NotesBench Disclosure Report for IBM Netfinity 3000 with

Lotus Domino 4.6a for Windows NT 4.0

Audited April 21, 1998

IBM Corporation

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Edition Notice

Executive Summary

Performance measurements using NotesBench were conducted with the IBM Netfinity 3000 (Model 8476-21U) running Lotus Domino Server Release 4.6a on Microsoft Windows NT Server Version 4.0 with Service Pack 3. Results for the IBM Netfinity 3000 are based on the NotesBench Mail-only workload run on a single configuration. The results are summarized in the following table.

| Test Script | Maximum Users | NotesMark (tpm) | Ave. Response Time (sec) | \$/User | \$/NotesMark |
|-------------|------------------|--------------------|-----------------------------|---------|--------------|
| Mail-Only | 910 | 1,267 | 0.540 | \$6.64 | \$4.77 |

The IBM Netfinity 3000, configured with one 350MHz¹ Intel** Pentium** II processor, 384MB of memory, and one 4.51GB² hard disk drive (non-RAID), supported a Mail-only workload of 910 active mail users (see price/performance results³ above).

In addition to the IBM Netfinity 3000 system under test (SUT), the benchmarked configuration used three destination servers, seven client driver systems, and one controller system. All systems were connected on a single 100Mbps Ethernet LAN segment, using the TCP/IP network protocol. Configuration details are provided in Appendix A: Overall Test Setup and Software Versions.

IBM's Server Performance Laboratory in Research Triangle Park, NC, conducted the benchmark in April, and KMDS Technical Associates, Inc., audited the results in April 1998.

NotesBench provides an objective method for evaluating the performance of different platforms running Lotus Domino Server Release 4.6x. NotesBench generates a transactions-per-minute (tpm) throughput metric, called a NotesMark, for each test, along with a value for the maximum capacity (number of users) supported, and the average response time.

Benchmarking Objectives

The benchmark objective was to provide customers with information on the number of Lotus Domino Server Release 4.6a Mail-only users supported on an entry-level configuration of the IBM Netfinity 3000 (Model 8476-21U), which ships with one 350MHz Pentium II processor. Performance measurements on IBM Netfinity and PC servers using NotesBench for the Domino Server Release 4.6x are ongoing.

Test Methodologies

Test Setup and Hardware/Software Configuration

The IBM Netfinity 3000 system under test was configured with one 350MHz Pentium II processor (512KB of L2 write-back cache), 384MB of memory, one 4.51GB Wide Ultra SCSI hard disk, a Wide Ultra SCSI PCI Adapter, and a 100/10Mbps Ethernet adapter.

A single 100Mbps Ethernet LAN segment was used. The system under test, the destination servers, and the driver systems were connected to the LAN by two Asante 100BaseT Hubs. A 133MHz Pentium-based system was used as the source driver (parent) system; IBM PC 350 computers were used as the client driver (child) systems. Three IBM PC Server 720 systems were used as destination servers. Destination mail addresses were distributed across these three destination servers.

The IBM Netfinity 3000 system under test ran Microsoft Windows NT Server Version 4.0 and Domino Server Release 4.6a. The Name and Address Book in all the clients contained person documents for 1,020 mail recipients who were randomly selected by each active Mail user. The server under test contains mail files for the 1,020 Mail users. The public Name and Address Book contains 3,000 mail-recipient person documents and each of the three destination servers contains mail files for 1,000 recipients.

The following NOTES.INI parameters were modified as recommended in the NotesBench operator's manual:

| Mail-Only Workload |
|---------------------------|
| LOG_MAILROUTING=10 |
| MAILLOGTOEVENTSONLY=1 |
| MAILUSEPROCESS=0 |
| MAILUSETHREADS=1 |
| MAILMAXTHREADS=3 |
| SERVER_SHOW_PERFORMANCE=1 |

The following parameters were added to suppress database activity logging after long runs and to capture server console output:

NO_FORCE_ACTIVITY_LOGGING=1
DEBUG_OUTFILE=_\bentre\lastrun\SUTINFO.log

All Notes server tasks were disabled except Replica, Router and Update.

The Notes executables and the Notes data files were placed on the C - partition

Test Procedures

Six child drivers were used. The number of users simulated in child drivers 1, 2, 3, 4, 5, 6 and 7 were, respectively: 150, 150, 150, 140, 120, 110 and 90. Start times for child drivers 2 through 7 were staggered, respectively, by 10, 12, 18, 13, 15 and 13 minutes. Within each child driver, users' start times were also staggered. The staggered start time for users in child drivers 1 through 7 were, respectively: 3, 4, 6, 5, 6, 8 and 8 minutes.

On ramp-up, all users were connected within 2 hours and 15 minutes. The system under test ran for an extended period of 10 hours. During the test runs, the tools used to determine steady state included Windows NT's PERFMON, the Notes Server SHOW command, and the child driver RES files. To confirm steady state, we monitored the number of users, the number of transactions per minute, and pending mail at the SUT. We confirmed steady state when:

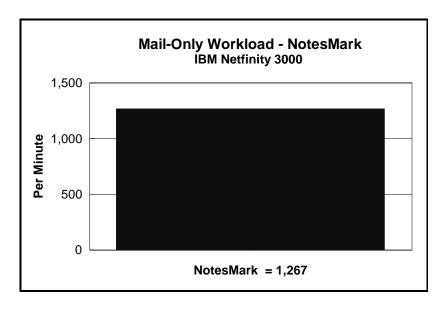
- The SUT Notes Server console sustained the peak user load
- Pending mail did not become backlogged, as verified by:
 - Inspection of mail-routing log at the SUT after the test run ended
 - Pending mail snapshots prior to stopping the test run.

To ensure that the test results were reproducible, the tests were repeated, and the results were compared and found to be consistent.

Data

IBM Netfinity 3000 NotesMark Value for Mail-Only Test

The Mail workload was run for 10 hours, including ramp-up and steady state. The IBM Netfinity 3000 system demonstrated that it can support 910 concurrent active users with this workload and still have ample CPU and memory capacity to support other applications. The NotesMark throughput value was 1,267. Average response time was 0.540 seconds.



The Mail workload executes Notes transactions that model a server for mail users at sites that rely only on mail for communication. The resulting capacity metric for a mail-only server is the maximum number of users that can be supported before the average user response time becomes unacceptable.

The mail-only test script models an active user who is reading and sending mail. The script contains an average of 15 minutes of waiting; thus, the average user would execute this script a maximum of four times each hour. For each iteration of the test script, there are 5 documents read, 2 documents updated, 2 documents deleted, 1 view scrolling operation, 1 database opened and closed, 1 view opened and closed, and some miscellaneous operations. In sending messages, each user sends a mail message to NumMessageRecipients no more frequently than every 90 minutes.

NotesNum Output for Mail-Only Test

Min Start Time = 04/11/98 07:18:50 AM Max Stop Time = 04/11/98 05:17:37 PM

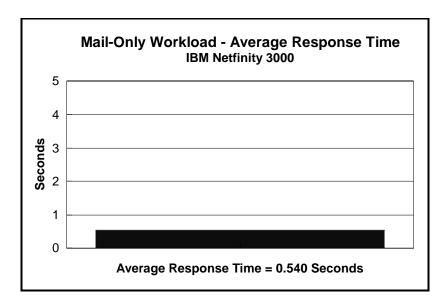
Total Test Errors = 0

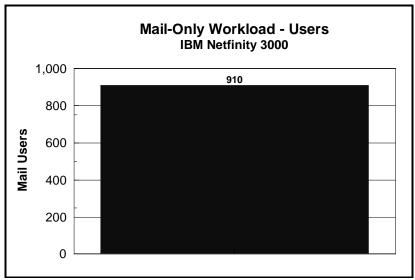
Total Test Time = 35940 sec

Test Run: Users = 910 NotesMark = 1267 Response Time = 540 msec (04/11/98 09:20:00

AM to 04/11/98 05:08:00 PM)

The response time satisfies the 5 seconds (5000 msec) NotesBench response time criteria.

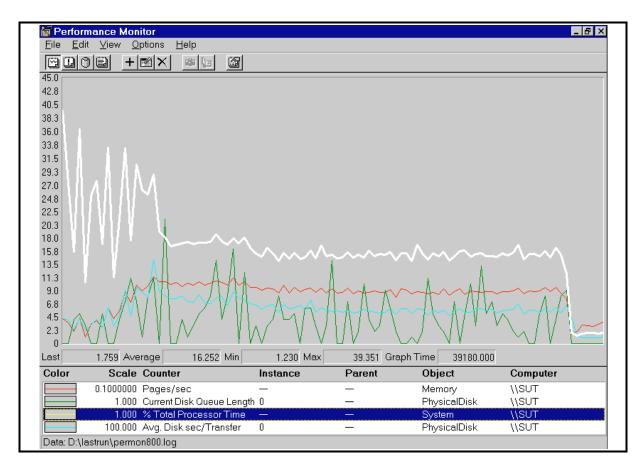




Analysis

Based on PERFMON data measurement from ramp-up through shutdown and shown in the following screen capture, CPU utilization rose as high as 40 percent during user sign-on, but averaged only 16.3 percent for the period displayed. With installed memory of 384MB, the Available Bytes of Memory was no lower than 58MB and averaged about 89MB for the period displayed. Page file usage averaged 10.3 percent and climbed steadily during the run, but leveled off at 16.7 percent at the end.

All users ran error-free for more than 7-1/2 hours before the controller client performed an orderly stop of the run. Because of the significant system overhead required, PERFMON was not enabled or used for the audit run.



Conclusions

The test results demonstrate that the IBM Netfinity 3000 (Model 8476-21U) can support 910 Mail-only users with a response time well below the 5-seconds required by NotesBench. The results obtained are based on running the IBM Netfinity 3000 as a dedicated Domino server; the addition of other application workloads will affect the number of users supported as well as the response time. Achieving optimum performance in a customer environment is highly dependent upon selecting adequate processor power, memory and disk storage as well as balancing the configuration of that hardware and appropriately tuning the operating system and Domino software.

Statement by Auditor

The original "Lotus NotesBench Test Results Report Certification Letter" was signed by Dana M. Thompson, NotesBench Auditor for KMDS Technical Associates, Inc., and is on file at IBM.

Appendix A: Overall Test Setup and Software Versions

Number of Client Systems

Eight driver systems were used. Seven of those systems were configured as child drivers (child 1 through child 7). One system was configured as the parent (source driver).

The child systems were IBM PC 350 computers, each configured with one 133MHz Pentium processor. Each child driver was configured with 80MB of memory, one 1.6GB hard disk, and one IBM 100/10 Ethernet PCI Adapter.

The disk configuration used for the child systems is as follows:

C: Partition (1.5GB - FAT) - Windows NT 4.0 Workstation / Notes Domino 4.6 a

Number of Server Platforms

One server platform, the IBM Netfinity 3000 with one 350MHz Pentium II processor and 384MB of memory, was benchmarked.

The disk configuration used for the system under test is as follows:

• C: Partition (4GB - NTFS) - Windows NT Server Version 4.0 (Boot Partition) and Domino 4.6a executables and Notes data

The disk configuration used for destination servers 1 through 3 is as follows:

- C: Partition (2GB NTFS) Windows NT Server Version 4.0 and Notes executables
- E: Partition (10GB NTFS) Notes data

Network

A single 100Mbps Ethernet LAN segment was used. Two Asante 100BaseT Hubs were used to connect the servers and clients to the LAN segment.

Software Versions

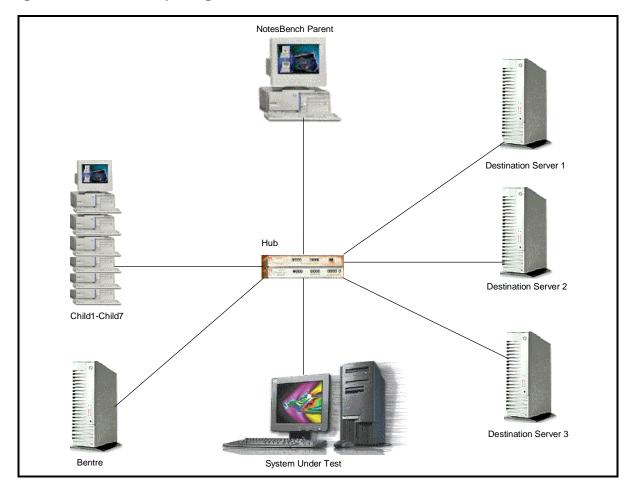
Software versions used on the system under test were as follows:

- Microsoft Windows NT Server Version 4.0 and Service Pack 3
- Lotus Domino Server Release 4.6a
- NotesBench Version 4.6a Windows/32

Software versions used on the child drivers were as follows:

- Microsoft Windows NT Workstation Version 4.0 and Service Pack 3
- Lotus Notes Client for Windows NT Release 4.6a
- NotesBench Version 4.6a Windows/32

High-Level Test Setup Diagram



Details of Configuration

| System Under Test | Destination Servers 1-3 | Child Drivers 1-7 | Parent Source Driver |
|--|--|--------------------------------------|--------------------------------------|
| IBM Netfinity 3000 | IBM PC Server 720 | IBM PC 350 | IBM PC 350 |
| 1 x 350MHz Pentium II | 2 x 100MHz Pentium | 1 x 133MHz Pentium | 1 x 133MHz Pentium |
| 384MB Memory | 256MB Memory | 80MB Memory | 64MB Memory |
| 1 x 4.51GB Drives | 6 x 2.25GB Drives (RAID-0) | 1 x 1.6GB Drive | 1 x 1.5GB Drive |
| Fast/Wide Ultra SCSI Adapter | IBM SCSI-2 Fast/Wide Streaming RAID Adapter | | |
| Integrated 100/10Mbps Ethernet PCI Controller | IBM 100/10 Ethernet PCI Adapter | IBM 100/10 Ethernet PCI Adapter | IBM 100/10 Ethernet PCI Adapter |
| Windows NT 4.0 and Service Pack 3 | Windows NT 4.0 and Service Pack 3 | Windows NT 4.0 and Service Pack 3 | Windows NT 4.0 and Service Pack 3 |

A single 100Mbps Ethernet LAN segment was used. Two Asante 100BaseT Hubs were used to connect the servers and clients to the LAN segment.

Appendix B: System Configurations

| Server under Test | | |
|---------------------------|---|--|
| System | IBM Netfinity 3000 | |
| Processor | 1 x 350MHz Pentium II Processor | |
| Memory | 384MB | |
| Cache | 512KB L2 Write-Back Cache | |
| Disk Controller | Fast/Wide Ultra SCSI Adapter | |
| Disk Drive | 1 x 4.51GB | |
| Network Interface Adapter | Integrated Ethernet 100/10Mbps PCI Controller | |
| 1/0 | PCI Bus | |
| Operating System | Microsoft Windows NT Server 4.0 with Service Pack 3 | |
| Notes | Domino Server for Windows NT Release 4.6a | |

| Clients | | |
|---------------------------|--|--|
| System | IBM PC 350 | |
| Processor | 1 x 133MHz Pentium Processor | |
| Memory | 80MB | |
| Disk Drive | 1 x 1.6GB | |
| Network Interface Adapter | 100/10 Ethernet PCI Adapter | |
| I/O | PCI Bus | |
| Operating System | Microsoft Windows NT Workstation 4.0 | |
| Notes | Notes Client for Windows NT Release 4.6a | |

Appendix C: Operating System Parameters

The following registry variables were changed from their default values as shown:

 $\label{local_machine} HKEY_LOCAL_MACHINE/System/CurrentControlSet/Control/PriorityControl\\ \ \ Vin32PrioritySeparation: REG_DWORD:0$

HKEY_LOCAL_MACHINE/System/CurrentControlSet/Control/SessionManager/MemoryManager/LargeSystemCache: REG_DWORD:0

Appendix D: NOTES.INI Settings

NOTES.INI File for the System under Test

[Notes] KitType=2 Directory=c:\notes\data SETUPDB=SETUP.NSF USERNAME=Ruppo COMPANYNAME=Bungaloo MTATEMP=C:\TEMP ******************** ServerTasks=Router,Replica,Update :NOTEBNCH VARIABLES BEGIN ************* DEBUG_OUTFILE=\\bentre\lastrun\sutinfo.txt SERVER_SHOW_PERFORMANCE=1 MAILUSEPROCESSES=0 MAILUSETHREADS=1 ; MAILMAXTHREADS=#destination server MAILMAXTHREADS=3 MAILLOGTOEVENTSONLY=1 LOG_MAILROUTING=10 ************** ;NOTEBNCH VARIABLES END ************* $WinNTI conPath = c: \\ lata \\ W32$ \$\$HasLANPort=1 OldRegKey MAILTO=rundll32.exe url.dll,MailToProtocolHandler %l WWWDSP_SYNC_BROWSERCACHE=0 $WWWDSP_PREFETCH_OBJECT=0$ EnableJavaApplets=1 EnablePlugins=1 Preferences=527473 Passthru_LogLevel=0 Console_LogLevel=2 VIEWIMP1=Lotus 1-2-3 Worksheet,0,_IWKSV,,,WKS,.WK1,.WR1,,WRK,.WK3,.WK4,,4, VIEWIMP3=Structured Text,0,_ISTR,,.LTR,.CGN,.STR,,1, VIEWIMP4=Tabular Text,0,_ITAB,,,PRN,.RPT,.TXT,.TAB,,1, VIEWEXP1=Lotus 1-2-3 Worksheet,0,_XWKS,..WKS,.WK1,.WR1,.WRK,,4, VIEWEXP3=Structured Text,0,_XSTR,,.LTR,.CGN,.STR,,1, VIEWEXP4=Tabular Text,1,_XTAB,,.LTR,.RPT,.CGN,.TAB,,1, EDITIMP1=ASCII Text,0,_ITEXT,,.TXT,.PRN,.C,.H,.RIP,,1, EDITIMP2=MicrosoftWord RTF,0, IRTF,..DOC.,RTF,,2, EDITIMP3=Lotus 1-2-3 Worksheet,0,_IWKSE,,.WKS,.WK1,.WR1,.WRK,.WK3,.WK4,,4, EDITIMP4=Lotus PIC,0,_IPIC,,.PIC,,8, EDITIMP5=CGM Image,0,_IFL,,.GMF,.CGM,,8, EDITIMP6=TIFF 5.0 Image,0,_ITIFF,,,TIF,,18, EDITIMP7=BMP Image,0,_IBMP,,.BMP,,18, EDITIMP8=Ami Pro,0,_IW4W,W4W33F/V0,.SAM,,2, EDITIMP17=WordPerfect 5.x,0,_IW4W,W4W07F/V1,.DOC,,2, EDITIMP22=PCX Image,0,_IPCX,,.PCX,,18, EDITIMP28=Binary with Text,0,_ISTRNGS,,.*,,1, EDITIMP29=WordPerfect 6.0/6.1,0,_IW4W,W4W48F/V0,.WPD,.WPT,.DOC,,2, EDITIMP30=Excel 4.0/5.0,0,_IW4W,W4W21F/V4C,.XLS,,4, EDITIMP31=Word for Windows 6.0,0,_IW4W,W4W49F/V0,.DOC,,2, EDITIMP32=GIF Image,0,_IGIF,,.GIF,,18, EDITIMP33=JPEG Image,0,_IJPEG,,,JPG,,18, EDITEXP1=ASCII Text,2,_XTEXT,,.TXT,.PRN,.C,.H,.RIP,,1, EDITEXP2=MicrosoftWord RTF,2,_XRTF,,.DOC,.RTF,,4,

EDITEXP3=CGM Image,2,_XCGM,,.CGM,.GMF,,8,

EDITEXP4=TIFF 5.0 Image,2,_XTIFF,,.TIF,,18,

EDITEXP5=Ami Pro,2,_XW4W,W4W33T/V0,.SAM,,2,

EDITEXP14=WordPerfect 5.1,2,_XW4W,W4W07T/V1,.DOC,,2,

EDITEXP21=WordPerfect 6.0,2,_XW4W,W4W48T/V0,.DOC,,2,

EDITEXP22=WordPerfect 6.1,2,_XW4W,W4W48T/V1,.WPD,.WPT,.DOC,,2,

EDITEXP23=Word for Windows 6.0,2,_XW4W,W4W49T/V0,.DOC,,2,

DDETimeout=10

\$\$\$OpenSpecial=NotesNIC

\$\$\$NotesNIC=CN=Home/OU=Notes/O=NET, welcome.nsf, Notes NIC Welcome, Notes Network Information Center on the Internet

ServerTasksAt1=Catalog,Design

ServerTasksAt2=UpdAll,Object Collect mailobj.nsf

ServerTasksAt5=Statlog

TCPIP=TCP, 0, 15, 0

Ports=TCPIP,WAN2

LOG_REPLICATION=0

LOG_SESSIONS=0

ExistingServerName=CN=S1/O=NotesBench

KeyFilename=SUTserver.id

CertificateExpChecked=c:\notes\data\SUTserver.id 03/26/98

MailServer=CN=SUT/O=NotesBench

Domain=TstBed

Admin=CN=Admin/O=NotesBench

TemplateSetup=15

Setup=51

ServerSetup=8

NAMES=names.nsf

EmptyTrash=0

WeekStart=1

WAN2=TCP,0,15,0,,12288,

SDI_WINDOW=0

DisableImageDithering=1

DESKWINDOWSIZE=16 23 420 288

MAXIMIZED=1

WinNTIconCommonConfig=Universal

WinNTIconSize=2 WinNTIconPos=2

WinNTIconHidden=0

WinNTIconRect=-1 -1 641 25

FileDlgDirectory=A:\

ECLSetup=3

PhoneLog=2

Log=log.nsf, 1, 0, 7, 40000

CONSOLE_Lotus_Domino_Server=80 25 7 14 7 666 338

Appendix E: Network Configuration

The standard TCP/IP stack provided by Microsoft Windows NT Server 4.0 was used.

In the system under test, the network adapter speed was changed from the default 'Auto' to 100Mbps. This forced the Duplex Mode to 'Half'.

Under the 'Advanced' configuration option, the following three parameters were changed from their default values to double the default value:

- Coalesce Buffers
- Receive Buffers
- Transmit Control Block

At the destination servers, under 'Advanced' configuration options for the Ethernet adapter, the following three parameters were changed from their default values to double their default values:

- Coalesce Buffers
- Receive Buffers
- Transmit Control Block

Appendix F: Guidelines for Information Usage

This report is intended for IBM Business Partners, customers, and IBM marketing and technical support personnel. The report may be distributed in accordance with the requirements stated in the Edition notice.

Appendix G: Pricing

The table provides the IBM Estimated Reseller Price to end users for the U.S. only. Actual Reseller prices may vary, and prices may also vary by country. Prices are subject to change without notice. For additional information and current prices, contact your local IBM representative.

| Item Description | Order Number | Qty | IBM Estimated Reseller Unit Price | Extended IBM Estimated Reseller Price |
|---|-----------------|-----|--|---|
| IBM Netfinity 3000 | 8476-21U | 1 | \$3,305 | \$3,305 |
| 1 x 350MHz / 512KB Pentium II Processor | | | | |
| 1 x 64MB ECC DIMM | | | | |
| Wide Ultra SCSI Adapter | | | | |
| 4.51GB Wide Ultra SCSI Hard Disk | | | | |
| 100/10Mbps Ethernet PCI Adapter | | | | |
| 128MB DIMM | 01K1131 | 2 | 745 | 1,490 |
| 64MB DIMM | 01K1130 | 1 | 349 | 349 |
| IBM G42 14" (13.2" Viewable) Color Monitor | 654000N | 1 | 224 | 224 |
| Software | | | | |
| Windows NT Server 4.0 | 227-01025 | 1 | 676 | 676 |
| Lotus Domino Server Release 4.6 (single-processor edition included on ServerGuide* with IBM Netfinity 3000) | | 1 | 0 | 0 |
| Total System Price | | | | \$6,044 |

| Appendix H: Optional (Vendor-Defined Information) | | | |
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Notes

- ¹ MHz only measures microprocessor internal clock speed, not application performance. Many factors affect application performance.
- When referring to hard disk capacity, GB equals one billion bytes. Total user-accessible capacity depends on operating environment.
- ³ The price/performance results are based on pricing IBM' Estimated Reseller Prices. IBM resellers set their own prices, and actual prices may vary.