do this if you want to store multiple data values on a record. When used with just primitive data, or with objects containing a single data member, JE database records effectively represent a single row in a two-column table. By storing a complex object in the record, you can turn each record into a single row in

an  $n$ -column table, where  $n$  is the number of data members contained by the stored object(s).

In order to store objects in a JE database, you must convert them to and from a `byte` array. The first instinct for many Java programmers is to do this using Java serialization. While this is functionally a correct solution, the result is poor space-performance because this causes the class information to be stored on every such database record. This information can be quite large and it is redundant – the class information does not vary for serialized objects of the same type.

In other words, directly using serialization to place your objects into byte arrays means that you will be storing a great deal of unnecessary information in your database, which ultimately leads to larger databases and more expensive disk I/O.

The easiest way for you to solve this problem is to use the Bind APIs to perform the serialization for you. Doing so causes the extra object information to be saved off to a unique `Database` dedicated for that purpose. This means that you do not have to duplicate that information on each record in the `Database` that your application is using to store it's information.

Note that when you use the Bind APIs to perform serialization, you still receive all the benefits of serialization. You can still use arbitrarily complex object graphs, and you still receive built-in class evolution through the `serialVersionUID` (SUID) scheme. All of the Java serialization rules apply without modification. For example, you can implement `Externalizable` instead of `Serializable`.

## Usage Caveats

Before using the Bind APIs to perform serialization, you may want to consider writing your own custom tuple bindings. Specifically, avoid serialization if:

- If you need to sort based on the objects your are storing. The sort order is meaningless for the byte arrays that you obtain through serialization. Consequently, you should not use serialization for keys if you care about their sort order. You should also not use serialization for record data if your `Database` supports duplicate records and you care about sort order.
- You want to minimize the size of your byte arrays. Even when using the Bind APIs to perform the serialization the resulting `byte` array may be larger than necessary. You can achieve more compact results by building your own custom tuple binding.
- You want to optimize for speed. In general, custom tuple bindings are faster than serialization at moving data in and out of `byte` arrays.

For information on building your own custom tuple binding, see [Custom Tuple Bindings \(page 38\)](#).

## Serializing Objects

To store a serializable complex object using the Bind APIs:

1. Implement `java.io.Serializable` in the class whose instances that you want to store.
2. Open (create) your databases. You need two. The first is the database that you use to store your data. The second is used to store the class information.
3. Instantiate a class catalog. You do this with `com.sleepycat.bind.serial.StoredClassCatalog`, and at that time you must provide a handle to an open database that is used to store the class information.
4. Create an entry binding that uses `com.sleepycat.bind.serial.SerialBinding`.
5. Instantiate an instance of the object that you want to store, and place it in a `DatabaseEntry` using the entry binding that you created in the previous step.

For example, suppose you want to store a long, double, and a String as a record's data. Then you might create a class that looks something like this:

```
package com.sleepycat.je.examples.gettingStarted;

import java.io.Serializable;

public class MyData implements Serializable {
    private long longData;
    private double doubleData;
    private String description;

    MyData() {
        longData = 0;
        doubleData = 0.0;
        description = null;
    }

    public void setLong(long data) {
        longData = data;
    }

    public void setDouble(double data) {
        doubleData = data;
    }

    public void setDescription(String data) {
        description = data;
    }

    public long getLong() {
        return longData;
    }
}
```

```
    }  
  
    public double getDouble() {  
        return doubleData;  
    }  
  
    public String getDescription() {  
        return description;  
    }  
}
```

You can then store instances of this class as follows:

```
package com.sleepycat.je.examples.gettingStarted;  
  
import com.sleepycat.bind.EntryBinding;  
import com.sleepycat.bind.serial.StoredClassCatalog;  
import com.sleepycat.bind.serial.SerialBinding;  
  
import com.sleepycat.je.Database;  
import com.sleepycat.je.DatabaseConfig;  
import com.sleepycat.je.DatabaseEntry;  
  
...  
  
// The key data.  
String aKey = "myData";  
  
// The data data  
MyData data2Store = new MyData();  
data2Store.setLong(1234567891);  
data2Store.setDouble(1234.9876543);  
data2Store.setDescription("A test instance of this class");  
  
try {  
    // Environment open omitted for brevity  
  
    // Open the database that you will use to store your data  
    DatabaseConfig myDbConfig = new DatabaseConfig();  
    myDbConfig.setAllowCreate(true);  
    myDbConfig.setSortedDuplicates(true);  
    Database myDatabase = myDbEnv.openDatabase(null, "myDb", myDbConfig);  
  
    // Open the database that you use to store your class information.  
    // The db used to store class information does not require duplicates  
    // support.  
    myDbConfig.setSortedDuplicates(false);  
    Database myClassDb = myDbEnv.openDatabase(null, "classDb", myDbConfig);
```

```
// Instantiate the class catalog
StoredClassCatalog classCatalog = new StoredClassCatalog(myClassDb);

// Create the binding
EntryBinding dataBinding = new SerialBinding(classCatalog,
                                             MyData.class);

// Create the DatabaseEntry for the key
DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));

// Create the DatabaseEntry for the data. Use the EntryBinding object
// that was just created to populate the DatabaseEntry
DatabaseEntry theData = new DatabaseEntry();
dataBinding.objectToEntry(data2Store, theData);

// Put it as normal
myDatabase.put(null, theKey, theData);

// Database and environment close omitted for brevity
} catch (Exception e) {
    // Exception handling goes here
}
```

## Deserializing Objects

Once an object is stored in the database, you can retrieve the `MyData` objects from the retrieved `DatabaseEntry` using the Bind APIs in much the same way as is described above. For example:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.serial.StoredClassCatalog;
import com.sleepycat.bind.serial.SerialBinding;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.LockMode;

...

// The key data.
String aKey = "myData";

try {
    // Environment open omitted for brevity.

    // Open the database that stores your data
```

```

DatabaseConfig myDbConfig = new DatabaseConfig();
myDbConfig.setAllowCreate(false);
Database myDatabase = myDbEnv.openDatabase(null, "myDb", myDbConfig);

// Open the database that stores your class information.
Database myClassDb = myDbEnv.openDatabase(null, "classDb", myDbConfig);

// Instantiate the class catalog
StoredClassCatalog classCatalog = new StoredClassCatalog(myClassDb);

// Create the binding
EntryBinding dataBinding = new SerialBinding(classCatalog,
                                             MyData.class);

// Create DatabaseEntry objects for the key and data
DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));
DatabaseEntry theData = new DatabaseEntry();

// Do the get as normal
myDatabase.get(null, theKey, theData, LockMode.DEFAULT);

// Recreate the MyData object from the retrieved DatabaseEntry using
// the EntryBinding created above
MyData retrievedData = (MyData) dataBinding.entryToObject(theData);

// Database and environment close omitted for brevity
} catch (Exception e) {
    // Exception handling goes here
}

```

## Custom Tuple Bindings

If you want to store complex objects in your database, then you can use tuple bindings to do this. While they are more work to write and maintain than if you were to use serialization, the `byte` array conversion is faster. In addition, custom tuple bindings should allow you to create `byte` arrays that are smaller than those created by serialization. Custom tuple bindings also allow you to optimize your BTree comparisons, whereas serialization does not.

For information on using serialization to store complex objects, see [Serializeable Complex Objects \(page 33\)](#).

To store complex objects using a custom tuple binding:

1. Implement the class whose instances that you want to store. Note that you do not have to implement serialization.
2. Implement the `com.sleepycat.bind.tuple.TupleBinding` interface.
3. Open (create) your database. Unlike serialization, you only need one.

4. Create an entry binding that uses the tuple binding that you implemented in step 2.
5. Instantiate an instance of the object that you want to store, and place it in a `DatabaseEntry` using the entry binding that you created in the previous step.

For example, suppose you want your keys to be instances of the following class:

```
package com.sleepycat.je.examples.gettingStarted;

public class MyData2 {
    private long longData;
    private Double doubleData;
    private String description;

    public MyData2() {
        longData = 0;
        doubleData = new Double(0.0);
        description = "";
    }

    public void setLong(long data) {
        longData = data;
    }

    public void setDouble(Double data) {
        doubleData = data;
    }

    public void setString(String data) {
        description = data;
    }

    public long getLong() {
        return longData;
    }

    public Double getDouble() {
        return doubleData;
    }

    public String getString() {
        return description;
    }
}
```

In this case, you need to write a tuple binding for the `MyData2` class. When you do this, you must implement the `TupleBinding.objectToEntry()` and `TupleBinding.entryToObject()` abstract methods. Remember the following as you implement these methods:

- You use `TupleBinding.objectToEntry()` to convert objects to byte arrays. You use `com.sleepycat.bind.tuple.TupleOutput` to write primitive data types to the byte array. Note that `TupleOutput` provides methods that allows you to work with numerical types (long, double, int, and so forth) and not the corresponding `java.lang` numerical classes.
- The order that you write data to the byte array in `TupleBinding.objectToEntry()` is the order that it appears in the array. So given the `MyData2` class as an example, if you write `description`, `doubleData`, and then `longData`, then the resulting byte array will contain these data elements in that order. This means that your records will sort based on the value of the `description` data member and then the `doubleData` member, and so forth. If you prefer to sort based on, say, the `longData` data member, write it to the byte array first.
- You use `TupleBinding.entryToObject()` to convert the byte array back into an instance of your original class. You use `com.sleepycat.bind.tuple.TupleInput` to get data from the byte array.
- The order that you read data from the byte array must be exactly the same as the order in which it was written.

For example:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;
import com.sleepycat.bind.tuple.TupleInput;
import com.sleepycat.bind.tuple.TupleOutput;

public class MyTupleBinding extends TupleBinding {

    // Write a MyData2 object to a TupleOutput
    public void objectToEntry(Object object, TupleOutput to) {

        MyData2 myData = (MyData2)object;

        // Write the data to the TupleOutput (a DatabaseEntry).
        // Order is important. The first data written will be
        // the first bytes used by the default comparison routines.
        to.writeDouble(myData.getDouble().doubleValue());
        to.writeLong(myData.getLong());
        to.writeString(myData.getString());
    }

    // Convert a TupleInput to a MyData2 object
    public Object entryToObject(TupleInput ti) {

        // Data must be read in the same order that it was
        // originally written.
        Double theDouble = new Double(ti.readDouble());
```

```
        long theLong = ti.readLong();
        String theString = ti.readString();

        MyData2 myData = new MyData2();
        myData.setDouble(theDouble);
        myData.setLong(theLong);
        myData.setString(theString);

        return myData;
    }
}
```

In order to use the tuple binding, instantiate the binding and then use:

- `MyTupleBinding.objectToEntry()` to convert a `MyData2` object to a `DatabaseEntry`.
- `MyTupleBinding.entryToObject()` to convert a `DatabaseEntry` to a `MyData2` object.

For example:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;
import com.sleepycat.je.DatabaseEntry;

...

TupleBinding keyBinding = new MyTupleBinding();

MyData2 theKeyData = new MyData2();
theKeyData.setLong(1234567891);
theKeyData.setDouble(new Double(12345.6789));
theKeyData.setString("My key data");

DatabaseEntry myKey = new DatabaseEntry();

try {
    // Store theKeyData in the DatabaseEntry
    keyBinding.objectToEntry(theKeyData, myKey);

    ...
    // Database put and get activity omitted for clarity
    ...

    // Retrieve the key data
    theKeyData = (MyData2) keyBinding.entryToObject(myKey);
} catch (Exception e) {
    // Exception handling goes here
}
```

## Using Comparators

Internally, JE databases are organized as BTrees. This means that most database operations (inserts, deletes, reads, and so forth) involve BTree node comparisons. This comparison most frequently occurs based on database keys, but if your database supports duplicate records then comparisons can also occur based on the database data.

By default, JE performs all such comparisons using a byte-by-byte lexicographic comparison. This mechanism works well for most data. However, in some cases you may need to specify your own comparison routine. One frequent reason for this is to perform a language sensitive lexical ordering of string keys.

## Writing Comparators

You override the default comparison function by providing a `Java Comparator` class to the database. The `Java Comparator` interface requires you to implement the `Comparator.compare()` method (see <http://java.sun.com/j2se/1.4.2/docs/api/java/util/Comparator.html> for details).

JE passes your `Comparator.compare()` method the `byte` arrays that you stored in the database. If you know how your data is organized in the `byte` array, then you can write a comparison routine that directly examines the contents of the arrays. Otherwise, you have to reconstruct your original objects, and then perform the comparison.

For example, suppose you want to perform unicode lexical comparisons instead of UTF-8 byte-by-byte comparisons. Then you could provide a comparator that uses `String.compareTo()`, which performs a Unicode comparison of two strings (note that for single-byte roman characters, Unicode comparison and UTF-8 byte-by-byte comparisons are identical - this is something you would only want to do if you were using multibyte unicode characters with JE). In this case, your comparator would look like the following:

```
package com.sleepycat.je.examples.gettingStarted;

import java.util.Comparator;

public class MyDataComparator implements Comparator {

    public MyDataComparator() {}

    public int compare(Object d1, Object d2) {

        byte[] b1 = (byte[])d1;
        byte[] b2 = (byte[])d2;

        String s1 = new String(b1);
        String s2 = new String(b2);
        return s1.compareTo(s2);
    }
}
```

## Setting Comparators

You specify a `Comparator` using the following methods. Note that by default these methods can only be used at database creation time, and they are ignored for normal database opens. Also, note that JE uses the no-argument constructor for these comparators. Further, it is not allowable for there to be a mutable state in these comparators or else unpredictable results will occur.

- `DatabaseConfig.setBtreeComparator()`  
Sets the Java `Comparator` class used to compare two keys in the database.
- `DatabaseConfig.setDuplicateComparator()`  
Sets the Java `Comparator` class used to compare the data on two duplicate records in the database. This comparator is used only if the database supports duplicate records.

You can use the above methods to set a database's comparator after database creation time if you explicitly indicate that the comparator is to be overridden. You do this by using the following methods:



If you override your comparator, the new comparator must preserve the sort order implemented by your original comparator. That is, the new comparator and the old comparator must return the same value for the comparison of any two valid objects. Failure to observe this constraint will cause unpredictable results for your application.

If you want to change the fundamental sort order for your database, back up the contents of the database, delete the database, recreate it, and then reload its data.

- `DatabaseConfig.setOverrideBtreeComparator()`  
If set to `true`, causes the database's Btree comparator to be overridden with the `Comparator` specified on `DatabaseConfig.setBtreeComparator()`. This method can be used to change the comparator post-environment creation.
- `DatabaseConfig.setOverrideDuplicateComparator()`  
If set to `true`, causes the database's duplicates comparator to be overridden with the `Comparator` specified on `DatabaseConfig.setDuplicateComparator()`.

For example, to use the `Comparator` described in the previous section:

```
package com.sleepycat.je.examples.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseException;

import java.util.Comparator;

...
```

```
// Environment open omitted for brevity

try {
    // Get the database configuration object
    DatabaseConfig myDbConfig = new DatabaseConfig();
    myDbConfig.setAllowCreate(true);

    // Set the duplicate comparator class
    myDbConfig.setDuplicateComparator(MyDataComparator.class);

    // Open the database that you will use to store your data
    myDbConfig.setSortedDuplicates(true);
    Database myDatabase = myDbEnv.openDatabase(null, "myDb", myDbConfig);
} catch (DatabaseException dbe) {
    // Exception handling goes here
}
```

## Database Record Example

In [Database Example \(page 22\)](#), we created `MyDbEnv`, a class that manages `DatabaseEnvironment` and `Database` opens and closes. We will now write an application that takes advantage of this class to open databases, put a series of records in them, and then close the databases and environment.

Remember that all of the classes and programs presented here can be found in the following directory:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples
```

where `JE_HOME` is the location where you placed your JE distribution.

Note that in this example, we are going to save two types of information. First there are a series of inventory records that identify information about some food items (fruits, vegetables, and desserts). These records identify particulars about each item such as the vendor that the item can be obtained from, how much the vendor has in stock, the price per unit, and so forth.

We also want to manage vendor contact information, such as the vendor's address and phone number, the sales representative's name and his phone number, and so forth.

### Example 4.1. Inventory.java

All Inventory data is encapsulated in an instance of the following class. Note that because this class is not serializable, we need a custom tuple binding in order to place it on a `DatabaseEntry` object. Because the `TupleInput` and `TupleOutput` classes used by custom tuple bindings support Java numerical types and not Java numerical classes, we use `int` and `float` here instead of the corresponding `Integer` and `Float` classes.

```
// File Inventory.java
package com.sleepycat.examples.je.gettingStarted;

public class Inventory {

    private String sku;
    private String itemName;
    private String category;
    private String vendor;
    private int vendorInventory;
    private float vendorPrice;

    public void setSku(String data) {
        sku = data;
    }

    public void setItemName(String data) {
        itemName = data;
    }

    public void setCategory(String data) {
        category = data;
    }

    public void setVendorInventory(int data) {
        vendorInventory = data;
    }

    public void setVendor(String data) {
        vendor = data;
    }

    public void setVendorPrice(float data) {
        vendorPrice = data;
    }

    public String getSku() { return sku; }
    public String getItemName() { return itemName; }
    public String getCategory() { return category; }
    public int getVendorInventory() { return vendorInventory; }
    public String getVendor() { return vendor; }
    public float getVendorPrice() { return vendorPrice; }

}
```

### Example 4.2. Vendor.java

The data for vendor records are stored in instances of the following class. Notice that we are using serialization with this class simply to demonstrate serializing a class instance.

```
// File Vendor.java
package com.sleepycat.examples.je.gettingStarted;

import java.io.Serializable;

public class Vendor implements Serializable {

    private String repName;
    private String address;
    private String city;
    private String state;
    private String zipcode;
    private String bizPhoneNumber;
    private String repPhoneNumber;
    private String vendor;

    public void setRepName(String data) {
        repName = data;
    }

    public void setAddress(String data) {
        address = data;
    }

    public void setCity(String data) {
        city = data;
    }

    public void setState(String data) {
        state = data;
    }

    public void setZipcode(String data) {
        zipcode = data;
    }

    public void setBusinessPhoneNumber(String data) {
        bizPhoneNumber = data;
    }

    public void setRepPhoneNumber(String data) {
        repPhoneNumber = data;
    }

    public void setVendorName(String data) {
        vendor = data;
    }

    ...
}
```

```
// Corresponding getter methods omitted for brevity.
// See examples/com/sleepycat/examples/je/gettingStarted/
//   examples/Vendor.java
// for a complete implementation of this class.

}
```

Because we will not be using serialization to convert our `Inventory` objects to a `DatabaseEntry` object, we need a custom tuple binding:

### Example 4.3. `InventoryBinding.java`

```
// File InventoryBinding.java
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;
import com.sleepycat.bind.tuple.TupleInput;
import com.sleepycat.bind.tuple.TupleOutput;

public class InventoryBinding extends TupleBinding {

    // Implement this abstract method. Used to convert
    // a DatabaseEntry to an Inventory object.
    public Object entryToObject(TupleInput ti) {

        String sku = ti.readString();
        String itemName = ti.readString();
        String category = ti.readString();
        String vendor = ti.readString();
        int vendorInventory = ti.readInt();
        float vendorPrice = ti.readFloat();

        Inventory inventory = new Inventory();
        inventory.setSku(sku);
        inventory.setItemName(itemName);
        inventory.setCategory(category);
        inventory.setVendor(vendor);
        inventory.setVendorInventory(vendorInventory);
        inventory.setVendorPrice(vendorPrice);

        return inventory;
    }

    // Implement this abstract method. Used to convert a
    // Inventory object to a DatabaseEntry object.
    public void objectToEntry(Object object, TupleOutput to) {

        Inventory inventory = (Inventory)object;
```

```

        to.writeString(inventory.getSKU());
        to.writeString(inventory.getItemName());
        to.writeString(inventory.getCategory());
        to.writeString(inventory.getVendor());
        to.writeInt(inventory.getVendorInventory());
        to.writeFloat(inventory.getVendorPrice());
    }
}

```

In order to store the data identified above, we write the `ExampleDatabasePut` application. This application loads the inventory and vendor databases for you.

Inventory information is stored in a `Database` dedicated for that purpose. The key for each such record is a product SKU. The inventory data stored in this database are objects of the `Inventory` class (see [Inventory.java \(page 44\)](#) for more information).

`ExampleDatabasePut` loads the inventory database as follows:

1. Reads the inventory data from a flat text file prepared in advance for this purpose.
2. Uses `java.lang.String` to create a key based on the item's SKU.
3. Uses an `Inventory` class instance for the record data. This object is stored on a `DatabaseEntry` object using `InventoryBinding`, a custom tuple binding that we implemented above.
4. Saves each record to the inventory database.

Vendor information is also stored in a `Database` dedicated for that purpose. The vendor data stored in this database are objects of the `Vendor` class (see [Vendor.java \(page 45\)](#) for more information). To load this `Database`, `ExampleDatabasePut` does the following:

1. Reads the vendor data from a flat text file prepared in advance for this purpose.
2. Uses the vendor's name as the record's key.
3. Uses a `Vendor` class instance for the record data. This object is stored on a `DatabaseEntry` object using `com.sleepycat.bind.serial.SerialBinding`.

#### Example 4.4. Stored Class Catalog Management with `MyDbEnv`

Before we can write `ExampleDatabasePut`, we need to update `MyDbEnv.java` to support the class catalogs that we need for this application.

To do this, we start by importing an additional class to support stored class catalogs:

```

// File MyDbEnv.java
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseException;

```

```
import com.sleepycat.je.EnvironmentConfig;
import com.sleepycat.je.Environment;

import java.io.File;

import com.sleepycat.bind.serial.StoredClassCatalog;
```

We also need to add two additional private data members to this class. One supports the database used for the class catalog, and the other is used as a handle for the class catalog itself.

```
public class MyDbEnv {

    private Environment myEnv;
    private Database vendorDb;
    private Database inventoryDb;
    private Database classCatalogDb;

    // Needed for object serialization
    private StoredClassCatalog classCatalog;

    public MyDbEnv() {}
```

Next we need to update the `MyDbEnv.setup()` method to open the class catalog database and create the class catalog.

```
public void setup(File envHome, boolean readOnly)
    throws DatabaseException {

    ...
    // Database and environment configuration omitted for brevity
    ...

    // Instantiate the Environment. This opens it and also possibly
    // creates it.
    myEnv = new Environment(envHome, myEnvConfig);

    // Now create and open our databases.
    vendorDb = myEnv.openDatabase(null, "VendorDB", myDbConfig);

    inventoryDb = myEnv.openDatabase(null, "InventoryDB", myDbConfig);

    // Open the class catalog db. This is used to
    // optimize class serialization.
    classCatalogDb =
        myEnv.openDatabase(null,
            "ClassCatalogDB",
            myDbConfig);

    // Create our class catalog
```

```

        classCatalog = new StoredClassCatalog(classCatalogDb);
    }

```

Next we need a getter method to return the class catalog. Note that we do not provide a getter for the catalog database itself - our application has no need for that.

```

// Getter methods
public Environment getEnvironment() {
    return myEnv;
}

public Database getVendorDB() {
    return vendorDb;
}

public Database getInventoryDB() {
    return inventoryDb;
}

public StoredClassCatalog getClassCatalog() {
    return classCatalog;
}

```

Finally, we need to update the `MyDbEnv.close()` method to close the class catalog database.

```

// Close the environment
public void close() {
    if (myEnv != null) {
        try {
            vendorDb.close();
            inventoryDb.close();
            classCatalogDb.close()
            myEnv.close();
        } catch(DatabaseException dbe) {
            System.err.println("Error closing MyDbEnv: " +
                               dbe.toString());
            System.exit(-1);
        }
    }
}

```

So far we have identified the data that we want to store in our databases and how we will convert that data in and out of `DatabaseEntry` objects for database storage. We have also updated `MyDbEnv` to manage our databases for us. Now we write `ExampleDatabasePut` to actually put the inventory and vendor data into their respective databases. Because of the work that we have done so far, this application is actually fairly simple to write.

## Example 4.5. ExampleDatabasePut.java

First we need the usual series of import statements:

```
//File ExampleDatabasePut.java
package com.sleepycat.examples.je.gettingStarted;

// Bind classes used to move class objects in an out of byte arrays.
import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.serial.SerialBinding;
import com.sleepycat.bind.tuple.TupleBinding;

// Standard JE database imports
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;

// Most of this is used for loading data from a text file for storage
// in the databases.
import java.io.File;
import java.io.FileInputStream;
import java.io.BufferedReader;
import java.io.InputStreamReader;
import java.io.FileNotFoundException;
import java.io.IOException;
import java.util.ArrayList;
```

Next comes the class declaration and the private data members that we need for this class. Most of these are setting up default values for the program.

Note that two `DatabaseEntry` objects are instantiated here. We will reuse these for every database operation that this program performs. Also a `MyDbEnv` object is instantiated here. We can do this because its constructor never throws an exception. See [Stored Class Catalog Management with MyDbEnv \(page 48\)](#) for its implementation details.

Finally, the `inventory.txt` and `vendors.txt` file can be found in the `GettingStarted` examples directory along with the classes described in this extended example.

```
public class ExampleDatabasePut {

    private static File myDbEnvPath = new File("/tmp/JEDB");
    private static File inventoryFile = new File("./inventory.txt");
    private static File vendorsFile = new File("./vendors.txt");

    // DatabaseEntries used for loading records
    private static DatabaseEntry theKey = new DatabaseEntry();
    private static DatabaseEntry theData = new DatabaseEntry();

    // Encapsulates the environment and databases.
    private static MyDbEnv myDbEnv = new MyDbEnv();
```

Next comes the `usage()` and `main()` methods. Notice the exception handling in the `main()` method. This is the only place in the application where we catch exceptions. For this reason, we must catch `DatabaseException` which is thrown by the `com.sleepycat.je.*` classes.

Also notice the call to `MyDbEnv.close()` in the `finally` block. This is the only place in the application where `MyDbEnv.close()` is called. `MyDbEnv.close()` is responsible for closing the Environment and all open Database handles for you.

```
private static void usage() {
    System.out.println("ExampleDatabasePut [-h <env directory>]");
    System.out.println("    [-s <selections file>] [-v <vendors file>]");
    System.exit(-1);
}

public static void main(String args[]) {
    ExampleDatabasePut edp = new ExampleDatabasePut();
    try {
        edp.run(args);
    } catch (DatabaseException dbe) {
        System.err.println("ExampleDatabasePut: " + dbe.toString());
        dbe.printStackTrace();
    } catch (Exception e) {
        System.err.println("Exception: " + e.toString());
        e.printStackTrace();
    } finally {
        myDbEnv.close();
    }
    System.out.println("All done.");
}
```

Next we write the `ExampleDatabasePut.run()` method. This method is responsible for initializing all objects. Because our environment and databases are all opened using the `MyDbEnv.setup()` method, `ExampleDatabasePut.run()` method is only responsible for calling `MyDbEnv.setup()` and then calling the `ExampleDatabasePut` methods that actually load the databases.

```
private void run(String args[]) throws DatabaseException {
    // Parse the arguments list
    parseArgs(args);

    myDbEnv.setup(myDbEnvPath, // path to the environment home
                 false);      // is this environment read-only?

    System.out.println("loading vendors db.");
    loadVendorsDb();
    System.out.println("loading inventory db.");
    loadInventoryDb();
}
```

This next method loads the vendor database. This method uses serialization to convert the Vendor object to a DatabaseEntry object.

```
private void loadVendorsDb()
    throws DatabaseException {

    // loadFile opens a flat-text file that contains our data
    // and loads it into a list for us to work with. The integer
    // parameter represents the number of fields expected in the
    // file.
    ArrayList vendors = loadFile(vendorsFile, 8);

    // Now load the data into the database. The vendor's name is the
    // key, and the data is a Vendor class object.

    // Need a serial binding for the data
    EntryBinding dataBinding =
        new SerialBinding(myDbEnv.getClassCatalog(), Vendor.class);

    for (int i = 0; i < vendors.size(); i++) {
        String[] sArray = (String[])vendors.get(i);
        Vendor theVendor = new Vendor();
        theVendor.setVendorName(sArray[0]);
        theVendor.setAddress(sArray[1]);
        theVendor.setCity(sArray[2]);
        theVendor.setState(sArray[3]);
        theVendor.setZipcode(sArray[4]);
        theVendor.setBusinessPhoneNumber(sArray[5]);
        theVendor.setRepName(sArray[6]);
        theVendor.setRepPhoneNumber(sArray[7]);

        // The key is the vendor's name.
        // ASSUMES THE VENDOR'S NAME IS UNIQUE!
        String vendorName = theVendor.getVendorName();
        try {
            theKey = new DatabaseEntry(vendorName.getBytes("UTF-8"));
        } catch (IOException willNeverOccur) {}

        // Convert the Vendor object to a DatabaseEntry object
        // using our SerialBinding
        dataBinding.objectToEntry(theVendor, theData);

        // Put it in the database. These puts are transactionally
        // protected (we're using autocommit).
        myDbEnv.getVendorDB().put(null, theKey, theData);
    }
}
```

Now load the inventory database. This method uses our custom tuple binding (see [InventoryBinding.java \(page 47\)](#)) to convert the `Inventory` object to a `DatabaseEntry` object.

```
private void loadInventoryDb()
    throws DatabaseException {

    // loadFile opens a flat-text file that contains our data
    // and loads it into a list for us to work with. The integer
    // parameter represents the number of fields expected in the
    // file.
    ArrayList inventoryArray = loadFile(inventoryFile, 6);

    // Now load the data into the database. The item's sku is the
    // key, and the data is an Inventory class object.

    // Need a tuple binding for the Inventory class.
    TupleBinding inventoryBinding = new InventoryBinding();

    for (int i = 0; i < inventoryArray.size(); i++) {
        String[] sArray = (String[])inventoryArray.get(i);
        String sku = sArray[1];
        try {
            theKey = new DatabaseEntry(sku.getBytes("UTF-8"));
        } catch (IOException willNeverOccur) {}

        Inventory theInventory = new Inventory();
        theInventory.setItemName(sArray[0]);
        theInventory.setSku(sArray[1]);
        theInventory.setVendorPrice((new Float(sArray[2])).floatValue());
        theInventory.setVendorInventory(
            (new Integer(sArray[3])).intValue());
        theInventory.setCategory(sArray[4]);
        theInventory.setVendor(sArray[5]);

        // Place the Vendor object on the DatabaseEntry object using our
        // the tuple binding we implemented in InventoryBinding.java
        inventoryBinding.objectToEntry(theInventory, theData);

        // Put it in the database.
        myDbEnv.getInventoryDB().put(null, theKey, theData);
    }
}
```

The remainder of this application provides utility methods to read a flat text file into an array of strings and parse the command line options. From the perspective of this document, these things are relatively uninteresting. You can see how they are implemented by looking at:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
ExampleDataPut.java
```

where *JE\_HOME* is the location where you placed your JE distribution.

```
private static void parseArgs(String args[]) {  
    // Implementation omitted for brevity.  
}  
  
private ArrayList loadFile(File theFile, int numFields) {  
    ArrayList records = new ArrayList();  
    // Implementation omitted for brevity.  
    return records;  
}  
  
protected ExampleDatabasePut() {}  
}
```

---

## Chapter 5. Using Cursors

Cursors provide a mechanism by which you can iterate over the records in a database. Using cursors, you can get, put, and delete database records. If a database allows duplicate records, then cursors are the only mechanism by which you can access anything other than the first duplicate for a given key.

This chapter introduces cursors. It explains how to open and close them, how to use them to modify databases, and how to use them with duplicate records.

### Opening and Closing Cursors

To use a cursor, you must open it using the `Database.openCursor()` method. When you open a cursor, you can optionally pass it a `CursorConfig` object to set cursor properties. Currently, the only available property tells the cursor to perform dirty reads. (For a description of dirty reads, see [Configuring Dirty Reads \(page 101\)](#)).

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.CursorConfig;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;

import java.io.File;

...
Environment myDbEnvironment = null;
Database myDatabase = null;
Cursor myCursor = null;

try {
    myDbEnvironment = new Environment(new File("/export/dbEnv"), null);
    myDatabase = myDbEnvironment.openDatabase(null, "myDB", null);

    myCursor = myDatabase.openCursor(null, null);
} catch (DatabaseException dbe) {
    // Exception handling goes here ...
}
```

To close the cursor, call the `Cursor.close()` method. Note that if you close a database that has cursors open in it, then it will throw an exception and close any open cursors for you. For best results, close your cursors from within a `finally` block.

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.Environment;

...
try {
    ...
} catch ... {
} finally {
    try {
        if (myCursor != null) {
            myCursor.close();
        }

        if (myDatabase != null) {
            myDatabase.close();
        }

        if (myDbEnvironment != null) {
            myDbEnvironment.close();
        }
    } catch(DatabaseException dbe) {
        System.err.println("Error in close: " + dbe.toString());
    }
}
```

## Getting Records Using the Cursor

To iterate over database records, from the first record to the last, simply open the cursor and then use the `Cursor.getNext()` method. For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity
    ...
}
```

```

// Open the cursor.
cursor = myDatabase.openCursor(null, null);

// Cursors need a pair of DatabaseEntry objects to operate. These hold
// the key and data found at any given position in the database.
DatabaseEntry foundKey = new DatabaseEntry();
DatabaseEntry foundData = new DatabaseEntry();

// To iterate, just call getNext() until the last database record has been
// read. All cursor operations return an OperationStatus, so just read
// until we no longer see OperationStatus.SUCCESS
while (cursor.getNext(foundKey, foundData, LockMode.DEFAULT) ==
    OperationStatus.SUCCESS) {
    // getData() on the DatabaseEntry objects returns the byte array
    // held by that object. We use this to get a String value. If the
    // DatabaseEntry held a byte array representation of some other data
    // type (such as a complex object) then this operation would look
    // considerably different.
    String keyString = new String(foundKey.getData());
    String dataString = new String(foundData.getData());
    System.out.println("Key | Data : " + keyString + " | " +
        dataString + "");
}
} catch (DatabaseException de) {
    System.err.println("Error accessing database." + de);
} finally {
    // Cursors must be closed.
    cursor.close();
}

```

To iterate over the database from the last record to the first, instantiate the cursor, and then use `Cursor.getPrev()` until you read the first record in the database. For example:

```

package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity

```

```
...

// Open the cursor.
cursor = myDatabase.openCursor(null, null);

// Get the DatabaseEntry objects that the cursor will use.
DatabaseEntry foundKey = new DatabaseEntry();
DatabaseEntry foundData = new DatabaseEntry();

// Iterate from the last record to the first in the database
while (cursor.getPrev(foundKey, foundData, LockMode.DEFAULT) ==
    OperationStatus.SUCCESS) {

    String theKey = new String(foundKey.getData());
    String theData = new String(foundData.getData());
    System.out.println("Key | Data : " + theKey + " | " + theData + "");
}
} catch (DatabaseException de) {
    System.err.println("Error accessing database." + de);
} finally {
    // Cursors must be closed.
    cursor.close();
}
```

## Searching for Records

You can use cursors to search for database records. You can search based on just a key, or you can search based on both the key and the data. You can also perform partial matches if your database supports sorted duplicate sets. In all cases, the key and data parameters of these methods are filled with the key and data values of the database record to which the cursor is positioned as a result of the search.

Also, if the search fails, then cursor's state is left unchanged and `OperationStatus.NOTFOUND` is returned.

The following `Cursor` methods allow you to perform database searches:

- `Cursor.getSearchKey()`

Moves the cursor to the first record in the database with the specified key.

- `Cursor.getSearchKeyRange()`

Moves the cursor to the first record in the database whose key is greater than or equal to the specified key. This comparison is determined by the comparator that you provide for the database. If no comparator is provided, then the default lexicographical sorting is used.

For example, suppose you have database records that use the following Strings as keys:

```
Alabama
Alaska
Arizona
```

Then providing a search key of `Alaska` moves the cursor to the second key noted above. Providing a key of `Al` moves the cursor to the first key (`Alabama`), providing a search key of `Alas` moves the cursor to the second key (`Alaska`), and providing a key of `Ar` moves the cursor to the last key (`Arizona`).

- `Cursor.getSearchBoth()`

Moves the cursor to the first record in the database that uses the specified key and data.

- `Cursor.getSearchBothRange()`

Moves the cursor to the first record in the database whose key is greater than or equal to the specified key. If the database supports duplicate records, then on matching the key, the cursor is moved to the duplicate record with the smallest data that is greater than or equal to the specified data.

For example, suppose you have database records that use the following key/data pairs:

```
Alabama/Athens
Alabama/Florence
Alaska/Anchorage
Alaska/Fairbanks
Arizona/Avondale
Arizona/Florence
```

then providing:

a search key of ...	and a search data of ...	moves the cursor to ...
<code>Al</code>	<code>Fl</code>	<code>Alabama/Florence</code>
<code>Ar</code>	<code>Fl</code>	<code>Arizona/Florence</code>
<code>Al</code>	<code>Fa</code>	<code>Alaska/Fairbanks</code>
<code>Al</code>	<code>A</code>	<code>Alabama/Athens</code>

For example, assuming a database containing sorted duplicate records of U.S. States/U.S. Cities key/data pairs (both as Strings), then the following code fragment can be used to position the cursor to any record in the database and print its key/data values:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

// For this example, hard code the search key and data
String searchKey = "Al";
String searchData = "Fa";

Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity
    ...

    // Open the cursor.
    cursor = myDatabase.openCursor(null, null);

    DatabaseEntry theKey =
        new DatabaseEntry(searchKey.getBytes("UTF-8"));
    DatabaseEntry theData =
        new DatabaseEntry(searchData.getBytes("UTF-8"));

    // Open a cursor using a database handle
    cursor = myDatabase.openCursor(null, null);

    // Perform the search
    OperationStatus retVal = cursor.getSearchBothRange(theKey, theData,
                                                         LockMode.DEFAULT);
    // NOTFOUND is returned if a record cannot be found whose key begins
    // with the search key AND whose data begins with the search data.
    if (retVal == OperationStatus.NOTFOUND) {
        System.out.println(searchKey + "/" + searchData +
                           " not matched in database " +
                           myDatabase.getDatabaseName());
    } else {
        // Upon completing a search, the key and data DatabaseEntry
        // parameters for getSearchBothRange() are populated with the
        // key/data values of the found record.
        String foundKey = new String(theKey.getData());
        String foundData = new String(theData.getData());
        System.out.println("Found record " + foundKey + "/" + foundData +
                           "for search key/data: " + searchKey +
```

```
        "/" + searchData);  
    }  
  
    } catch (Exception e) {  
        // Exception handling goes here  
    } finally {  
        // Make sure to close the cursor  
        cursor.close();  
    }  
}
```

## Working with Duplicate Records

If your database supports duplicate records, then it can potentially contain multiple records that share the same key. Using normal database get operations, you can only ever obtain the first such record in a set of duplicate records. To access subsequent duplicates, use a cursor. The following `Cursor` methods are interesting when working with databases that support duplicate records:

- `Cursor.getNext()`, `Cursor.getPrev()`

Shows the next/previous record in the database, regardless of whether it is a duplicate of the current record. For an example of using these methods, see [Getting Records Using the Cursor \(page 57\)](#).

- `Cursor.getSearchBothRange()`

Useful for seeking the cursor to a specific record, regardless of whether it is a duplicate record. See [Searching for Records \(page 59\)](#) for more information.

- `Cursor.getNextNoDup()`, `Cursor.getPrevNoDup()`

Gets the next/previous non-duplicate record in the database. This allows you to skip over all the duplicates in a set of duplicate records. If you call `Cursor.getPrevNoDup()`, then the cursor is positioned to the last record for the previous key in the database. For example, if you have the following records in your database:

```
Alabama/Athens  
Alabama/Florence  
Alaska/Anchorage  
Alaska/Fairbanks  
Arizona/Avondale  
Arizona/Florence
```

and your cursor is positioned to `Alaska/Fairbanks`, and you then call `Cursor.getPrevNoDup()`, then the cursor is positioned to `Alabama/Florence`. Similarly, if you call `Cursor.getNextNoDup()`, then the cursor is positioned to the first record corresponding to the next key in the database.

If there is no next/previous key in the database, then `OperationStatus.NOTFOUND` is returned, and the cursor is left unchanged.

- `Cursor.getNextDup()`, `Cursor.getPrevDup()`

Gets the next/previous record that shares the current key. If the cursor is positioned at the last record in the duplicate set and you call `Cursor.getNextDup()`, then `OperationStatus.NOTFOUND` is returned and the cursor is left unchanged. Likewise, if you call `getPrevDup()` and the cursor is positioned at the first record in the duplicate set, then `OperationStatus.NOTFOUND` is returned and the cursor is left unchanged.

- `Cursor.count()`

Returns the total number of records that share the current key.

For example, the following code fragment positions a cursor to a key and, if the key contains duplicate records, displays all the duplicates. Note that the following code fragment assumes that the database contains only `String` objects for the keys and data.

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity
    ...

    // Create DatabaseEntry objects
    // searchKey is some String.
    DatabaseEntry theKey = new DatabaseEntry(searchKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    // Open a cursor using a database handle
    cursor = myDatabase.openCursor(null, null);

    // Position the cursor
    // Ignoring the return value for clarity
    OperationStatus retVal = cursor.getSearchKey(theKey, theData,
                                                LockMode.DEFAULT);

    // Count the number of duplicates. If the count is greater than 1,
    // print the duplicates.
    if (cursor.count() > 1) {
        while (retVal == OperationStatus.SUCCESS) {
```

```
String keyString = new String(theKey.getData());
String dataString = new String(theData.getData());
System.out.println("Key | Data : " + keyString + " | " +
    dataString + "");

    retVal = cursor.getNextDup(theKey, theData, LockMode.DEFAULT);
}
}
} catch (Exception e) {
    // Exception handling goes here
} finally {
    // Make sure to close the cursor
    cursor.close();
}
```

## Putting Records Using Cursors

You can use cursors to put records into the database. JE's behavior when putting records into the database differs depending on whether the database supports duplicate records. If duplicates are allowed, its behavior also differs depending on whether a comparator is provided for the database. (Comparators are described in [Using Comparators \(page 42\)](#)).

Note that when putting records to the database using a cursor, the cursor is positioned at the record you inserted.

You can use the following methods to put records to the database:

- `Cursor.put()`

If the provided key does not exist in the database, then the order that the record is put into the database is determined by the BTree (key) comparator in use by the database.

If the provided key already exists in the database, and the database does not support sorted duplicates, then the existing record data is replaced with the data provided on this method.

If the provided key already exists in the database, and the database does support sorted duplicates, then the order that the record is inserted into the database is determined by the duplicate comparator in use by the database.

- `Cursor.putNoDupData()`

If the provided key and data already exists in the database, then this method returns `OperationStatus.KEYEXIST`.

If the key does not exist, then the order that the record is put into the database is determined by the BTree (key) comparator in use by the database.

- `Cursor.putNoOverwrite()`

If the provided key already exists in the database, then this method returns `OperationStatus.KEYEXIST`.

If the key does not exist, then the order that the record is put into the database is determined by the `BTree (key) comparator` in use by the database.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.OperationStatus;

...

// Create the data to put into the database
String key1str = "My first string";
String data1str = "My first data";
String key2str = "My second string";
String data2str = "My second data";
String data3str = "My third data";

Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity
    ...

    DatabaseEntry key1 = new DatabaseEntry(key1str.getBytes("UTF-8"));
    DatabaseEntry data1 = new DatabaseEntry(data1str.getBytes("UTF-8"));
    DatabaseEntry key2 = new DatabaseEntry(key2str.getBytes("UTF-8"));
    DatabaseEntry data2 = new DatabaseEntry(data2str.getBytes("UTF-8"));
    DatabaseEntry data3 = new DatabaseEntry(data3str.getBytes("UTF-8"));

    // Open a cursor using a database handle
    cursor = myDatabase.openCursor(null, null);

    // Assuming an empty database.

    OperationStatus retVal = cursor.put(key1, data1); // SUCCESS
    retVal = cursor.put(key2, data2); // SUCCESS
    retVal = cursor.put(key2, data3); // SUCCESS if dups allowed,
    // KEYEXIST if not.

} catch (Exception e) {
```

```
    // Exception handling goes here
} finally {
    // Make sure to close the cursor
    cursor.close();
}
```

## Deleting Records Using Cursors

To delete a record using a cursor, simply position the cursor to the record that you want to delete and then call `Cursor.delete()`. Note that after deleting a record, the value of `Cursor.getCurrent()` is unchanged until such a time as the cursor is moved again. Also, if you call `Cursor.delete()` two or more times in a row without repositioning the cursor, then all subsequent deletes result in a return value of `OperationStatus.KEYEMPTY`.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...

Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity
    ...
    // Create DatabaseEntry objects
    // searchKey is some String.
    DatabaseEntry theKey = new DatabaseEntry(searchKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    // Open a cursor using a database handle
    cursor = myDatabase.openCursor(null, null);

    // Position the cursor. Ignoring the return value for clarity
    OperationStatus retVal = cursor.getSearchKey(theKey, theData,
                                                LockMode.DEFAULT);

    // Count the number of records using the given key. If there is only
    // one, delete that record.
    if (cursor.count() == 1) {
        System.out.println("Deleting " +
                           new String(theKey.getData()) + "|" +
                           new String(theData.getData()));
    }
}
}
```

```
        cursor.delete();
    }
} catch (Exception e) {
    // Exception handling goes here
} finally {
    // Make sure to close the cursor
    cursor.close();
}
```

## Replacing Records Using Cursors

You replace the data for a database record by using `Cursor.putCurrent()`. This method takes just one argument – the data that you want to write to the current location in the database.

```
import com.sleepycat.je.Cursor;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

...
Cursor cursor = null;
try {
    ...
    // Database and environment open omitted for brevity
    ...
    // Create DatabaseEntry objects
    // searchKey is some String.
    DatabaseEntry theKey = new DatabaseEntry(searchKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    // Open a cursor using a database handle
    cursor = myDatabase.openCursor(null, null);

    // Position the cursor. Ignoring the return value for clarity
    OperationStatus retVal = cursor.getSearchKey(theKey, theData,
                                                LockMode.DEFAULT);

    // Replacement data
    String replaceStr = "My replacement string";
    DatabaseEntry replacementData =
        new DatabaseEntry(replaceStr.getBytes("UTF-8"));
    cursor.putCurrent(replacementData);
} catch (Exception e) {
    // Exception handling goes here
} finally {
    // Make sure to close the cursor
```

```
cursor.close();  
}
```

Note that this method cannot be used if the record that you are trying to replace is a member of a duplicate set. This is because records must be sorted by their data and replacement would violate that sort order.

If you want to replace the data contained by a duplicate record, delete the record and create a new record with the desired key and data.

## Cursor Example

In [Database Example \(page 22\)](#) we wrote an application that loaded two `Database` objects with vendor and inventory information. In this example, we will use those databases to display all of the items in the inventory database. As a part of showing any given inventory item, we will look up the vendor who can provide the item and show the vendor's contact information.

To do this, we create the `ExampleInventoryRead` application. This application reads and displays all inventory records by:

1. Opening the environment and then the inventory, vendor, and class catalog `Database` objects. We do this using the `MyDbEnv` class. See [Stored Class Catalog Management with MyDbEnv \(page 48\)](#) for a description of this class.
2. Obtaining a cursor from the inventory `Database`.
3. Steps through the `Database`, displaying each record as it goes.
4. To display the Inventory record, the custom tuple binding that we created in [InventoryBinding.java \(page 47\)](#) is used.
5. `Database.get()` is used to obtain the vendor that corresponds to the inventory item.
6. A serial binding is used to convert the `DatabaseEntry` returned by the `get()` to a `Vendor` object.
7. The contents of the `Vendor` object are displayed.

We implemented the `Vendor` class in [Vendor.java \(page 45\)](#). We implemented the `Inventory` class in [Inventory.java \(page 44\)](#).

The full implementation of `ExampleInventoryRead` can be found in:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
ExampleInventoryRead.java
```

where `JE_HOME` is the location where you placed your JE distribution.

## Example 5.1. ExampleInventoryRead.java

To begin, we import the necessary classes:

```
// file ExampleInventoryRead.java
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.serial.SerialBinding;
import com.sleepycat.bind.tuple.TupleBinding;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;

import java.io.File;
import java.io.IOException;
```

Next we declare our class and set up some global variables. Note a `MyDbEnv` object is instantiated here. We can do this because its constructor never throws an exception. See [Database Example \(page 22\)](#) for its implementation details.

```
public class ExampleInventoryRead {

    private static File myDbEnvPath =
        new File("/tmp/JEDB");

    // Encapsulates the database environment and databases.
    private static MyDbEnv myDbEnv = new MyDbEnv();

    private static TupleBinding inventoryBinding;
    private static EntryBinding vendorBinding;
```

Next we create the `ExampleInventoryRead.usage()` and `ExampleInventoryRead.main()` methods. We perform almost all of our exception handling from `ExampleInventoryRead.main()`, and so we must catch `DatabaseException` because the `com.sleepycat.je.*` APIs throw them.

```
private static void usage() {
    System.out.println("ExampleInventoryRead [-h <env directory>]");
    System.exit(0);
}

public static void main(String args[]) {
    ExampleInventoryRead eir = new ExampleInventoryRead();
    try {
        eir.run(args);
    } catch (DatabaseException dbe) {
```

```

        System.err.println("ExampleInventoryRead: " + dbe.toString());
        dbe.printStackTrace();
    } finally {
        myDbEnv.close();
    }
    System.out.println("All done.");
}

```

In `ExampleInventoryRead.run()`, we call `MyDbEnv.setup()` to open our environment and databases. Then we create the bindings that we need for using our data objects with `DatabaseEntry` objects.

```

private void run(String args[]) throws DatabaseException {
    // Parse the arguments list
    parseArgs(args);

    myDbEnv.setup(myDbEnvPath, // path to the environment home
                 true);      // is this environment read-only?

    // Setup our bindings.
    inventoryBinding = new InventoryBinding();
    vendorBinding =
        new SerialBinding(myDbEnv.getClassCatalog(),
                          Vendor.class);

    showAllInventory();
}

```

Now we write the loop that displays the `Inventory` records. We do this by opening a cursor on the inventory database and iterating over all its contents, displaying each as we go.

```

private void showAllInventory()
    throws DatabaseException {
    // Get a cursor
    Cursor cursor = myDbEnv.getInventoryDB().openCursor(null, null);

    // DatabaseEntry objects used for reading records
    DatabaseEntry foundKey = new DatabaseEntry();
    DatabaseEntry foundData = new DatabaseEntry();

    try { // always want to make sure the cursor gets closed.
        while (cursor.getNext(foundKey, foundData,
                               LockMode.DEFAULT) == OperationStatus.SUCCESS) {
            Inventory theInventory =
                (Inventory)inventoryBinding.entryToObject(foundData);
            displayInventoryRecord(foundKey, theInventory);
        }
    } catch (Exception e) {
        System.err.println("Error on inventory cursor:");
        System.err.println(e.toString());
        e.printStackTrace();
    }
}

```

```
    } finally {  
        cursor.close();  
    }  
}
```

We use `ExampleInventoryRead.displayInventoryRecord()` to actually show the record. This method first displays all the relevant information from the retrieved Inventory object. It then uses the vendor database to retrieve and display the vendor. Because the vendor database is keyed by vendor name, and because each inventory object contains this key, it is trivial to retrieve the appropriate vendor record.

```
private void displayInventoryRecord(DatabaseEntry theKey,  
                                   Inventory theInventory)  
    throws DatabaseException {  
  
    String theSKU = new String(theKey.getData());  
    System.out.println(theSKU + ":");  
    System.out.println("\t " + theInventory.getItemName());  
    System.out.println("\t " + theInventory.getCategory());  
    System.out.println("\t " + theInventory.getVendor());  
    System.out.println("\t\tNumber in stock: " +  
        theInventory.getVendorInventory());  
    System.out.println("\t\tPrice per unit:  " +  
        theInventory.getVendorPrice());  
    System.out.println("\t\tContact: ");  
  
    DatabaseEntry searchKey = null;  
    try {  
        searchKey =  
            new DatabaseEntry(theInventory.getVendor().getBytes("UTF-8"));  
    } catch (IOException willNeverOccur) {}  
    DatabaseEntry foundVendor = new DatabaseEntry();  
  
    if (myDbEnv.getVendorDB().get(null, searchKey, foundVendor,  
        LockMode.DEFAULT) != OperationStatus.SUCCESS) {  
        System.out.println("Could not find vendor: " +  
            theInventory.getVendor() + ".");  
        System.exit(-1);  
    } else {  
        Vendor theVendor =  
            (Vendor)vendorBinding.entryToObject(foundVendor);  
        System.out.println("\t\t " + theVendor.getAddress());  
        System.out.println("\t\t " + theVendor.getCity() + ", " +  
            theVendor.getState() + " " + theVendor.getZipcode());  
        System.out.println("\t\t Business Phone: " +  
            theVendor.getBusinessPhoneNumber());  
        System.out.println("\t\t Sales Rep: " +  
            theVendor.getRepName());  
    }  
}
```



---

## Chapter 6. Secondary Databases

Usually you find database records by means of the record's key. However, the key that you use for your record will not always contain the information required to provide you with rapid access to the data that you want to retrieve. For example, suppose your `Database` contains records related to users. The key might be a string that is some unique identifier for the person, such as a user ID. Each record's data, however, would likely contain a complex object containing details about people such as names, addresses, phone numbers, and so forth. While your application may frequently want to query a person by user ID (that is, by the information stored in the key), it may also on occasion want to location people by, say, their name.

Rather than iterate through all of the records in your database, examining each in turn for a given person's name, you create indexes based on names and then just search that index for the name that you want. You can do this using secondary databases. In JE, the `Database` that contains your data is called a *primary database*. A database that provides an alternative set of keys to access that data is called a *secondary database*, and these are managed using `SecondaryDatabase` class objects. In a secondary database, the keys are your alternative (or secondary) index, and the data corresponds to a primary record's key.

You create a secondary database by using a `SecondaryConfig` class object to identify an implementation of a `SecondaryKeyCreator` class object that is used to create keys based on data found in the primary database. You then pass this `SecondaryConfig` object to the `SecondaryDatabase` constructor.

Once opened, JE manages secondary databases for you. Adding or deleting records in your primary database causes JE to update the secondary as necessary. Further, changing a record's data in the primary database may cause JE to modify a record in the secondary, depending on whether the change forces a modification of a key in the secondary database.

Note that you can not write directly to a secondary database. While methods exist on `SecondaryDatabase` and `SecondaryCursor` that appear to allow this, they in fact always throw `UnsupportedOperationException`. To change the data referenced by a `SecondaryDatabase` record, modify the primary database instead. The exception to this rule is that delete operations are allowed on the `SecondaryDatabase` object. See [Deleting Secondary Database Records \(page 80\)](#) for more information.



Secondary database records are updated/created by JE only if the `SecondaryKeyCreator.createSecondaryKey()` method returns `true`. If `false` is returned, then JE will not add the key to the secondary database, and in the event of a record update it will remove any existing key.

See [Implementing Key Creators \(page 76\)](#) for more information on this interface and method.

When you read a record from a secondary database, JE automatically returns the key and data from the corresponding record in the primary database.

## Opening and Closing Secondary Databases

You manage secondary database opens and closes using the `Environment.openSecondaryDatabase()` method. Just as is the case with primary databases, you must provide `Environment.openSecondaryDatabase()` with the database's name and, optionally, other properties such as whether duplicate records are allowed, or whether the secondary database can be created on open. In addition, you must also provide:

- A handle to the primary database that this secondary database is indexing. Note that this means that secondary databases are maintained only for the specified `Database` handle. If you open the same `Database` multiple times for write (such as might occur when opening a database for read-only and read-write in the same application), then you should open the `SecondaryDatabase` for each such `Database` handle.
- A `SecondaryConfig` object that provides properties specific to a secondary database. The most important of these is used to identify the key creator for the database. The key creator is responsible for generating keys for the secondary database. See [Secondary Database Properties \(page 79\)](#) for details.

So to open (create) a secondary database, you:

1. Open your primary database.
2. Instantiate your key creator.
3. Instantiate your `SecondaryConfig` object.
4. Set your key creator object on your `SecondaryConfig` object.
5. Open your secondary database, specifying your primary database and your `SecondaryConfig` at that time.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.SecondaryDatabase;
import com.sleepycat.je.SecondaryConfig;

import java.io.File;

...

DatabaseConfig myDbConfig = new DatabaseConfig();
```

```

SecondaryConfig mySecConfig = new SecondaryConfig();
myDbConfig.setAllowCreate(true);
mySecConfig.setAllowCreate(true);
// Duplicates are frequently required for secondary databases.
mySecConfig.setSortedDuplicates(true);

// Open the primary
Environment myEnv = null;
Database myDb = null;
SecondaryDatabase mySecDb = null;
try {
    String dbName = "myPrimaryDatabase";

    myEnv = new Environment(new File("/tmp/JEENV"), null);
    myDb = myEnv.openDatabase(null, dbName, myDbConfig);

    // A fake tuple binding that is not actually implemented anywhere
    // in this manual. The tuple binding is dependent on the data in use.
    // Tuple bindings are described earlier in this manual.
    TupleBinding myTupleBinding = new MyTupleBinding();

    // Open the secondary.
    // Key creators are described in the next section.
    FullNameKeyCreator keyCreator = new FullNameKeyCreator(myTupleBinding);

    // Get a secondary object and set the key creator on it.
    mySecConfig.setKeyCreator(keyCreator);

    // Perform the actual open
    String secDbName = "mySecondaryDatabase";
    mySecDb = myEnv.openSecondaryDatabase(null, secDbName, myDb,
                                          mySecConfig);
} catch (DatabaseException de) {
    // Exception handling goes here ...
}

```

To close a secondary database, call its `close()` method. Note that for best results, you should close all the secondary databases associated with a primary database before closing the primary.

For example:

```

try {
    if (mySecDb != null) {
        mySecDb.close();
    }

    if (myDb != null) {
        myDb.close();
    }
}

```

```
        if (myEnv != null) {
            myEnv.close();
        }
    } catch (DatabaseException dbe) {
        // Exception handling goes here
    }
}
```

## Implementing Key Creators

You must provide every secondary database with a class that creates keys from primary records. You identify this class using the `SecondaryConfig.setKeyCreator()` method.

You can create keys using whatever data you want. Typically you will base your key on some information found in a record's data, but you can also use information found in the primary record's key. How you build your keys is entirely dependent upon the nature of the index that you want to maintain.

You implement a key creator by writing a class that implements the `SecondaryKeyCreator` interface. This interface requires you to implement the `SecondaryKeyCreator.createSecondaryKey()` method.

One thing to remember when implementing this method is that you will need a way to extract the necessary information from the data's `DatabaseEntry` and/or the key's `DatabaseEntry` that are provided on calls to this method. If you are using complex objects, then you are probably using the Bind APIs to perform this conversion. The easiest thing to do is to instantiate the `EntryBinding` or `TupleBinding` that you need to perform the conversion, and then provide this to your key creator's constructor. The Bind APIs are introduced in [Using the BIND APIs \(page 31\)](#).

`SecondaryKeyCreator.createSecondaryKey()` returns a boolean. A return value of `false` indicates that no secondary key exists, and therefore no record should be added to the secondary database for that primary record. If a record already exists in the secondary database, it is deleted.

For example, suppose your primary database uses the following class for its record data:

```
package com.sleepycat.examples.je.gettingStarted;

public class PersonData {
    private String userID;
    private String surname;
    private String familiarName;

    public PersonData(String userID, String surname, String familiarName) {
        this.userID = userID;
        this.surname = surname;
        this.familiarName = familiarName;
    }
}
```

```

public String getUserID() {
    return userID;
}

public String getSurname() {
    return surname;
}

public String getFamiliarName() {
    return familiarName;
}
}

```

Also, suppose that you have created a custom tuple binding, `PersonDataBinding`, that you use to convert `PersonData` objects to and from `DatabaseEntry` objects. (Custom tuple bindings are described in [Custom Tuple Bindings \(page 38\)](#).)

Finally, suppose you want a secondary database that is keyed based on the person's full name.

Then in this case you might create a key creator as follows:

```

package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;

import com.sleepycat.je.SecondaryKeyCreator;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.SecondaryDatabase;

import java.io.IOException;

public class FullNameKeyCreator implements SecondaryKeyCreator {

    private TupleBinding theBinding;

    public FullNameKeyCreator(TupleBinding theBinding1) {
        theBinding = theBinding1;
    }

    public boolean createSecondaryKey(SecondaryDatabase secDb,
                                     DatabaseEntry keyEntry,
                                     DatabaseEntry dataEntry,
                                     DatabaseEntry resultEntry) {

        // If the dataEntry parameter is null, then we can
        // not create the key
        if (dataEntry == null) {
            return false;
        }
    }
}

```

```

    } else { // Create the key
        try {
            PersonData pd =
                (PersonData) theBinding.entryToObject(dataEntry);
            String fullName = pd.getFamiliarName() + " " +
                pd.getSurname();
            resultEntry.setData(fullName.getBytes("UTF-8"));
        } catch (IOException willNeverOccur) {}
    }
    return true;
}
}

```

Finally, you use this key creator as follows:

```

package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.SecondaryDatabase;
import com.sleepycat.je.SecondaryConfig;

...
Environment myEnv = null;
Database myDb = null;
SecondaryDatabase mySecDb = null;
try {
    // Environment and primary database open omitted for brevity
    ...

    TupleBinding myDataBinding = new MyTupleBinding();
    FullNameKeyCreator fnkc = new FullNameKeyCreator(myDataBinding);

    SecondaryConfig mySecConfig = new SecondaryConfig();
    mySecConfig.setKeyCreator(fnkc);

    //Perform the actual open
    String secDbName = "mySecondaryDatabase";
    mySecDb = myEnv.openSecondaryDatabase(null, secDbName, myDb,
                                         mySecConfig);
} catch (DatabaseException de) {
    // Exception handling goes here
} finally {
    try {
        if (mySecDb != null) {
            mySecDb.close();

```

```
    }  
  
    if (myDb != null) {  
        myDb.close();  
    }  
  
    if (myEnv != null) {  
        myEnv.close();  
    }  
} catch (DatabaseException dbe) {  
    // Exception handling goes here  
}  
}
```

## Secondary Database Properties

Secondary databases accept `SecondaryConfig` objects. `SecondaryConfig` is a subclass of `DatabaseConfig`, so it can manage all of the same properties as does `DatabaseConfig`. See [Database Properties \(page 19\)](#) for more information.

In addition to the `DatabaseConfig` properties, `SecondaryConfig` also allows you to manage the following properties:

- `SecondaryConfig.setAllowPopulate()`

If true, the secondary database can be autopopulated. This means that on open, if the secondary database is empty then the primary database is read in its entirety and additions/modifications to the secondary's records occur automatically.

- `SecondaryConfig.setKeyCreator()`

Identifies the key creator object to be used for secondary key creation. See [Implementing Key Creators \(page 76\)](#) for more information.

## Reading Secondary Databases

Like a primary database, you can read records from your secondary database either by using the `SecondaryDatabase.get()` method, or by using a `SecondaryCursor`. The main difference between reading secondary and primary databases is that when you read a secondary database record, the secondary record's data is not returned to you. Instead, the primary key and data corresponding to the secondary key are returned to you.

For example, assuming your secondary database contains keys related to a person's full name:

```
package com.sleepycat.examples.je.gettingStarted;  
  
import com.sleepycat.je.DatabaseEntry;  
import com.sleepycat.je.LockMode;  
import com.sleepycat.je.OperationStatus;
```

```
import com.sleepycat.je.SecondaryDatabase;

...
try {
    // Omitting all database and environment opens
    ...

    String searchName = "John Doe";
    DatabaseEntry searchKey =
        new DatabaseEntry(searchName.getBytes("UTF-8"));
    DatabaseEntry primaryKey = new DatabaseEntry();
    DatabaseEntry primaryData = new DatabaseEntry();

    // Get the primary key and data for the user 'John Doe'.
    OperationStatus retVal = mySecondaryDatabase.get(null, searchKey,
                                                    primaryKey,
                                                    primaryData,
                                                    LockMode.DEFAULT);

} catch (Exception e) {
    // Exception handling goes here
}
```

Note that, just like `Database.get()`, if your secondary database supports duplicate records then `SecondaryDatabase.get()` only return the first record found in a matching duplicates set. If you want to see all the records related to a specific secondary key, then use a `SecondaryCursor` (described in [Using Secondary Cursors \(page 81\)](#)).

## Deleting Secondary Database Records

In general, you can not modify a secondary database directly. In order to modify a secondary database, you should modify the primary database and simply allow JE to manage the secondary modifications for you.

However, as a convenience, you can delete `SecondaryDatabase` records directly. Doing so causes the associated primary key/data pair to be deleted. This in turn causes JE to delete all `SecondaryDatabase` records that reference the primary record.

You can use the `SecondaryDatabase.delete()` method to delete a secondary database record. Note that if your database supports duplicate records, then only the first record in the matching duplicates set is deleted by this method. To delete all the duplicate records that use a given key, use a `SecondaryCursor`.



`SecondaryDatabase.delete()` causes the previously describe delete operations to occur only if:

- the `SecondaryKeyCreator.createSecondaryKey()` method returns true (see [Implementing Key Creators \(page 76\)](#) for information on this interface and method).
- the primary database is opened for write access.

If either of these conditions are not met, then no delete operations can be performed on the secondary database.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.OperationStatus;
import com.sleepycat.je.SecondaryDatabase;

...
try {
    // Omitting all database and environment opens
    ...

    String searchName = "John Doe";
    DatabaseEntry searchKey =
        new DatabaseEntry(searchName.getBytes("UTF-8"));

    // Delete the first secondary record that uses "John Doe" as
    // a key. This causes the primary record referenced by this secondary
    // record to be deleted.
    OperationStatus retVal = mySecondaryDatabase.delete(null, searchKey);
} catch (Exception e) {
    // Exception handling goes here
}
```

## Using Secondary Cursors

Just like cursors on a primary database, you can use secondary cursors to iterate over the records in a secondary database. Like normal cursors, you can also use secondary cursors to search for specific records in a database, to seek to the first or last record in the database, to get the next duplicate record, to get the next non-duplicate record, and so forth. For a complete description on cursors and their capabilities, see [Using Cursors \(page 56\)](#).

However, when you use secondary cursors:

- Any data returned is the data contained on the primary database record referenced by the secondary record.
- `SecondaryCursor.getSearchBoth()` and related methods do not search based on a key/data pair. Instead, you search based on a secondary key and a primary key. The data returned is the primary data that most closely matches the two keys provided for the search.

For example, suppose you are using the databases, classes, and key creators described in [Implementing Key Creators \(page 76\)](#). Then the following searches for a person's name

in the secondary database, and deletes all secondary and primary records that use that name.

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;
import com.sleepycat.je.SecondaryDatabase;
import com.sleepycat.je.SecondaryCursor;

...
try {
    // Database and environment opens omitted for brevity
    ...

    String secondaryName = "John Doe";
    DatabaseEntry secondaryKey =
        new DatabaseEntry(secondaryName.getBytes("UTF-8"));

    DatabaseEntry foundData = new DatabaseEntry();

    SecondaryCursor mySecCursor =
        mySecondaryDatabase.openSecondaryCursor(null, null);

    OperationStatus retVal = mySecCursor.getSearchKey(secondaryKey,
                                                    foundData,
                                                    LockMode.DEFAULT);

    while (retVal == OperationStatus.SUCCESS) {
        mySecCursor.delete();
        retVal = mySecCursor.getNextDup(secondaryKey,
                                        foundData,
                                        LockMode.DEFAULT);
    }
} catch (Exception e) {
    // Exception handling goes here
}
```

## Database Joins

If you have two or more secondary databases associated with a primary database, then you can retrieve primary records based on the union of multiple secondary entries. You do this using a `JoinCursor`.

Throughout this document we have presented a class that stores inventory information on grocery items. That class is fairly simple with a limited number of data members, few of which would be interesting from a query perspective. But suppose, instead, that we were storing information on something with many more queryable characteristics, such as an automobile. In that case, you may be storing information such as color, number of

doors, fuel mileage, automobile type, number of passengers, make, model, and year, to name just a few.

In this case, you would still likely be using some unique value to key your primary entries (in the United States, the automobile's VIN would be ideal for this purpose). You would then create a class that identifies all the characteristics of the automobiles in your inventory. You would also have to create some mechanism by which you would move instances of this class in and out of Java `byte` arrays. We described the concepts and mechanisms by which you can perform these activities in [Database Records \(page 25\)](#).

To query this data, you might then create multiple secondary databases, one for each of the characteristics that you want to query. For example, you might create a secondary for color, another for number of doors, another for number of passengers, and so forth. Of course, you will need a unique key creator for each such secondary database. You do all of this using the concepts and techniques described throughout this chapter.

Once you have created this primary database and all interesting secondaries, what you have is the ability to retrieve automobile records based on a single characteristic. You can, for example, find all the automobiles that are red. Or you can find all the automobiles that have four doors. Or all the automobiles that are minivans.

The next most natural step, then, is to form compound queries, or joins. For example, you might want to find all the automobiles that are red, and that were built by Toyota, and that are minivans. You can do this using a `JoinCursor` class instance.

## Using Join Cursors

To use a join cursor:

- Open two or more secondary cursors. These cursors must be obtained from secondary databases that are associated with the same primary database.
- Position each such cursor to the secondary key value in which you are interested. For example, to build on the previous description, the cursor for the color database is positioned to the `red` records while the cursor for the model database is positioned to the `minivan` records, and the cursor for the make database is positioned to `Toyota`.
- Create an array of secondary cursors, and place in it each of the cursors that are participating in your join query.
- Obtain a join cursor. You do this using the `Database.join()` method. You must pass this method the array of secondary cursors that you opened and positioned in the previous steps.
- Iterate over the set of matching records using `JoinCursor.getNext()` until `OperationStatus` is not `SUCCESS`.
- Close your join cursor.
- If you are done with them, close all your secondary cursors.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.JoinCursor;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.OperationStatus;
import com.sleepycat.je.SecondaryCursor;
import com.sleepycat.je.SecondaryDatabase;

...

// Database and secondary database opens omitted for brevity.
// Assume a primary database handle:
// automotiveDB
// Assume 3 secondary database handles:
// automotiveColorDB -- index based on automobile color
// automotiveTypeDB -- index based on automobile type
// automotiveMakeDB -- index based on the manufacturer

// Query strings:
String theColor = "red";
String theType = "minivan";
String theMake = "Toyota";

// Secondary cursors used for the query:
SecondaryCursor colorSecCursor = null;
SecondaryCursor typeSecCursor = null;
SecondaryCursor makeSecCursor = null;

// The join cursor
JoinCursor joinCursor = null;

// These are needed for our queries
DatabaseEntry foundKey = new DatabaseEntry();
DatabaseEntry foundData = new DatabaseEntry();

// All cursor operations are enclosed in a try block to ensure that they
// get closed in the event of an exception.

try {
    // Database entries used for the query:
    DatabaseEntry color = new DatabaseEntry(theColor.getBytes("UTF-8"));
    DatabaseEntry type = new DatabaseEntry(theType.getBytes("UTF-8"));
    DatabaseEntry make = new DatabaseEntry(theMake.getBytes("UTF-8"));
```

```
colorSecCursor = automotiveColorDB.openSecondaryCursor(null, null);
typeSecCursor = automotiveTypeDB.openSecondaryCursor(null, null);
makeSecCursor = automotiveMakeDB.openSecondaryCursor(null, null);

// Position all our secondary cursors to our query values.
OperationStatus colorRet =
    colorSecCursor.getSearchKey(color, foundData, LockMode.DEFAULT);
OperationStatus typeRet =
    typeSecCursor.getSearchKey(type, foundData, LockMode.DEFAULT);
OperationStatus makeRet =
    makeSecCursor.getSearchKey(make, foundData, LockMode.DEFAULT);

// If all our searches returned successfully, we can proceed
if (colorRet == OperationStatus.SUCCESS &&
    typeRet == OperationStatus.SUCCESS &&
    makeRet == OperationStatus.SUCCESS) {

    // Get a secondary cursor array and populate it with our
    // positioned cursors
    SecondaryCursor[] cursorArray = {colorSecCursor,
                                     typeSecCursor,
                                     makeSecCursor};

    // Create the join cursor
    joinCursor = automotiveDB.join(cursorArray, null);

    // Now iterate over the results, handling each in turn
    while (joinCursor.getNext(foundKey, foundData, LockMode.DEFAULT) ==
           OperationStatus.SUCCESS) {

        // Do something with the key and data retrieved in
        // foundKey and foundData
    }
}
} catch (DatabaseException dbe) {
    // Error reporting goes here
} catch (Exception e) {
    // Error reporting goes here
} finally {
    try {
        // Make sure to close out all our cursors
        if (colorSecCursor != null) {
            colorSecCursor.close();
        }
        if (typeSecCursor != null) {
            typeSecCursor.close();
        }
        if (makeSecCursor != null) {
            makeSecCursor.close();
        }
    }
}
```

```
    }
    if (joinCursor != null) {
        joinCursor.close();
    }
} catch (DatabaseException dbe) {
    // Error reporting goes here
}
}
```

## JoinCursor Properties

You can set `JoinCursor` properties using the `JoinConfig` class. Currently there is just one property that you can set:

- `JoinConfig.setNoSort()`

Specifies whether automatic sorting of input cursors is disabled. The cursors are sorted from the one that refers to the least number of data items to the one that refers to the most.

If the data is structured so that cursors with many data items also share many common elements, higher performance will result from listing those cursors before cursors with fewer data items. Turning off sorting permits applications to specify cursors in the proper order given this scenario.

The default value is `false` (automatic cursor sorting is performed).

For example:

```
// All database and environments omitted
JoinConfig config = new JoinConfig();
config.setNoSort(true);
JoinCursor joinCursor = myDb.join(cursorArray, config);
```

## Secondary Database Example

In previous chapters in this book, we built applications that load and display several JE databases. In this example, we will extend those examples to use secondary databases. Specifically:

- In [Stored Class Catalog Management with MyDbEnv \(page 48\)](#) we built a class that we can use to open and manage a `JE Environment` and one or more `Database` objects. In [Opening Secondary Databases with MyDbEnv \(page 88\)](#) we will extend that class to also open and manage a `SecondaryDatabase`.
- In [Cursor Example \(page 68\)](#) we built an application to display our inventory database (and related vendor information). In [Using Secondary Databases with ExampleInventoryRead \(page 91\)](#) we will extend that application to show inventory records based on the index we cause to be loaded using `ExampleDatabasePut`.

Before we can use a secondary database, we must implement a class to extract secondary keys for us. We use `ItemNameKeyCreator` for this purpose.

### Example 6.1. `ItemNameKeyCreator.java`

This class assumes the primary database uses `Inventory` objects for the record data. The `Inventory` class is described in [Inventory.java \(page 44\)](#).

In our key creator class, we make use of a custom tuple binding called `InventoryBinding`. This class is described in [InventoryBinding.java \(page 47\)](#).

You can find the following class in:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
ItemNameKeyCreator.java
```

where `JE_HOME` is the location where you placed your JE distribution.

```
package com.sleepycat.examples.je.gettingStarted;  
  
import com.sleepycat.je.DatabaseEntry;  
import com.sleepycat.je.DatabaseException;  
import com.sleepycat.je.SecondaryDatabase;  
import com.sleepycat.je.SecondaryKeyCreator;  
  
import com.sleepycat.bind.tuple.TupleBinding;  
  
import java.io.IOException;  
  
public class ItemNameKeyCreator implements SecondaryKeyCreator {  
  
    private TupleBinding theBinding;  
  
    // Use the constructor to set the tuple binding  
    ItemNameKeyCreator(TupleBinding binding) {  
        theBinding = binding;  
    }  
  
    // Abstract method that we must implement  
    public boolean createSecondaryKey(SecondaryDatabase secDb,  
        DatabaseEntry keyEntry,    // From the primary  
        DatabaseEntry dataEntry,   // From the primary  
        DatabaseEntry resultEntry) // set the key data on this.  
        throws DatabaseException {  
  
        if (dataEntry == null) {  
            throw new DatabaseException("Missing primary record data " +  
                "in key creator.");  
        }  
    }  
}
```

```

try {
    // Convert dataEntry to an Inventory object
    Inventory inventoryItem =
        (Inventory) theBinding.entryToObject(dataEntry);
    // Get the item name and use that as the key
    String theItem = inventoryItem.getItemName();
    resultEntry.setData(theItem.getBytes("UTF-8"));
} catch (IOException willNeverOccur) {}
return true;
}
}

```

Now that we have a key creator, we can use it to generate keys for a secondary database. We will now extend `MyDbEnv` to manage a secondary database, and to use `ItemNameKeyCreator` to generate keys for that secondary database.

## Opening Secondary Databases with MyDbEnv

In [Stored Class Catalog Management with MyDbEnv \(page 48\)](#) we built `MyDbEnv` as an example of a class that encapsulates `Environment` and `Database` opens and closes. We will now extend that class to manage a `SecondaryDatabase`.

### Example 6.2. SecondaryDatabase Management with MyDbEnv

We start by importing two additional classes needed to support secondary databases. We also add a global variable to use as a handle for our secondary database.

```

// File MyDbEnv.java

package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.bind.tuple.TupleBinding;
import com.sleepycat.bind.serial.StoredClassCatalog;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;
import com.sleepycat.je.SecondaryConfig;
import com.sleepycat.je.SecondaryDatabase;

import java.io.File;

public class MyDbEnv {

    private Environment myEnv;

    // The databases that our application uses

```

```
private Database vendorDb;
private Database inventoryDb;
private Database classCatalogDb;
private SecondaryDatabase itemNameIndexDb;

// Needed for object serialization
private StoredClassCatalog classCatalog;

// Our constructor does nothing
public MyDbEnv() {}
```

Next we update the `MyDbEnv.setup()` method to open the secondary database. As a part of this, we have to pass an `ItemNameKeyCreator` object on the call to open the secondary database. Also, in order to instantiate `ItemNameKeyCreator`, we need an `InventoryBinding` object (we described this class in [InventoryBinding.java \(page 47\)](#)). We do all this work together inside of `MyDbEnv.setup()`.

```
public void setup(File envHome, boolean readOnly)
    throws DatabaseException {

    EnvironmentConfig myEnvConfig = new EnvironmentConfig();
    DatabaseConfig myDbConfig = new DatabaseConfig();
SecondaryConfig mySecConfig = new SecondaryConfig();

    // If the environment is read-only, then
    // make the databases read-only too.
    myEnvConfig.setReadOnly(readOnly);
    myDbConfig.setReadOnly(readOnly);
mySecConfig.setReadOnly(readOnly);

    // If the environment is opened for write, then we want to be
    // able to create the environment and databases if
    // they do not exist.
    myEnvConfig.setAllowCreate(!readOnly);
    myDbConfig.setAllowCreate(!readOnly);
mySecConfig.setAllowCreate(!readOnly);

    ...
    // Environment and database opens omitted for brevity
    ...

    // Open the secondary database. We use this to create a
    // secondary index for the inventory database

    // We want to maintain an index for the inventory entries based
    // on the item name. So, instantiate the appropriate key creator
    // and open a secondary database.
    ItemNameKeyCreator keyCreator =
        new ItemNameKeyCreator(new InventoryBinding());
```

```

// Set up the secondary properties
mySecConfig.setAllowPopulate(true); // Allow autopopulate
mySecConfig.setKeyCreator(keyCreator);
// Need to allow duplicates for our secondary database
mySecConfig.setSortedDuplicates(true);

// Now open it
itemNameIndexDb =
    myEnv.openSecondaryDatabase(
        null,
        "itemNameIndex", // Index name
        inventoryDb,      // Primary database handle. This is
                        // the db that we're indexing.
        mySecConfig);    // The secondary config
}

```

Next we need an additional getter method for returning the secondary database.

```

public SecondaryDatabase getNameIndexDB() {
    return itemNameIndexDb;
}

```

Finally, we need to update the `MyDbEnv.close()` method to close the new secondary database. We want to make sure that the secondary is closed before the primaries. While this is not necessary for this example because our closes are single-threaded, it is still a good habit to adopt.

```

public void close() {
    if (myEnv != null) {
        try {
            //Close the secondary before closing the primaries
            itemNameIndexDb.close();
            vendorDb.close();
            inventoryDb.close();
            classCatalogDb.close();

            // Finally, close the environment.
            myEnv.close();
        } catch(DatabaseException dbe) {
            System.err.println("Error closing MyDbEnv: " +
                               dbe.toString());
            System.exit(-1);
        }
    }
}

```

That completes our update to `MyDbEnv`. You can find the complete class implementation in:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/MyDbEnv.java
```

where `JE_HOME` is the location where you placed your JE distribution.

Because we performed all our secondary database configuration management in `MyDbEnv`, we do not need to modify `ExampleDatabasePut` at all in order to create our secondary indices. When `ExampleDatabasePut` calls `MyDbEnv.setup()`, all of the necessary work is performed for us.

However, we still need to take advantage of the new secondary indices. We do this by updating `ExampleInventoryRead` to allow us to query for an inventory record based on its name. Remember that the primary key for an inventory record is the item's SKU. The item's name is contained in the `Inventory` object that is stored as each record's data in the inventory database. But our new secondary index now allows us to easily query based on the item's name.

## Using Secondary Databases with `ExampleInventoryRead`

In the previous section we changed `MyDbEnv` to cause a secondary database to be built using inventory item names as the secondary keys. In this section, we will update `ExampleInventoryRead` to allow us to query our inventory records based on the item name. To do this, we will modify `ExampleInventoryRead` to accept a new command line switch, `-s`, whose argument is the name of an inventory item. If the switch is present on the command line call to `ExampleInventoryRead`, then the application will use the secondary database to look up and display all the inventory records with that item name. Note that we use a `SecondaryCursor` to seek to the item name key and then display all matching records.

Remember that you can find the following class in:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
ExampleInventoryRead.java
```

where `JE_HOME` is the location where you placed your JE distribution.

### Example 6.3. `SecondaryDatabase` usage with `ExampleInventoryRead`

First we need to import a few additional classes in order to use secondary databases and cursors:

```
package com.sleepycat.examples.je.gettingStarted;  
  
import com.sleepycat.je.Cursor;  
import com.sleepycat.je.Database;  
import com.sleepycat.je.DatabaseEntry;  
import com.sleepycat.je.DatabaseException;  
import com.sleepycat.je.LockMode;  
import com.sleepycat.je.OperationStatus;  
import com.sleepycat.je.SecondaryCursor;
```

```
import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.serial.SerialBinding;
import com.sleepycat.bind.tuple.TupleBinding;

import java.io.File;
import java.io.IOException;
```

Next we add a single global variable:

```
public class ExampleInventoryRead {

    private static File myDbEnvPath =
        new File("/tmp/JEDB");

    // Encapsulates the database environment and databases.
    private static MyDbEnv myDbEnv = new MyDbEnv();

    private static TupleBinding inventoryBinding;
    private static EntryBinding vendorBinding;

    // The item to locate if the -s switch is used
    private static String locateItem;
```

Next we update `ExampleInventoryRead.run()` to check to see if the `locateItem` global variable a value. If it does, then we show just those records related to the item name passed on the `-s` switch.

```
private void run(String args[])
    throws DatabaseException {
    // Parse the arguments list
    parseArgs(args);

    myDbEnv.setup(myDbEnvPath, // path to the environment home
        true); // is this environment read-only?

    // Setup our bindings.
    inventoryBinding = new InventoryBinding();
    vendorBinding =
        new SerialBinding(myDbEnv.getClassCatalog(),
            Vendor.class);

    if (locateItem != null) {
        showItem();
    } else {
        showAllInventory();
    }
}
```

Finally, we need to implement `ExampleInventoryRead.showItem()`. This is a fairly simple method that opens a secondary cursor, and then displays every primary record that is related to the secondary key identified by the `locateItem` global variable.

```
private void showItem() throws DatabaseException {
    SecondaryCursor secCursor = null;
    try {
        // searchKey is the key that we want to find in the
        // secondary db.
        DatabaseEntry searchKey =
            new DatabaseEntry(locateItem.getBytes("UTF-8"));

        // foundKey and foundData are populated from the primary
        // entry that is associated with the secondary db key.
        DatabaseEntry foundKey = new DatabaseEntry();
        DatabaseEntry foundData = new DatabaseEntry();

        // open a secondary cursor
        secCursor =
            myDbEnv.getNameIndexDB().openSecondaryCursor(null, null);

        // Search for the secondary database entry.
        OperationStatus retVal =
            secCursor.getSearchKey(searchKey, foundKey,
                foundData, LockMode.DEFAULT);

        // Display the entry, if one is found. Repeat until no more
        // secondary duplicate entries are found
        while(retVal == OperationStatus.SUCCESS) {
            Inventory theInventory =
                (Inventory)inventoryBinding.entryToObject(foundData);
            displayInventoryRecord(foundKey, theInventory);
            retVal = secCursor.getNextDup(searchKey, foundKey,
                foundData, LockMode.DEFAULT);
        }
    } catch (Exception e) {
        System.err.println("Error on inventory secondary cursor:");
        System.err.println(e.toString());
        e.printStackTrace();
    } finally {
        if (secCursor != null) {
            secCursor.close();
        }
    }
}
```

The only other thing left to do is to update `ExampleInventoryRead.parseArgs()` to support the `-s` command line switch. To see how this is done, see:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
ExampleInventoryRead.java
```

where *JE\_HOME* is the location where you placed your JE distribution.

---

## Chapter 7. Transactions

Transactions cause one or more database operations to be treated as a single unit of work. Either all of the operations succeed, or all of them fail. To use transactions, you specify when a transaction begins and ends, and you specify what operations are performed within the transaction. You also define when a transaction should abort (fail) in your error handling code.

JE offers full ACID coverage through its transactions. That is, JE's transactions offer:

- **Atomicity.**

Multiple database operations (most importantly, write operations) are treated as a single unit of work. In the event that you abort a transaction while it is in progress, then all write operations performed during the transaction are discarded. In this event, your database is left in the state it was in before the transaction began, regardless of the number or type of write operations that you may have performed during the course of the transaction.

Note that JE transactions can span one or more `Database` handles. However, transactions can not span `Environment` handles.

- **Consistency.**

Your JE databases will never see a partially completed transactions, no matter what happens to your application. This is true even if your application crashes while there are in-progress transactions. If the application or system fails, then either all of the database changes appear when the application next runs, or none of them appear.

- **Isolation.**

While a transaction is in progress, your databases will appear as if there are no other operations occurring outside of the transaction. That is, operations wrapped inside a transaction will always have a clean and consistent view of your databases. They never have to contend with partially updated records.

Note that JE support multiple levels of isolation. See [Transactions and Concurrency \(page 106\)](#) for more information.

- **Durability.**

Once committed, to your databases your modifications will persist even in the event of an application or system failure. Note that durability is available only if your application performs a sync when it commits a transaction.

In general you should use transactions whenever you are performing write operations. However, transaction usage does result in a performance penalty. Applications that are IO-bound might want to avoid them, especially if your databases are easily recreated such as what might occur if you are using JE as a non-persistent caching mechanism.

## Enabling and Starting Transactions

Before you can transactionally protect your database modifications, you must:

1. Enable transactions for your `Environment`. You do this using the `EnvironmentConfig.setTransactional()` method, or through the `je.env.isTransactional` `je.properties` parameter.
2. Enable transactions for your `Database`. You do this using the `DatabaseConfig.setTransactional()` method.
3. Open your `Database` from within a transaction. For best results, you should commit the transaction used to open your database as soon as the open operation completes. Using `autocommit` is an excellent way of ensuring that this happens (see below).

Once you have enabled transactions for a given environment and database, then all database modifications performed for that `Database` handle must be transactionally protected. Similarly, if you open an environment or database without enabling transactions, then you can not use transactions to protect modifications performed for that `Environment` or `Database` handle. Finally, read operations do not require transactional protection regardless of whether transactions are enabled for the environment. However, remember that you do suffer at least a small performance penalty when using transactions. If possible, you should avoid transactionally protecting read-only operations.

You start a transaction using the `Environment.beginTransaction()` method. You can commit a transaction using the `Transaction.commit()` method.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;
import com.sleepycat.je.Transaction;

import java.io.File;

...

Database myDb = null;
Environment myEnv = null;
try {
    EnvironmentConfig myEnvConfig = new EnvironmentConfig();
    DatabaseConfig myDbConfig = new DatabaseConfig();
    myEnvConfig.setTransactional(true);
    myDbConfig.setTransactional(true);
```

```
myEnv = new Environment(new File("/my/env/home"),
                        myEnvConfig);

Transaction txn = myEnv.beginTransaction(null, null);
myDb = myEnv.openDatabase(txn, "myDbName", myDbConfig);
txn.commit();
} catch (DatabaseException de) {
    // Exception handling goes here
}
```

## Committing and Aborting Transactions

When you have completed all database operations that you want to perform from within a transaction, you must commit the transaction. Committing the transaction causes the database modifications to be permanently written to the database. In most cases, committing the transaction also causes the database modifications to be flushed to stable storage.

Once a transaction has been committed, you can no longer use that same transaction handle for subsequent database operations.

Use one of the following methods to commit a transaction:

- `Transaction.commit()`

Ends the transaction and writes the modifications to your database(s). The database changes may or may not be flushed to stable storage depending on the transaction commit behavior configured for your environment. By default, the changes are flushed to stable storage. This behavior is configurable using `EnvironmentMutableConfig.setTxnNoSync()`.
- `Transaction.commitSync()`

Ends the transaction and writes the modifications to your database(s). The database modifications are flushed to stable storage.
- `Transaction.commitNoSync()`

Ends the transaction and writes the modifications to your database(s). The database modifications are not necessarily flushed to stable storage. This method is faster than `Transaction.commit()`, but also more dangerous. Use of this method might mean losing the durability aspect of the transactional subsystem, because a system crash could cause the loss of any modifications held only in memory.

## Aborting Transactions

If for some reason you do not want to commit a transaction, then call `Transaction.abort()`. Aborting the transaction causes JE to discard all modifications made to the database during the course of the transaction.

Most frequently you will want to call `Transaction.abort()` as a part of your exception handling activity. The circumstances that require you to call `Transaction.abort()` will vary depending on your application's activities. Certainly any time your application catches a `DatabaseException`, the transaction should probably be aborted.

Note that any time your application receives a `DeadlockException`, you must close any cursors opened for the transaction, abort the transaction and, optionally, start over again. For more information, see [Transactions and Deadlocks \(page 107\)](#).

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Environment;
import com.sleepycat.je.Transaction;

...

Database myDb = null;
Environment myEnv = null;
Transaction txn = null;

try {
    // Environment open omitted ...
    txn = myEnv.beginTransaction(null, null);
    myDb = myEnv.openDatabase(txn, "myDbName", null);
    txn.commit();
} catch (DatabaseException dbe) {
    if (txn != null) {
        try {
            txn.abort();
        } catch (DatabaseException txnError) {
            // Error reporting goes here
        }
    }
}
```

## Using Autocommit

If your application does not require atomicity for multiple database operations, then you can use JE's autocommit feature to transactionally protect your database operations. Essentially, autocommit is a convenience feature that causes JE to automatically use a transaction for those write operations that do not provide a transaction handle.

To use autocommit:

1. Open your environment and database such that they support transactions. See [Enabling and Starting Transactions \(page 96\)](#) for a description of how to do this.

2. Do not provide a transaction handle for the database put or delete operation. Instead, simply specify `null` for the transaction parameter. If you are using a cursor, do not provide a transaction handle when you open the cursor.

Note that when you use `autocommit`, there is no opportunity for you to explicitly abort the operation. JE, however, will abort the operation if it encounters an error during the write.

For example:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseConfig;
import com.sleepycat.je.Database;

import java.io.File;

...

// Open the environment and database such that transactions
// are supported
EnvironmentConfig myEnvConfig = new EnvironmentConfig();
DatabaseConfig myDbConfig = new DatabaseConfig();
myEnvConfig.setTransactional(true);
myDbConfig.setTransactional(true);

Database myDb = null;
Environment myEnv = null;

try {
    myEnv =
        new Environment(new File("/my/env/home"), myEnvConfig);

    // This database is opened from within a transaction using autocommit
    // because the database configuration specifies transactions.
    // As a result follow-on database operations can use transactions.
    myDb = myEnv.openDatabase(null, "myDbName", myDbConfig);

    String aKey = "myFirstKey";
    String aData = "myFirstData";

    DatabaseEntry theKey = new DatabaseEntry(aKey.getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry(aData.getBytes("UTF-8"));

    // This database put is also transactionally protected
    // using autocommit.
    myDb.put(null, theKey, theData);
```

```
} catch (Exception e) {  
    // Exception handling goes here.  
}
```

## Transactional Cursors

You transactionally protect a cursor by opening it using a transaction. All operations performed with that cursor are subsequently performed within the scope of that transaction. You must be sure to close the cursor before committing the transaction.

For example:

```
package com.sleepycat.examples.je.gettingStarted;  
  
import com.sleepycat.je.Database;  
import com.sleepycat.je.DatabaseEntry;  
import com.sleepycat.je.DatabaseException;  
import com.sleepycat.je.Environment;  
import com.sleepycat.je.Cursor;  
import com.sleepycat.je.Transaction;  
  
...  
  
Cursor cursor = null;  
Database myDb = null;  
Environment myEnv = null;  
Transaction txn = null;  
  
try {  
    ...  
    // Environment and database opens omitted for brevity  
    ...  
    DatabaseEntry key1 =  
        new DatabaseEntry((new String("key1")).getBytes("UTF-8"));  
    DatabaseEntry data1 =  
        new DatabaseEntry((new String("data1")).getBytes("UTF-8"));  
    DatabaseEntry key2 =  
        new DatabaseEntry((new String("key2")).getBytes("UTF-8"));  
    DatabaseEntry data2 =  
        new DatabaseEntry((new String("data2")).getBytes("UTF-8"));  
  
    // Start a transaction  
    txn = myEnv.beginTransaction(null, null);  
    // Open a cursor using the transaction  
    cursor = myDb.openCursor(txn, null);  
  
    // Put the data. This is transactionally protected  
    cursor.put(key1, data1);  
    cursor.put(key2, data2);
```

```
} catch (Exception e) {
    // If an error occurs, close the cursor and abort.
    // None of the write operations performed by this cursor
    // will appear in the Database.
    System.err.println("Error putting data: " + e.toString());
    try {
        if (cursor != null) {
            cursor.close();
            cursor = null;
        }

        if (txn != null) {
            txn.abort();
            txn = null;
        }
    } catch (DatabaseException dbe) {
        // Error reporting goes here
    }
} finally {
    try {
        // Close the cursor and then commit the transaction
        if (cursor != null) {
            cursor.close();
        }

        if (txn != null) {
            txn.commit();
        }
    } catch (DatabaseException dbe) {
        // Error reporting goes here
    }
}
```

## Configuring Dirty Reads

You can configure JE to use degree 1 isolation (see [Transactions and Concurrency \(page 106\)](#)) by configuring it to perform dirty reads. Dirty reads allows a reader to see modifications made but not committed by a transaction in which the read is not being performed.

Dirty reads can improve your application's performance by avoiding lock contention between the reader and other threads that are writing to the database. However, they are also dangerous because there is a possibility that the data returned as a part of a dirty read will disappear as the result of an abort on the part of the transaction who is holding the write lock. This in effect violates the consistency portion of your ACID protection.

Concurrency and transactions are described in more detail in [Transactions and Concurrency \(page 106\)](#).

You can configure the default dirty read behavior for a transaction using `TransactionConfig.setDirtyRead()`:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.Environment;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.Transaction;
import com.sleepycat.je.TransactionConfig;

...

Database myDb = null;
Environment myEnv = null;
Transaction txn = null;

try {

    // Environment and database open omitted

    ...

    TransactionConfig tc = new TransactionConfig();
    tc.setDirtyRead(true); // Dirty reads will be performed
    txn = myEnv.beginTransaction(null, tc);

    DatabaseEntry theKey =
        new DatabaseEntry((new String("theKey")).getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    myDb.get(txn, theKey, theData, LockMode.DEFAULT);
} catch (Exception e) {
    // Exception handling goes here
}
```

You can also configure the dirty read behavior on a read-by-read basis by specifying `LockMode.DIRTY_READ`:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.Environment;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.Transaction;

...


```

```
Database myDb = null;
Environment myEnv = null;
Transaction txn = null;

try {

    // Environment and database open omitted

    ...

    txn = myEnv.beginTransaction(null, null);

    DatabaseEntry theKey =
        new DatabaseEntry((new String("theKey")).getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    myDb.get(txn, theKey, theData, LockMode.DIRTY_READ);
} catch (Exception e) {
    // Exception handling goes here
}
```

When using cursors, you can specify the dirty read behavior as described above, or you can specify it using `CursorConfig.setDirtyRead()`:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Cursor;
import com.sleepycat.je.CursorConfig;
import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.Environment;
import com.sleepycat.je.Transaction;
import com.sleepycat.je.LockMode;

...

Cursor cursor = null;
Database myDb = null;
Environment myEnv = null;
Transaction txn = null;

try {

    // Environment and database open omitted

    ...

    DatabaseEntry theKey =
        new DatabaseEntry((new String("theKey")).getBytes("UTF-8"));
```

```
DatabaseEntry theData = new DatabaseEntry();

// Start a transaction
txn = myEnv.beginTransaction(null, null);

// Open a cursor using the transaction
CursorConfig cc = new CursorConfig();
cc.setDirtyRead(true);           // Perform dirty reads
cursor = myDb.openCursor(txn, cc);

cursor.getSearchKey(theKey, theData, LockMode.DEFAULT);
} catch (Exception e) {
    // Exception handling goes here
}
```

## Configuring Serializable Isolation

You can configure JE to use serializable isolation (see [Transactions and Concurrency \(page 106\)](#)). Serializable isolation prevents transactions from seeing *phantoms*. Phantoms occur when a transaction obtains inconsistent results when performing a given query.

Suppose a transaction performs a search, *S*, and as a result of that search NOTFOUND is returned. If you are using only repeatable read isolation (the default isolation level), it is possible for the same transaction to perform *S* at a later point in time and return SUCCESS instead of NOTFOUND. This can occur if another thread of control modified the database in such a way as to cause *S* to successfully locate data, where before no data was found. When this situation occurs, the results returned by *S* are said to be a *phantom*.

To prevent phantoms, you can use serializable isolation. Note that this causes JE to perform additional locking in order to prevent keys from being inserted until the transaction ends. However, this additional locking can also result in reduced concurrency for your application, which means that your database access can be slowed.

You configure serializable isolation for all transactions in your environment by using `EnvironmentConfig.setTxnSerializableIsolation()`:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.Environment;
import com.sleepycat.je.EnvironmentConfig;
import com.sleepycat.je.Transaction;
import com.sleepycat.je.LockMode;

...

Database myDb = null;
Environment myEnv = null;
```

```
Transaction txn = null;

try {

    // Open an environment
    EnvironmentConfig envConfig = new EnvironmentConfig();
    envConfig.setAllowCreate(true);
    envConfig.setTransactional(true);

    // Use serializable isolation
    envConfig.setTxnSerializableIsolation(true);

    myEnv = new Environment(myHomeDirectory, envConfig);

    // Database open omitted

    ...

    txn = myEnv.beginTransaction(null, null);

    DatabaseEntry theKey =
        new DatabaseEntry((new String("theKey")).getBytes("UTF-8"));
    DatabaseEntry theData = new DatabaseEntry();

    myDb.get(txn, theKey, theData, LockMode.DEFAULT);
} catch (Exception e) {
    // Exception handling goes here
}
```

If you do not configure serializable isolation for all transactions, you can configure serializable isolation for a specific transaction using `TransactionConfig.setSerializableIsolation()`:

```
package com.sleepycat.examples.je.gettingStarted;

import com.sleepycat.je.Database;
import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.Environment;
import com.sleepycat.je.LockMode;
import com.sleepycat.je.Transaction;
import com.sleepycat.je.TransactionConfig;

...

Database myDb = null;
Environment myEnv = null;
Transaction txn = null;

try {
```

```

// Environment and database open omitted

...

TransactionConfig tc = new TransactionConfig();
tc.setSerializableIsolation(true); // Use serializable isolation
txn = myEnv.beginTransaction(null, tc);

DatabaseEntry theKey =
    new DatabaseEntry((new String("theKey")).getBytes("UTF-8"));
DatabaseEntry theData = new DatabaseEntry();

myDb.get(txn, theKey, theData, LockMode.DEFAULT);
} catch (Exception e) {
    // Exception handling goes here
}

```

## Transactions and Concurrency

Multi-threaded transactional systems measure the protection that they offer to the data accessed by their threads of control in terms of degrees or levels of isolation. Sleepycat supports the ANSI 4 levels of isolation, although Sleepycat does not always use the ANSI names for these levels. The mapping of Sleepycat to ANSI terms are:

Degree	ANSI Term	Sleepycat Term	Definition
0	(undefined)	(undefined)	Degree 0 isolation means that one transaction will never overwrite another transaction's dirty data. Dirty data is data that a transaction has modified but not yet committed to the underlying data store.
1	READ UNCOMMITTED	Dirty Read	Degree 1 isolation means that degree 0 is observed, plus a transaction is guaranteed to not commit any modifications until the transaction ends.
2	READ COMMITTED	Degree 2	Degree 2 isolation means that degree 1 is observed, plus no transaction will ever see data dirtied by another transaction. Note that JE does not currently support this degree of isolation.
(undefined)	REPEATABLE READ	(default)	Degree 2 is observed, plus the data read by a transaction, T, will never be dirtied by another transaction before T completes.

Degree	ANSI Term	Sleepycat Term	Definition
3	SERIALIZABLE	Serializable	Degree 3 isolation means that Repeatable Read is observed, plus no transactions will see phantoms. Phantoms are records returned as a result of a search, but which were not seen by the same transaction when the identical search criteria was previously used.

By default, JE transactions offer repeatable read isolation. You can optionally configure JE to use degree 1 isolation by configuring JE to perform dirty reads. See [Configuring Dirty Reads \(page 101\)](#) for more information. You can also optionally configure JE to use degree 3 isolation. See [Configuring Serializable Isolation \(page 104\)](#) for more information.

## Transactions and Deadlocks

Transactions acquire locks on database records throughout their lifetimes, and they do not release those locks until commit or abort time. This is how JE provides isolation for its transactions. When a transaction locks a record for write access, no other transaction can access that record for write (and by default for read) until the lock is released. When a record is locked for read access, no other transaction can lock it for write access.

The result of this locking activity is that two threads of control can deadlock - that is, attempt to simultaneously lock the same record. When this happens, a `DeadlockException` is thrown for one of the deadlocked threads.

When a thread catches a `DeadlockException`, then that thread must release its locks in order to resolve the deadlock. The thread releases its locks by closing any cursors involved in the transaction and then aborting the transaction. The thread may then optionally begin a new transaction and retry the operation that it just aborted.

## Performance Considerations

Any number of operations on any number of `Database` handles can be included in a single transaction. When many operations are grouped together in a transaction, then that is considered to be a complex transaction. There is a trade-off between the number of operations included in a complex transaction and your application's throughput as well as the possibility of deadlock.

Because transactions acquire locks throughout their lifetimes, the likelihood of a deadlock occurring increases as the number of operations performed by a transaction increases. The likelihood of deadlock occurring also increases as the number of threads performing database operations increases. If your transactions become complex enough and the number of threads operating on your databases increases high enough, your application can find itself spending more time resolving deadlocks than it does performing useful work.

 JE applications will only see deadlocks when multiple transactions attempt simultaneous access of the same database records. JE performs record-level locking only. You can have multiple simultaneous complex transactions without any deadlock concerns so long as the number of records simultaneously accessed by those transactions is small.

On the other hand, a transaction commit usually results in synchronous disk I/O (this is not true for `Transaction.commitNoSync()` - see [Committing and Aborting Transactions \(page 97\)](#) for details). As a result, having longer-lived transactions or more operations in a transaction can improve your application's performance by avoiding disk I/O.

Obviously you will have to study the workload expected of your application in order to decide on how to best resolve the trade-off between reduced disk I/O and the potential for deadlocks. Consider the following as you study this problem:

- If you do decide to use complex transactions, then try to avoid running multiple complex transactions that perform simultaneous access of the same database records. Instead, try to organize your transactions so that they do not overlap in the records that they want to access. If this is not feasible, then limit yourself to a small number of threads running complex transactions so as to avoid deadlock problems. How many threads you can have accessing overlapping sets of database records will depend on the length and complexity of your transactions. Ultimately, only performance and stress testing can help you determine the mixture of numbers of threads versus transactional complexity that is appropriate for your application.
- Try to access your `Database` handles, and the records in your databases, in the same order for all transactions. Accessing databases and records in different order in multiple transactions greatly increases the likelihood of deadlocks.
- Most likely your application will have at least one (and probably many) threads that perform read-only operations. You should avoid using transactions for operations that just perform reads as transactionally protecting read-only operations can cause performance problems. For example, a transactionally protected cursor walking your database will eventually lock all of the records in your database. In this situation, your other threads have to wait until the read-only transaction completes before they can obtain a lock for their own operations.

Note, however, that read-only operations occurring in an application with one or more threads performing writes should be prepared to catch and respond to deadlock exceptions. By default read-only operations lock records that they are reading for the duration of that read. The exception to this is if you are performing dirty reads. See [Configuring Dirty Reads \(page 101\)](#) for more information.

Also, if your read operations are not transactionally protected, then there is no guarantee as to the stability of the records read in the database. Repeatedly reading the same record can cause different data to return if there are other threads writing and committing changes to the database. If your read operations require stability for their reads, then you must transactionally protect them.

## Transactions Example

In the [Secondary Database Example \(page 86\)](#) we updated the `MyDbEnv` example class to support secondary databases. We will now update it to support opening environments and databases such that transactions can be used. We will then update `ExampleDatabasePut` to transactionally protect its database writes.

Note that we will not update `ExampleInventoryRead` in this example. That application only performs single-threaded reads and there is nothing to be gained by transactionally protecting those reads.

### Example 7.1. Transaction Management with MyDbEnv

All updates to `MyDbEnv` are performed in the `MyDbEnv.setup()`. What we do is determine if the environment is open for write access. If it is, then we open our databases to support transactions. Doing this is required if transactions are to be used with them. Once the databases are configured to supported transactions, then autocommit is automatically used to perform the database opens from within transactions. This, in turn, allows subsequent operations performed on those databases to use transactions.

Note that we could have chosen to open all our databases with a single transaction, but autocommit is the easiest way for us to enable transactional usage of our databases.

In other words, the only thing we have to do here is enable transactions for our environment, and then we enable transactions for our databases.

```
public void setup(File envHome, boolean readOnly)
    throws DatabaseException {

    EnvironmentConfig myEnvConfig = new EnvironmentConfig();
    DatabaseConfig myDbConfig = new DatabaseConfig();
    SecondaryConfig mySecConfig = new SecondaryConfig();

    // If the environment is read-only, then
    // make the databases read-only too.
    myEnvConfig.setReadOnly(readOnly);
    myDbConfig.setReadOnly(readOnly);
    mySecConfig.setReadOnly(readOnly);

    // If the environment is opened for write, then we want to be
    // able to create the environment and databases if
    // they do not exist.
    myEnvConfig.setAllowCreate(!readOnly);
    myDbConfig.setAllowCreate(!readOnly);
    mySecConfig.setAllowCreate(!readOnly);

    // Allow transactions if we are writing to the database
    myEnvConfig.setTransactional(!readOnly);
}
```

```
myDbConfig.setTransactional(!readOnly);  
mySecConfig.setTransactional(!readOnly);
```

This completes our update to `MyDbEnv`. Again, you can see the complete implementation for this class:

```
JE_HOME/examples/com/sleepycat/examples/je/gettingStarted/examples/  
MyDbEnv.java
```

where `JE_HOME` is the location where you placed your JE distribution.

Next we want to take advantage of transactions when we load our inventory and vendor databases. To do this, we have to modify `ExampleDatabasePut` to use transactions with our database puts.

## Example 7.2. Using Transactions in ExampleDatabasePut

We start by importing the requisite new class:

```
package com.sleepycat.examples.je.gettingStarted;

import java.io.File;
import java.io.FileInputStream;
import java.io.BufferedReader;
import java.io.InputStreamReader;
import java.io.FileNotFoundException;
import java.util.ArrayList;

import com.sleepycat.je.DatabaseEntry;
import com.sleepycat.je.DatabaseException;
import com.sleepycat.je.Transaction;

import com.sleepycat.bind.EntryBinding;
import com.sleepycat.bind.serial.SerialBinding;
import com.sleepycat.bind.tuple.TupleBinding;
```

In this example, we choose to allow `ExampleDatabasePut.loadVendorsDb()` to use autocommit to transactionally protect each record that we put into the database. What this means is, we do not actually have to change `ExampleDatabasePut.loadVendorsDb()` because the simple action of enabling transactions for that database is enough to cause autocommit to be used for all modifications to the database that do not explicitly provide a `Transaction` object.

For our inventory data, however, we want to load everything inside a single transaction. This means we need to explicitly commit the transaction when we get done loading our data, we also have to explicitly abort the transaction in the event of an error:

```
private void loadInventoryDb()
    throws DatabaseException {

    // loadFile opens a flat-text file that contains our data
    // and loads it into a list for us to work with. The integer
    // parameter represents the number of fields expected in the
    // file.
    ArrayList inventoryArray = loadFile(inventoryFile, 6);

    // Now load the data into the database. The item's sku is the
    // key, and the data is an Inventory class object.

    // Need a tuple binding for the Inventory class.
    TupleBinding inventoryBinding = new InventoryBinding();

    // Start a transaction. All inventory items get loaded using a
    // single transaction.
    Transaction txn = myDbEnv.getEnv().beginTransaction(null, null);
```

```
for (int i = 0; i < inventoryArray.size(); i++) {
    String[] sArray = (String[])inventoryArray.get(i);
    String sku = sArray[1];
    theKey = new DatabaseEntry(sku.getBytes("UTF-8"));

    Inventory theInventory = new Inventory();
    theInventory.setItemName(sArray[0]);
    theInventory.setSku(sArray[1]);
    theInventory.setVendorPrice((new Float(sArray[2])).floatValue());
    theInventory.setVendorInventory(
        (new Integer(sArray[3])).intValue());
    theInventory.setCategory(sArray[4]);
    theInventory.setVendor(sArray[5]);

    // Place the Vendor object on the DatabaseEntry object using our
    // the tuple binding we implemented in InventoryBinding.java
    inventoryBinding.objectToEntry(theInventory, theData);

    // Put it in the database. Note that this causes our
    // secondary database to be automatically updated for us.
    try {
        myDbEnv.getInventoryDB().put(txn, theKey, theData);
    } catch (DatabaseException dbe) {
        System.out.println("Error putting entry " + sku.getBytes());
        txn.abort();
        throw dbe;
    }
}
// Commit the transaction. The data is now safely written to the
// inventory database.
txn.commit();
}
```

---

## Chapter 8. Backing up and Restoring Berkeley DB Java Edition Applications

Fundamentally, you backup your databases by copying JE log files off to a safe storage location. To restore your database from a backup, you copy those files to an appropriate directory on disk and reopen your JE application

Beyond these simple activities, there are some differing backup strategies that you may want to consider. These topics are described in this chapter.

### Databases and Log Files

Before describing JE backup and restore, it is necessary to describe some of JE's internal workings. In particular, a high-level understanding of JE log files and the in-memory cache is required. You also need to understand a little about how JE is using its internal data structures in order to understand why checkpoints and/or syncs are required.

You can skip this section so long as you understand that:

- JE databases are stored in log files contained in your environment directory.
- Every time a JE environment is opened, normal recovery is run.
- For transactional applications, checkpoints should be run in order to bound normal recovery time. Checkpoints are normally run by the checkpointer thread. See [The Checkpointer Thread \(page 121\)](#) for information on managing this thread.
- For non-transactional applications, environment syncs must be performed if you want to guarantee the persistence of your database modifications. Environment syncs are manually performed by the application developer. See [Data Persistence \(page 30\)](#) for details.

### Log File Overview

Your JE database is stored on-disk in a series of log files. JE uses no-overwrite log files, which is to say that JE only ever appends data to the end of a log file. It will never delete or modify an existing log file record.

JE log files are named `NNNNNNNN.jdb` where `NNNNNNNN` is an 8-digit hexadecimal number that increases by 1 (starting from `00000000`) for each log file written to disk.

JE creates a new log file whenever the current log file has reached a pre-configured size (10000000 bytes by default). This size is controlled by the `je.log.fileMax` properties parameter. See [The JE Properties File \(page 119\)](#) for information on setting JE properties.

## Cleaning the Log Files

Because JE uses no-overwrite log files, the logs must be compacted or cleaned so as to conserve disk space.

JE uses the cleaner background thread to perform this task. When it runs, the cleaner thread picks the log file with the smallest number of active records and scans each log record in it. If the record is no longer active in the database tree, the cleaner does nothing. If the record is still active in the tree, then the cleaner copies the record forward to a newer log file.

Once a log file is no longer needed (that is, it no longer contains active records), then the cleaner thread deletes the log file for you. Or, optionally, the cleaner thread can simply rename the discarded log file with a `del` suffix.

JE uses a minimum log utilization property to determine how much cleaning to perform. The log files contain both obsolete and utilized records. Obsolete records are records that are no longer in use, either because they have been modified or because they have been deleted. Utilized records are those records that are currently in use. The `je.cleaner.minUtilization` property identifies the minimum percentage of log space that must be used by utilized records. If this minimum percentage is not met, then obsolete records are deleted until the minimum percentage is met.

For information on managing the cleaner thread, see [The Cleaner Thread \(page 120\)](#).

## The BTree

JE databases are internally organized as a BTree. In order to operate, JE requires the complete BTree be available to it.

When database records are created, modified, or deleted, the modifications are represented in the BTree's leaf nodes. Beyond leaf node changes, database record modifications can also cause changes to other BTree nodes and structures.

## Database Modifications

When a write operation is performed in JE, the modified data is written to a leaf node contained in the in-memory cache. If your JE writes are performed without transactions, then the in-memory cache is the only location guaranteed to receive a database modification without further intervention on the part of the application developer.

If your writes are transactionally protected, then every time a transaction is committed the leaf nodes (and *only* the leaf nodes) modified by that transaction are written to the JE log files on disk.

## Syncs

As stated above, database modifications performed without a transaction are guaranteed to only ever exist in the in-memory cache. For some class of applications, this is ideal. By not writing these modifications to the on-disk logs, the application can avoid most of the overhead caused by disk I/O.

However, if the application requires its data to persist across process runs, then the developer must manually sync database modifications to the on-disk log files (again, this is only necessary for non-transactional applications). This is done using `Environment.sync()`.

Note that syncing the cache causes JE to write all modified objects in the cache to disk. This is probably the most expensive operation that you can perform in JE. Even so, if your application requires database data to be persistent across application runs, then the cache must be synced at least before the environment is closed.

## Normal Recovery

Because of the way that JE organizes and manages its BTree, all it needs is leaf nodes in order to recreate the rest of the BTree. Essentially, this is what *normal recovery* is doing - recreating any missing parts of the internal BTree from leaf node information stored in the log files.

## Checkpoints

Recreating the BTree (that is, running normal recovery) can become expensive if over time all that is ever written to disk is BTree leaf nodes. So in order to limit the time required for normal recovery, JE runs checkpoints. Checkpoints write to your log files all the internal BTree nodes and structures modified as a part of transactional operations. This means that your log files contain a complete BTree up to the moment in time when the checkpoint was run. This means that normal recovery only needs to recreate the portion of the BTree that has been modified since the time of the last checkpoint.

Checkpoints typically write more information to disk than do transaction commits, and so they are more expensive from a disk I/O perspective. Therefore, one of the performance tuning activities that you should perform is to determine how frequently to run checkpoints. You have to balance the cost of the checkpoints against the time it will take your application to restart due to the cost of running normal recovery.

Checkpoints are normally performed by the checkpointer background thread. See [The Checkpointer Thread \(page 121\)](#) for information on managing this thread.

## Performing Backups

This section describes how to backup your JE database(s) such that catastrophic recovery is possible.

To backup your database, you can either take a complete backup or a partial backup. A partial backup is performed while database write operations are in progress.

Do not confuse complete and partial backups with the concept of a full and incremental backup. Both a complete and a partial backup are full backups - you back up the entire database. The only difference between them is how much of the contents of the in-memory cache are contained in them. On the other hand, an incremental backup is a backup of just those log files modified or created since the time of the last backup. Most backup software is capable of performing both full and incremental backups for you.

### Performing a Partial Backup

To perform a partial backup of your JE databases, copy all log files (\*.jdb files) from your environment directory to your archival location or backup media. The files must be copied in alphabetical order (numerical in effect). You do not have to stop any database operations in order to do this.

Note that if your application is not using transactions, then any modifications made to the database since the time of the last environment sync are not guaranteed to be contained in these log files. In this case, you may want to consider running a complete backup in order to guarantee the availability of all modifications made to your database.

### Performing a Complete Backup

A complete backup guarantees that you have captured the database in its entirety, including all contents of your in-memory cache, at the moment that the backup was taken. To do this, you must make sure that no write operations are in progress and all database modifications have been written to your log files on disk. To obtain a complete backup:

1. Stop writing your databases. If you are using transactions, commit or abort all on-going transactions.
2. If you are not using transactions, run `Environment.sync()` so as to ensure that all database modifications are written to disk.
3. If you are using transactions, then optionally run a checkpoint. Doing this can shorten the time required to restore your database from this back up.
4. Copy all log files (\*.jdb) from your environment directory to your archival location or backup media.

You can now resume normal database operations.

## Performing Catastrophic Recovery

Catastrophic recovery is necessary whenever your environment and/or database have been lost or corrupted due to a media failure (disk failure, for example). Catastrophic recovery is also required if normal recovery fails for any reason.

In order to perform catastrophic recovery, you must have a full back up of your databases. You will use this backup to restore your database. See [Performing Backups \(page 116\)](#) for information on running back ups.

To perform catastrophic recovery:

1. Shut down your application.
2. Delete the contents of your environment home directory (the one that experienced a catastrophic failure), if there is anything there.
3. Copy your most recent full backup into your environment home directory.
4. If you are using a backup utility that runs incremental backups of your environment directory, copy any log files generated since the time of your last full backup. Be sure to restore all log files in the order that they were written. The order is important because it is possible the same log file appears in multiple archives, and you want to run recovery using the most recent version of each log file.
5. Open the environment as normal. JE's normal recovery will run, which will bring your database to a consistent state relative to the changed data found in your log files.

You are now done restoring your database.

## Hot Standby

As a final backup/recovery strategy, you can create a hot failover. Note that using hot failovers requires your application to be able to specify its environment home directory at application startup time. Most application developers allow the environment home directory to be identified using a command line option or a configuration or properties file. If your application has its environment home hard-coded into it, you cannot use hot standbys.

You create a hot standby by periodically backing up your database to an alternative location on disk. Usually this alternative location is on a separate physical drive from where you normally keep your database, but if multiple drives are not available then you should at least put the hot failover on a separate disk partition.

You failover to your hot standby by causing your application to reopen its environment using the hot standby location.

Note that a hot standby should not be used as a substitute for backing up and archiving your data to a safe location away from your operating environment. Even if your data is spread across multiple physical disks, a truly serious catastrophe (fires, malevolent

software viruses, faulty disk controllers, and so forth) can still cause you to lose your data.

To create and maintain a hot failover:

1. Copy all log files (\*.jdb) from your environment directory to the location where you want to keep your standby. Either a complete or a partial backup can be used for this purpose, but typically a hot standby is initially created by taking a complete backup of your database. This ensures that you have captured the contents of your in-memory cache.
2. Periodically copy to your standby directory any log files that were changed or created since the time of your last copy. Most backup software is capable of performing this kind of an incremental backup for you.

Note that the frequency of your incremental copies determines the amount of data that is at risk due to catastrophic failures. For example, if you perform the incremental copy once an hour then at most your hot standby is an hour behind your production database, and so you are risking at most an hours worth of database changes.

3. Remove any \*.jdb files from the hot standby directory that have been removed or renamed to .del files in the primary directory. This is not necessary for consistency, but will help to reduce disk space consumed by the hot standby.

---

## Chapter 9. Administering Berkeley DB Java Edition Applications

There are a series of tools and parameters of interest to the administrator of a Berkeley DB Java Edition database. These tools and parameters are useful for tuning your JE database's behavior once it is in a production setting, and they are described here. This chapter, however, does not describe backing up and restoring your JE databases. See [Backing up and Restoring Berkeley DB Java Edition Applications \(page 113\)](#) for information on how to perform those procedures.

### The JE Properties File

JE applications can be controlled through a Java properties file. This file must be placed in your environment home directory and it must be named `je.properties`.

The parameters set in this file take precedence over the configuration behavior coded into the JE application by your application developers.

Usually you will use this file to control the behavior of JE's background threads, and to control the size of your in-memory cache. These topics, and the properties parameters related to them, are described in this chapter. Beyond the properties described here, there are other properties identified throughout this manual that may be of interest to you. However, the definitive identification of all the property parameters available to you is in the sample `example.properties` located in the directory where your JE distribution was unpacked.

### Managing the Background Threads

JE uses some background threads to keep your database resources within preconfigured limits. If they are going to run, the background threads are started once per application per process. That is, if your application opens the same environment multiple times, the background threads will be started just once for that process. See the following list for the default conditions that gate whether an individual thread is run. Note that you can prevent a background thread from running by using the appropriate `je.properties` parameter, but this is not recommended for production use and those parameters are not described here.

The background threads are:

- Cleaner thread.

Responsible for cleaning and deleting unused log files. See [The Cleaner Thread \(page 120\)](#) for more information.

This thread is run only if the environment is opened for write access.

- Compressor thread.

Responsible for cleaning up the internal BTree as database records are deleted. The compressor thread ensures that the BTree does not contain unused nodes. There is no need for you to manage the compressor and so it is not described further in this manual.

This thread is run only if the environment is opened for write access.

- Evictor thread.

Removes database records out of the in-memory cache in the event that the cache has reached a predefined maximum size. See [The Evictor Thread \(page 121\)](#) for more information.

This thread always runs.

- Checkpointer thread.

Responsible for running checkpoints on your environment. See [The Checkpointer Thread \(page 121\)](#) for more information.

This thread is run only if the environment is configured to support transactions.

## The Cleaner Thread

The cleaner thread is responsible for cleaning, or compacting, your log files for you. Log file cleaning is described in [Cleaning the Log Files \(page 114\)](#).

The following two properties may be of interest to you when managing the cleaner thread:

- `je.cleaner.minUtilization`

Identifies the percentage of the log file space that must be used for utilized records. If the percentage of log file space used by utilized records is too low, then the cleaner removes obsolete records until this threshold is reached. Default is 50%.

- `je.cleaner.expunge`

Identifies the cleaner's behavior in the event that it is able to remove a log file. If `true`, the log files that have been cleaned are deleted from the file system. If `false`, the log files that have been cleaned are renamed from `NNNNNNNN.jdb` to `NNNNNNNN.del`. You are then responsible for deleting the renamed files.

Note that the cleaner thread runs only if the environment is opened for write access.

## The Evictor Thread

JE limits the size of its cache based on values set for the environment. Once the size of the cache reaches the defined limit, the evictor thread evicts parts of the cache to free up cache space. Note that any modified records evicted from the cache are written to the backing files on disk. The evictor thread evicts the least used portions of the cache.

To control the amount of memory that your cache can use, use the `je.maxMemoryPercent` or `je.maxMemory` properties. For advice on sizing your cache, see [Sizing the Cache \(page 121\)](#).

Please see the `example.properties` file that is available in your JE source distribution for the default value set for this parameter.

Note that this thread always runs.

## The Checkpointer Thread

Automatically runs checkpoints. Checkpoints are described in [Checkpoints \(page 115\)](#).

Currently, the only checkpointer property that you may want to manage is `je.checkpointer.bytesInterval`. This property identifies how much JE's log files can grow before a checkpoint is run. Value is specified in bytes. Decreasing this value causes the checkpointer thread to run checkpoints more frequently. This will improve the time that it takes to run recovery, but it also increases the system resources (notably, I/O) required by JE.

## Sizing the Cache

By default, your cache is limited to a percentage of the JVM maximum memory as specified by the `-Xmx` parameter. You can change this percentage by using the `je.maxMemoryPercent` property or through `EnvironmentConfig.setCachePercent()`. That is, the maximum amount of memory available to your cache is normally calculated as:

```
je.maxMemoryPercent * JVM_maximum_memory
```

You can find out what the value for this property is by using `EnvironmentConfig.getCachePercent()`.

Note that you can cause JE to use a fixed maximum cache size by using `je.maxMemory` or by using `EnvironmentConfig.setCacheSize()`.

Also, not every JVM is capable of identifying the amount of memory requested via the `-Xmx` parameter. For those JVMs you must use `je.maxMemory` to change your maximum cache size. The default maximum memory available to your cache in this case is 38M.

Of the amount of memory allowed for your cache, 93% is used for the internal BTree and the other 7% is used for internal buffers. When your application first starts up, the 7% for buffers is immediately allocated. The remainder of the cache grows lazily as your application reads and writes data.

In order for your application to start up successfully, the Java virtual machine must have enough memory available to it (as identified by the `-Xmx` command line switch) for both your application and 7% of your maximum cache value. In order for your application to run continuously (all the while loading data into the cache), you must make sure your JVM has enough memory for your application plus the maximum cache size.

The best way to determine how large your cache needs to be is to put your application into a production environment and watch to see how much disk I/O is occurring. If the application is going to disk quite a lot to retrieve database records, then you should increase the size of your cache (provided that you have enough memory to do so).

In order to determine how frequently your application is going to disk for database records not found in the cache, you can examine the value returned by `EnvironmentStats.getNCacheMiss()`.

`EnvironmentStats.getNCacheMiss()` identifies the total number of requests for database objects that were not serviceable from the cache. This value is cumulative since the application started. The faster this number grows, the more your application is going to disk to service database operations. Upon application startup you can expect this value to grow quite rapidly. However, as time passes and your cache is seeded with your most frequently accessed database records, what you want is for this number's growth to be zero or at least very small.

Note that this statistic can only be collected from within the application itself. This means that it is up to the application developer to provide a mechanism by which this statistic can be accessed from outside the application.

For more information on collecting this statistic, see [Environment Statistics \(page 13\)](#).

## The Command Line Tools

JE ships with several command line tools that you can use to help you manage your databases. They are:

- `DbDump`  
Dumps a database to a user-readable format.
- `DbLoad`  
Loads a database from the output produced by `DbDump`
- `DbVerify`  
Verifies the structure of a database.

## DbDump

Dumps a database to a flat-text representation. Options are:

- h**  
Identifies the environment's directory. This parameter is required.
- s**  
Identifies the database to be dumped. If this option is not specified, then the **-l** is required.
- p**  
Prints database records in human-readable format.
- l**  
Lists the databases contained in the environment. If the **-s** is not provided, then this argument is required.
- f**  
Identifies the file to which the output from this command is written. The console (standard out) is used by default.
- v**  
Prints the database version number and then quits. All other command line options are ignored.

For example:

```
> java com.sleepycat.je.util.DbDump -h . -p -s VendorDB
VERSION=3
format=print
type=btree
database=VendorDB
dupsort=false
HEADER=END
  Mom's Kitchen
  sr\01\01xpt\00\0d53 Yerman Ct.t\00\0c763 554 9200t\00\0bMiddle Townt\00
  \0eMaggie Kultgent\00\10763 554 9200 x12t\00\02MNt\00\0dMom's Kitchent\00
  \0555432
  Off the Vine
  sr\01\01xpt\00\10133 American Ct.t\00\0c563 121 3800t\00\0aCentennialt\00
  \08Bob Kingt\00\10563 121 3800 x54t\00\02IAAt\00\0cOff the Vinet\00\0552002
  Simply Fresh
  sr\01\01xpt\00\1115612 Bogart Lanet\00\0c420 333 3912t\00\08Harrigant\00
  \0fCheryl Swedbergt\00\0c420 333 3952t\00\02WIt\00\0cSimply Fresht\00\0
  553704
  The Baking Pan
  sr\01\01xpt\00\0e1415 53rd Ave.t\00\0c320 442 2277t\00\07Dutchint\00\09
  Mike Roant\00\0c320 442 6879t\00\02MNt\00\0eThe Baking Pant\00\0556304
  The Pantry
```

```
sr\01\01xpt\00\11206 N. Creek Wayt\00\0c763 555 3391t\00\0bMiddle Town
t\00\0fSully Beckstromt\00\0c763 555 3391t\00\02MNt\00\0aThe Pantryt\00
\0555432
TriCounty Produce
sr\01\01xpt\00\12309 S. Main Streett\00\0c763 555 5761t\00\0bMiddle Townt
\00\0dMort Dufresnet\00\0c763 555 5765t\00\02MNt\00\11TriCounty Producet
\00\0555432
DATA=END
>
```

## DbLoad

Loads a database from the output produced by DbDump. Options are:

**-h**

Identifies the environment's directory. This parameter is required.

**-s**

Overrides the database name, causing the data to be loaded into a database that uses the name supplied to this parameter.

**-c**

Specifies configuration options. The options supplied here override the corresponding options that appear in the data that is being loaded. This option takes values of the form *name=value*, where *name* is the configuration option that you are overriding and *value* is the new value for the option.

The following options can be specified:

- database

The name of the database to be loaded. This option duplicates the functionality of this command's `-s` command line option.

- dupsort

Indicates whether duplicates are allowed in the database. A value of `true` allows duplicates in the database.

**-f**

Identifies the file from which the database is to be loaded.

**-n**

Do not overwrite existing keys in the database when loading into an already existing database. If a key/data pair cannot be loaded into the database for this reason, a warning message is displayed on the standard error output, and the key/data pair are skipped

**-T**

Causes a flat text file to be loaded into the database.

The input must be paired lines of text, where the first line of the pair is the key item, and the second line of the pair is its corresponding data item.

A simple escape mechanism, where newline and backslash (\) characters are special, is applied to the text input. Newline characters are interpreted as record separators. Backslash characters in the text will be interpreted in one of two ways: If the backslash character precedes another backslash character, the pair will be interpreted as a literal backslash. If the backslash character precedes any other character, the two characters following the backslash will be interpreted as a hexadecimal specification of a single character; for example, \0a is a newline character in the ASCII character set.

For this reason, any backslash or newline characters that naturally occur in the text input must be escaped to avoid misinterpretation by `db_load`.

**-v**

Prints the database version number and then quits. All other command line options are ignored.

For example:

```
> java com.sleepycat.je.util.DbDump -h . -s VendorDB -f vendordb.txt
> java com.sleepycat.je.util.DbLoad -h . -f vendordb.txt
>
```

## DbVerify

Examines the identified database for errors. Options are:

**-h**

Identifies the environment's directory. This parameter is required.

**-s**

Identifies the database to be verified. This parameter is required.

**-q**

Suppress the printing of any error descriptions. Instead, simply exit success or failure.

**-v**

Prints the database version number and then quits. All other command line options are ignored.

For example:

```
> java com.sleepycat.je.util.DbVerify -h . -s VendorDB

<BtreeStats>
<BottomInternalNodesByLevel total="1">
  <Item level="1" count="1"/>
</BottomInternalNodesByLevel>
<InternalNodesByLevel total="1">
  <Item level="2" count="1"/>
</InternalNodesByLevel>
<LeafNodes count="6"/>
<DeletedLeafNodes count="0"/>
<DuplicateCountLeafNodes count="0"/>
<MainTreeMaxDepth depth="2"/>
<DuplicateTreeMaxDepth depth="0"/>
</BtreeStats>
```

---

# Appendix A. Concurrent Processing in Berkeley DB Java Edition

An in-depth description of concurrent processing in JE is beyond the scope of this manual. However, there are a few things that you should be aware of as you explore JE. Note that many of these topics are described in greater detail in other parts of this book. This section is intended only to summarize JE concurrent processing.

This appendix first describes concurrency with multithreaded applications. It then goes on to describe [Multiprocess Applications \(page 128\)](#).

## Multithreaded Applications

Note the following if you are writing an application that will use multiple threads for reading and writing JE databases:

- JE database and environment handles are free-threaded (that is, are thread safe), so from a mechanical perspective you do not have to synchronize access to them when they are used by multiple threads of control.
- It is dangerous to close environments, databases and cursors when other database operations are in progress. So if you are going to share handles for these objects across threads, you should architect your application such that there is no possibility of a thread closing a handle when another thread is using that handle.
- If a transaction is shared across threads, it is safe to call `transaction.abort()` from any thread. However, be aware that any thread that attempts a database operation using an aborted transaction will throw a `DatabaseException`. You should architect your application such that your threads are able to gracefully deal with some other thread aborting the current transaction.
- If a transaction is shared across threads, make sure that `transaction.commit()` can never be called until all threads participating in the transaction have completed their database operations.
- JE always performs locking and deadlock detection. Locking is performed at the database record level. In the event that a deadlock is detected, `DeadlockException` is thrown.
- A non-transactional operation that reads a record locks it for the duration of the read. While locked for read, a write lock can not be obtained on that record. However, another read lock can be obtained for that record. This means that for threaded applications, multiple threads can simultaneously read a record, but no thread can write to the record while a read is in progress.

Note that if you are performing dirty reads, then no locking is performed for that read. Instead, JE uses internal mechanisms to ensure that the data you are reading is consistent (that is, it will not change mid-read).

Finally, it is possible to specify that you want a write lock for your read operation. You do this using `LockMode.RMW`. Use `RMW` when you know that your read will subsequently be followed up with a write operation. Doing so can help to avoid deadlocks.

- An operation that writes to a record obtains a write lock on that record. While the write lock is in progress, no other locks can be obtained for that record (either read or write).
- All locks, read or write, obtained from within a transaction are held until the transaction is either committed or aborted.

This means that the longer a transaction lives, the more likely other threads in your application are to run into deadlocks. That is, write operations performed outside of the scope of the transaction will not be able to obtain a lock on those records while the transaction is in progress. Also, by default, reads performed outside the scope of the transaction will not be able to lock records written by the transaction. However, this behavior can be overridden by configuring your reader to perform dirty reads.

## Multiprocess Applications

Note the following if you are writing an application that wants to access JE databases from multiple processes:

- In JE, you must use environments. Further, a database can be opened for write access only if the environment is opened for write access. Finally, only one process may have an environment opened for write access at a time.
- If your process attempts to open an environment for write, and another process has already opened that environment for write, then the open will fail. In this event, the process must either exit or open the environment as read-only.
- A process that opens an environment for read-only receives a snapshot of the data in that environment. If another process modifies the environment's databases in any way, the read-only version of the data will not be updated until the read-only process closes and reopens the environment (and by extension all databases in that environment).