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The Amber ff99 Force Field Predicts Relative Free Energy Changes for RNA Helix Formation

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Condensed Running Title: Benchmarking the Amber ff99 Force Field

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ABSTRACT

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4 The ability of the Amber ff99 force field to predict relative free energies of RNA helix formation was
5 investigated. The test systems were three hexaloop RNA hairpins with identical loops and varying
6 stems. The potential of mean force of stretching the hairpins from the native state to an extended
7 conformation was calculated with umbrella sampling. Because the hairpins have identical loop
8 sequence, the differences in free energy changes are only from the stem composition. The Amber ff99
9 force field was able to correctly predict the order of stabilities of the hairpins, although the magnitude of
10 the free energy change is larger than that determined by optical melting experiments. The two
11 measurements cannot be compared directly because the unfolded state in the optical melting
12 experiments is a random coil, while the end state in the umbrella sampling simulations was an elongated
13 chain. The calculations can be compared to reference data by using a thermodynamic cycle. By applying
14 the thermodynamic cycle to the transitions between the hairpins using simulations and nearest neighbor
15 data, agreement was found to be within the sampling error of simulations, thus demonstrating that ff99
16 force field is able to accurately predict relative free energies of RNA helix formation.
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41 Keywords: hairpin, hexaloop, molecular dynamics, free energy calculation, umbrella sampling,
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INTRODUCTION

RNA plays many important roles in the organism beyond simply carrying genetic information. It catalyzes reactions,¹⁻³ participates in post-translational gene regulation,⁴ controls protein localization⁵ and guides post-transcriptional modification.⁶⁻⁷ In addition many RNA sequences are transcribed in genomes, but their functions are not yet known.⁸

Molecular dynamics (MD) simulations provide insights in RNA structure and dynamics. Beginning with the introduction of Ewald methods for calculation of long range electrostatic interactions, it has been possible to run precise simulations on RNA structures.⁹ Subsequently, molecular dynamics has been used to gain insight into roles of ions, water and modified nucleotides on the RNA structure.¹⁰⁻¹⁸ Other, specialized calculations have been used to understand conformational changes, including targeted molecular dynamics for modeling HIV dimerization,¹⁹ nudged elastic band (NEB) for modeling loop conformational changes²⁰⁻²² and umbrella sampling for calculating free energy of folding.²³⁻²⁴

An important factor in estimating the accuracy of MD simulations is the accuracy of the set of parameters used to describe the potential energy of the system, the force field. There are several force fields commonly used for simulations of RNA, belonging broadly to groups derived for use with CHARMM²⁵ and Amber²⁶ molecular modeling packages. The several commonly used Amber force fields are all derivatives of the original Cornell et al. force field ff94,²⁷ derived using a combination of experimental data and quantum mechanical calculations. Force fields ff98²⁸ and ff99²⁹ improved the sugar pucker and glycosidic torsions of nucleic acids in ff94. Later, in 2007, the ff99bsc0³⁰ correction introduced an improved description of the alpha and gamma backbone torsions. Finally, two separate sets of parameters have been derived using quantum mechanics to describe glycosidic torsions of all four bases.³¹⁻³² Recent CHARMM force fields are derivatives of the CHARMM27³³ force field. CHARMM36^{24, 34} improves on CHARMM27 by reparameterizing the torsions of 2'-hydroxyl group of RNAs and several backbone and sugar pucker torsions to better describe the BI/BII conformation equilibrium of DNAs. On a basic level, the accuracy of force field parameters can be estimated by comparing it with quantum mechanics (QM) calculations. Because of the high computational cost of

1 QM calculations, these computations are confined to calculating the energies of stacking and hydrogen
2 bonding in vacuum and involving only bases.³⁵⁻⁴⁰ Comparing these results with the equivalent
3 calculations performed using Amber force fields shows that Amber force fields are able to predict
4 hydrogen bonding and stacking interactions with reasonable accuracy.⁴¹ The downside of these
5 comparisons is that they only consider the bases, the calculations are performed in vacuum and the ions
6 are not present.
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14 Ultimately, the accuracy of force field can best be judged by how well it performs in simulations of
15 nucleic acids systems in solution. In this work, the ability of Amber ff99 force field to accurately predict
16 free energy changes of helix formation was examined. Experimental data, in form of the free energy
17 changes of folding calculated from optical melting experiments, and nearest neighbor parameters were
18 used to determine the reference free energy changes.⁴²⁻⁴³ Nearest neighbor parameters are a set of
19 empirical parameters derived from optical melting experiments that can predict free energy change of
20 RNA secondary structure formation by adding pair-wise contributions. For duplex formation, the
21 nearest neighbor parameters have statistical errors of less than 0.1 kcal/mol each.⁴⁴⁻⁴⁵
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33 Specifically, experimental and nearest neighbor free energies of folding were compared with the free
34 energies calculated using MD simulations. To determine the free energy change of unfolding, potential
35 of mean force (PMF), also called umbrella sampling, calculations were performed.⁴⁶⁻⁴⁸ The PMF
36 method uses a set of equilibrium molecular dynamics calculations, called windows, along a reaction
37 coordinate to determine a free energy change along that coordinate. Individual simulations are forced to
38 sample different regions along the reaction coordinate with harmonic restraints, also called umbrella
39 potentials. Finally, the windows are combined, the effect of the biasing potential is removed and the
40 free energy change along the reaction coordinate is obtained. In this work, the umbrella sampling
41 simulations follow the unfolding coordinate measured by the distance between O5' atom of the 5'-end
42 nucleotide and the O3' atom of the 3'-end nucleotide in RNA hairpin loops. This reaction coordinate
43 was chosen to mimic the procedure performed in single molecule stretching experiments. In this study
44 the endpoints are distances of 15 Å (native conformation) and 75 Å, a denatured state that corresponds
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1 roughly to the contour length of a 12-mer of a single-stranded RNA, which has a 5.9 Å distance between
2 successive nucleotides.⁴⁹
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5 The RNA systems used were three hairpin stem-loop structures that have the same loop (hexalooop
6 GUAAUA),⁴² but differ in the stem region. Therefore, the differences in folding free energies between
7 the hairpin stem-loops were caused by the differences in the double-helical region. The hairpins were
8 chosen instead of simple double helices because of their larger stability compared to double helices with
9 the same number of nucleotides,⁵⁰ and because the endpoint of a pulling simulation is a well-defined
10 structure that can be sampled on the timescales of molecular dynamics simulations. The GUAAUA
11 sequence is highly conserved in the L11 region of the large subunit ribosomal RNA.⁵¹⁻⁵² Although
12 tetraloops have been studied extensively using MD simulations,^{23, 53-56} simulation studies done on
13 hexalooops are fewer,⁵⁷⁻⁵⁸ despite of large amount of experimental data available.^{42, 59-60} In addition, the
14 choice of hexalooop hairpins as a test system enabled examination of their folding pathway, results of
15 which can be compared to the similar tests performed recently on the tetralooop systems.²³⁻²⁴
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31 The report is organized as follows. In the Materials and Methods, the properties of the hairpins used in
32 the simulations and the details of the umbrella sampling simulations are described. In the Results and
33 Discussion section, the results of the MD simulation are analyzed, the free energy changes are
34 calculated using the results from the simulations and experiments/nearest neighbor data and the
35 difference between the two are discussed. Finally, the Conclusion section summarizes the results of
36 simulations and comparisons with the experimental data.
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45 MATERIALS AND METHODS

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47 **Starting structures.** The calculations were done starting with the hexalooop hairpin sequence: 5'-
48 GGCGGUAAUAGCC-3', where unpaired hairpin nucleotides are underlined. The atomic coordinates
49 were taken from an NMR structure.⁴² The coordinates of the hairpin GCGGUAAUAGC were also
50 determined in the *E. coli* ribosome crystal structure solved to 3.5 Å resolution.⁴³ The mass-weighted
51 conformational root mean square deviation (RMSD) for the 10 nucleotides common to the NMR and x-
52 ray structure is 0.28 Å. The stability of this hairpin is -2.7 ± 0.15 kcal/mol in 0.1 M NaCl at 37 °C, as
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1 measured by optical melting experiments.⁵⁹ Figure 1 illustrates the solution structure of the hairpin. The
2 important structural features include the G4-A9 sheared base pair and the continuous stacking of A6, A7
3 and U8. NMR data, however, show that there is a large flexibility of the loop region at 35 °C, well
4 below the melting temperature of 63 °C, and that the structure of G4-A9 mismatch is fluxional.⁴²
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9 Two additional, mutant hairpins were created by manually modifying the atoms in the stem region of
10 the native hairpin. In mutant1, basepair G2-C11 was replaced with A2-U11. In mutant2, G1-C12 has
11 been replaced with A1-U12 and G2-C11 was replaced with A2-U11. This preserves the loop region, and
12 changes the stem region. The secondary structures of the three hairpins are given in Figure 2.
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19 **Free simulations MD protocol.** Stability of the hairpins was tested by running three independent
20 simulations for each hairpin. Hairpins were first neutralized by adding Na⁺ ions and then they were
21 immersed in a truncated octahedron box of TIP3P⁶¹ water such that the edges of the box were at least 8
22 Å from the solute molecules. The systems were then equilibrated to temperature of 300 K and pressure
23 of 1 atm using the following procedure. First, a 1000 step minimization of only water molecules was run
24 to relieve the potentially bad contacts between solute and solvent molecules, and then the whole system
25 was minimized during another 1000 steps of minimization. Then the system was gradually warmed to
26 300 K during 20 ps using a Langevin thermostat⁶² with a frequency of collision of 1 ps⁻¹. Next, pressure
27 was set to 1 atm using the Berendsen method⁶³ with isotropic position scaling and a 1 ns MD simulation
28 was run. After that, three independent 100 ns simulations were run for each hairpin, with the difference
29 between runs being the random number seeds for the pseudo-random number generator. In all
30 simulations, particle-mesh Ewald (PME) method⁶⁴⁻⁶⁵ was used to calculate the electrostatic interactions.
31 The SHAKE algorithm⁶⁶ was applied to fix all bonds containing hydrogens, which permits a 2 fs time
32 step. Lennard-Jones interactions were truncated at 8 Å. Simulations were run using the pmemd program
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54 **Umbrella sampling protocol.** PMF calculations were done using the Amber software package²⁶ and
55 the ff99 force field²⁸⁻²⁹ using an explicit solvent and the TIP3P⁶¹ water model. Umbrella sampling
56 windows were separated by 1 Å. The ends of the RNA hairpin were held at the appropriate distance in
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1 each window using a harmonic restraint with a force constant of 5 kcal/mol. Each window simulation
2 was at least 10 ns (and in some cases longer to ensure convergence). Because of the large size of the
3 system, especially in unfolded states, separate starting structures were created for each window. They
4 were obtained by running a series of restrained simulations in implicit solvent using the Generalized
5 Born solvation model⁶⁷⁻⁶⁹ with a 1 ns simulation time per window. One simulation was performed for
6 each umbrella sampling window, by restraining the distance between the O5' atom at the 5'-end and the
7 O3' atom at the 3'-end of the hairpin. The end points of implicit solvent simulation simulations were
8 used to make the starting points for the explicit solvent simulations. Each molecule was first neutralized
9 with Na⁺ ions,⁷⁰ and then, to reproduce the conditions of the optical melting experiments,⁴² enough Na⁺
10 and Cl⁻ ions⁷¹ were added to make the concentration 0.1 M in each window. Each of the structures was
11 then solvated in a cube of TIP3P⁶¹ water with water molecules at least 8 Å from the edges of the RNA
12 hairpin. The system was then energy minimized in two stages. First, the RNA molecule was kept frozen
13 and water and ions were minimized and then in the second stage the whole system was allowed to
14 move. The window restraints were then turned on, and the system was slowly heated to 300 K and to
15 pressure of 1 atm during the 100 ps using the Langevin thermostat.⁶² After that, an NTP production
16 simulation was run for 10 ns or more per window. The Particle-mesh Ewald (PME) method⁶⁴⁻⁶⁵ was
17 used to calculate the electrostatic interactions. The SHAKE algorithm⁶⁶ was used to fix all bonds
18 containing hydrogen atoms. Simulations were run using the pmemd module of Amber. The first 1 ns of
19 each window simulation were used for equilibration and the data were collected from the remaining
20 time. To obtain an estimate for errors, three independent simulations were run for native and four
21 simulations for mutant hairpins. For all hairpins, first, an “exploratory” simulation was run on windows
22 from 15 to 75 Å end-to-end distance, to establish an exact point of unfolding. This point was set to
23 where all hydrogen bonds in the hairpin were broken (more details in the Results and Discussion),
24 which occurs by the 55 Å window in all simulations. Then an additional two (or three for mutant
25 hairpins) umbrella sampling simulations were run on windows from 15 to 55 Å. The individual runs
26 differ in the random seed for the Langevin thermostat. Distributions of end-to-end distances from all
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1 windows were then combined, the biasing potential was removed and the free energy change along the
2 reaction coordinate was calculated using the Weighted Histogram Analysis Method (WHAM)⁴⁶⁻⁴⁸ as
3 implemented in a program by Alan Grossfield.⁷² In the WHAM calculations the data points were sorted
4 in bins separated by 0.1 Å and the convergence criterion was set to 1×10^{-6} kcal/mol. The convergence of
5 simulations was tested by comparing the free energy change calculated using the full sampling to that
6 calculated using shorter sampling, the reasoning being that if the simulations have sampled the
7 conformational space properly the results should be similar. The tests for convergence for Native,
8 Mutant1 and Mutant2 hairpins are shown in Figures S3, S4 and S5 respectively in the Supporting
9 Materials section. The total simulation time, which includes free and umbrella sampling simulations was
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23 RESULTS AND DISCUSSION

24 **Free simulations of hairpins.** The stability of the three hairpins (Figure 2) was tested by running
25 three independent, 100 ns explicit solvent simulations using the Amber software package²⁶ and the ff99
26 force field.²⁹ The RMSD plots of heavy atoms to the NMR structure as a function of time are given in
27 Figure S1 in Supporting Materials. The native hairpin stabilized at around 3.5 Å mass-weighted RMSD
28 from the NMR structure. The RMSD of the stem region is on average 1.04 Å for all three simulations
29 (data not shown), so most of the flexibility is in the loop. Mutant1 shows a somewhat larger RMSD
30 because, in addition to the flexible loop, it has one of the GC basepairs in the stem replaced with the
31 weaker AU pair. In two of the trajectories the stem remained stable, while the third shows a formation
32 of a “ladder-like” structure in the double helical region, a phenomenon related to the inability of ff99 to
33 properly model the glycosidic torsion potential.⁵³ Finally mutant2 shows a relatively high RMSD
34 relative to the NMR structure in all three trajectories. Mutant2 is predicted by nearest neighbor model to
35 be weakly unstable at 300K, with a folding free energy change of 0.8 kcal/mol (Table 2). There is again
36 the formation of a “ladder-like” conformation and also a fraying of the A1-U12 basepair in all three
37 trajectories. To test whether umbrella sampling can be run on a weakly unstable system, a 30 ns MD
38 simulation of mutant2 was run with a 17 Å end-to-end restraint. The 30 ns simulation time is longer
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1 than any window time used in the umbrella sampling simulations. Figure S2 of the Supporting Materials
2 shows RMSD as a function of time for both the whole mutant2 hairpin and for its stem region. The
3 average RMSDs to the starting structure for the complete hairpin and the stem region were 2.6 Å and
4 1.2 Å, respectively. Therefore, the stem region remains stable for the time scales required to run a
5 single window simulation of umbrella sampling measurements because the end-to-end restraints keep
6 the base pairs in the stem at the appropriate positions for pairing. Because of these factors, the flexibility
7 of the mutant hairpins should not have a significant influence on the accuracy of calculations.
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10 It has been reported that the ff99 force field performs poorly in describing the structure of the
11 hairpins. This is apparent in this study, as evidenced by the relatively high RMSD of the loop region
12 and the partial distortion of the stem regions of the mutant hairpins. The recently published³¹⁻³²
13 improvements to the Amber ff99 force field description of the glycosidic torsion prevent the formation
14 of “ladder-like” structures; their inclusion could be used to improve the stability of hairpins in free
15 simulations.
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18 **Free energy change from umbrella sampling simulations.** Figure 3 shows free energy change
19 (solid lines, left side y-axis) and the average number of broken hydrogen bonds (dashed lines, right side
20 y-axis) along the reaction coordinate for native, and both mutant hairpins. The free energy change was
21 calculated by applying the WHAM procedure on the end-to-end distances distributions from the
22 individual umbrella sampling simulations. The average number of broken hydrogen bonds was
23 calculated by averaging the number of broken hydrogen bonds in each umbrella simulation window
24 over the course of the simulation. A hydrogen bond was defined as being broken if the distance between
25 the donor and acceptor atoms was larger than 4 Å. The native hairpin has four basepairs, three GC and
26 one GA (sheared) pair for a total of 11 hydrogen bonds; mutant1 has three GC pairs and one each of AU
27 and GA pairs for a total of 10 hydrogen bonds. Finally, mutant2 has two GC pairs, two AU pairs and
28 one GA pair for a total of 9 hydrogen bonds.
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31 All PMF calculations showed five distinct regions:
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- 1 A well-shaped region, spanning from 15 to 19 Å with a minimum at 17 Å, corresponding to
2 the native state. The minima of all three molecules were within several tenths of an angstrom
3 of each other and to the distance between 5'-end O5' and 3'-end O3' atoms in the solution
4 structure.⁴²
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- 9 2. In the second region, from approximately 19 Å when first hydrogen bond breaks to
10 approximately 42 Å, there was monotonic increase in free energy change that corresponded to
11 an equilibrium between conformations with partially broken hydrogen bonds in the stem
12 region. All hydrogen bonds in the first base pair (1G-12C in native and mutant1 and 1A-12U
13 in the mutant2) are broken by 20 Å. Hydrogen bonds in the second basepair (2G-11C in native
14 and 2A-11U in both mutants) break by around 30 Å. The last basepair in the stem region (3C-
15 10G in all three hairpins) breaks by around 42 Å.
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- 25 3. In the third distinct region, starting around 42 Å end-to-end distance, there was a steep
26 increase in the slope of free energy change curve as the last hydrogen bond in the stem was
27 broken. These first three regions correspond approximately to the three regions described in
28 work by Deng et al.²³ with tetraloop sequences.
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- 36 4. The fourth region, spanning from 50 Å to approximately 55 Å, was the region where the
37 sheared hydrogen bond in the loop (4G-9A) was gradually broken in all hairpins, and the
38 hairpin stretched to an almost linear form after that.
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- 43 5. The region after 55 Å end-to-end distance was characterized by a steeper slope of the free
44 energy curve. At this point all hydrogen bonds were broken and the faster increase in free
45 energy was a consequence of stretching the angles and bonds that requires more potential
46 energy than the breaking of hydrogen bonds.
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52 Optical melting experiments, which were used as a reference, interpret the melting data using a two-
53 state model.⁷³⁻⁷⁴ The two-state model assumes that the molecule exists in either completely paired or
54 completely unpaired state, and is a reasonably good approximation for small hairpins and duplexes such
55 are the ones used in this study. This assumption is supported by isothermal titration calorimetry
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1 experiments.⁷⁵ The free energy change of unfolding for the two state model can be estimated from the
2 probabilities of finding the molecule in the two states, folded or unfolded. The native state was defined
3 as the area between 15 and 19 Å end-to-end distances and the unfolded state as the area beyond 55 Å,
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5 which is the point at which all hydrogen bonds are broken. Then the free energy of unfolding²³ is:
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$$\Delta G_{fold} = -RT \ln \left(\frac{\sum P_{folded}}{\sum P_{unfolded}} \right) \quad (1)$$

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10 where R is the gas constant, $T=300$ K is the absolute temperature, the numerator is the sum of
11 probabilities of folded states and the denominator is the sum of probabilities of the unfolded states.
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13 Probabilities for folded and unfolded states were obtained from the WHAM procedure.
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20 The exact positions of folded and unfolded regions do not have a large effect on the relative free
21 energies of folding. For the native region, the areas where end-to-end distances were smaller than 15 Å
22 and larger than 19 Å had small probabilities so their contribution to the folded probability was
23 negligible. Similarly, the area beyond 55 Å contributed little to the total probability of the unfolded state
24 because the probabilities decrease rapidly in this region. The end point was determined by following the
25 breaking of hydrogen bonds during the umbrella sampling simulations (Figure 3). There were three
26 basepairs in the stem region and one (sheared) basepair in the loop. At the 55 Å window, all hydrogen
27 bonds of the four basepairs had broken in all three hairpins and in all simulations. This point was chosen
28 as the transition to unfolded state.
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41 The free energy changes of folding are given in Table 1. The errors are calculated as the standard
42 deviation of the three (or four for mutant hairpins) independent calculations. As can be seen from Table
43 1, ff99 was able to predict the correct order of stabilities of the three hairpins. The native hairpin has
44 three GC basepairs, in mutant1 one of the GC pair is replaced by a weaker AU and mutant2 has two of
45 the GCs replaced by weaker AU pairs, therefore the native hairpin is more stable than mutant1 which in
46 turn is more stable than mutant2.
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55 **Calculating the free energy of unfolding using the nearest neighbor parameters.** The nearest
56 neighbor methodology was developed to predict the folding stability of nucleic acid secondary
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1 structure.^{45, 76-77} It is based on sets of empirical rules derived from optical melting experiments.
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3 Stability of the secondary structure elements depends on the sequence of the motif and the sequence of
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5 adjacent basepairs. The overall folding free energy change of a motif is a sum of contributions of all
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7 individual basepair increments. All the parameters have been gathered in a web-based resource for easy
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9 access.⁵⁰
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11 Nearest neighbor parameters are accurate for predicting the stabilities of Watson-Crick helices, with
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13 the errors in the individual increments on the order of 0.1 kcal/mol.⁴⁵ The error in other structural
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15 elements, such as loops, is generally larger, at about 0.5 kcal/mol.⁷⁷ The loop region is common to all
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17 three hairpins so to avoid including the larger error terms in the calculation, the free energy change of
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19 melting of native hairpin⁵⁹ and the nearest neighbor rules for the free energy change of helices were
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21 used to calculate the free energy changes of melting the mutant hairpins stem-loops. From the definition
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23 of the nearest neighbor model, free energy change of forming a hairpin at a temperature T is:
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$$\Delta G_T^0(\text{stem} - \text{loop}) = \Delta G_T^0(\text{Watson} - \text{Crick pairs}) + \Delta G_T^0(\text{loop}) \quad (2)$$

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29 so the free energy change of the loop region is independent of the nearest neighbor free energy change
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31 of the stem region. The free energy changes of the mutant hairpins were calculated by subtracting the
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33 stem free energy change of the native hairpin from the experimentally determined free energy change
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35 and then adding the appropriate free energy changes for stem formations for mutant1 or mutant2.
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40 The nearest neighbor parameters were derived using 1 M NaCl, while the folding free energy change
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42 of the umbrella sampling calculations and the optical melting of the native hairpin were measured in
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44 0.1M NaCl. The salt correction for the free energy changes calculated using the nearest neighbor
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46 parameters, however, is sequence independent.⁷⁷⁻⁷⁸ Therefore, the salt correction cancels when using the
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48 thermodynamic cycle and the nearest neighbor parameters can be used directly.
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52 Table 2 lists the free energy changes of folding for the three hairpins calculated using the nearest
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54 neighbor rules. The errors were calculated using the errors values provided with the parameters in the
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56 nearest neighbor database⁵⁰ and taking into account that the errors of enthalpy, entropy and free energy
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58 are correlated (see Supporting Information).⁴⁴
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Comparison between simulations and nearest neighbor model free energies. The denatured state of optical melting experiments is a random coil conformation, while the umbrella sampling simulations produce an extended conformation similar to the final product of single molecule pulling experiments. Therefore, the free energy changes calculated using the two methods cannot be directly compared without accounting for the different end states. The free energy changes determined by optical melting are an order of magnitude smaller than the free energy changes from umbrella sampling simulations. One reason for this difference comes from the work that would be required to stretch a random coil (melted RNA in the optical melting experiments) with its many different conformations into a linear form (final state of RNA in the umbrella sampling simulations, and single molecule pulling experiments), thus reducing the molecule's entropy. Replica exchange molecular dynamics⁷⁹ would sample the same end states as the optical melting experiments, but was not used here because of the difficulties of adequately sampling the random coil state. An approximation for the free energy of stretching can be obtained from the polymer theory of flexible chains, specifically from the worm-like chain (WLC) model.⁸⁰ This model is commonly used for comparing single molecule pulling experiments using optical tweezers to optical melting experiments.^{49, 81} According to the WLC model free energy of stretching can be approximated as:⁸¹⁻⁸²

$$\Delta G_{stretch}(X) = RT \left(\frac{L}{P} \right) \left[\frac{3(X/L)^2 - 2(X/L)^3}{4(1-(X/L))} \right] \quad (3)$$

where R is the gas constant, T is temperature, L is the contour length of the polymer, X is the end-to-end distance and P is the persistence length. Free energy of stretching is directly proportional to the contour length and inversely proportional to the persistence length.^{49, 81} Using 5.9 \AA as a contour length per nucleotide, and $P=10 \text{ \AA}$, values commonly used for single stranded RNA molecules,^{49, 81} the predicted free energy change to stretch a 12 nucleotide hairpin to the end-to-end distance of 55 \AA is 4.1 kcal/mol . This value is clearly much smaller than the difference between simulation and melting experiments, which are on the order of 10 kcal/mol (see Tables 1 and 2). So while the polymer theory can give a quantitative explanation of the processes involved, the predictive values are poor.

1 In order to make a meaningful comparison between the simulations and optical melting, a
2 thermodynamic cycle can be used. Figure 4 shows a thermodynamic cycle between two hairpins.
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4 Separate umbrella sampling calculations were performed for each sequence yielding ΔG_1^0 and
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6 ΔG_2^0 . Then, by the nature of the thermodynamic cycle:
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$$\Delta\Delta G^0 = \Delta G_3^0 - \Delta G_4^0 = \Delta G_1^0 + \Delta G_{s1}^0 - \Delta G_2^0 - \Delta G_{s2}^0 \quad (4)$$

9
10 where $\Delta\Delta G^0$ is the free energy difference in stability for a change in sequence. If the stretching free
11 energy change between the stretched state and the random coil are identical, then the
12 $\Delta\Delta G^0$ for the chemical transformation calculated by nearest neighbor rules is the same as the
13 differences calculated by molecular dynamics:
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$$\Delta\Delta G^0 = \Delta G_3^0 - \Delta G_4^0 = \Delta G_1^0 - \Delta G_2^0 \quad (5)$$

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22 The approximation that stretching entropies are sequence-independent is commonly used in single
23 molecule stretching experiments.^{49, 81}
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29 Similar thermodynamic cycle calculations were performed for all possible combinations, i.e. between
30 native and mutant1, native and mutant2 hairpins and between mutant1 and mutant2 hairpins. In addition
31 to removing the influence of stretching entropy, the thermodynamic cycle also reduces the effect of
32 approximations in the molecular dynamics force field. For example, inaccuracies in the backbone
33 torsional parameters, that may be prominent in the loop regions,³¹⁻³² might cancel by taking the
34 difference between two potential of mean force calculations.
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43 Table 3 shows the comparison of free energy changes when going from native to mutant1, native to
44 mutant2 and mutant1 to mutant2 hairpin obtained by applying the thermodynamic cycle on the free
45 energies of folding of all three hairpins calculated using either umbrella sampling simulations or nearest
46 neighbor data. The differences between the simulation and the reference data were 1.8, 1.2 and -0.6
47 kcal/mol for the mutant1 to native, mutant2 to native and mutant2 to mutant1 transitions, respectively.
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55 All three values were within error bounds of umbrella sampling results.
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57 CONCLUSION

1 RNA was discovered to play many important roles in the biology, far beyond simply being the carrier
2 of genetic information. Molecular dynamics can play an important role in examining its structure and
3 dynamics, so it is important to assess the accuracy of various MD force fields that are commonly used.
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5 In this, work the ability of Amber ff99 force field to predict relative free energies of RNA helix
6 formation was examined. Three hexaloop hairpins, with differing closing helices, were used as our test
7 systems. The native hairpin was a solution structure⁴² and two mutants were made by replacing the GC
8 basepairs of the stem region of native hairpin with AU pairs. Multiple umbrella sampling simulations on
9 all hairpins were performed to determine the change in free energy change as the hairpins were stretched
10 from a native form to an elongated conformation. Stretching the hexaloop hairpin produces several
11 distinct areas along the free energy change curve. First, there was a native conformation basin, then a
12 region where the hydrogen bonds in the stem are gradually broken. The third region was characterized
13 by a sudden increase as the last hydrogen bonds in the stem were broken. In the fourth region the
14 hydrogen bonds in the loop region were gradually broken. Finally, the fifth region is characterized by a
15 steep increase of free energy slope due to the stretching of bonds and angles. These areas generally
16 correspond to the areas observed²³ in stretching the tetraloop hairpins²³ although the transitions are not as
17 pronounced due to the larger stiffness of the tetraloops.⁵⁰ In this work, the unfolded state was
18 considered to be only those structures that do not have any of the hydrogen bonds found in the native
19 structure. This facilitates the comparison of the folding free energy changes to optical melting
20 experiments, where only the native and random coil states are considered.⁸³

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45 The Amber ff99 force field was able to predict the correct order of helix formation stabilities as
46 shown in Tables 1 and 2. Absolute values were, however, different from the values determined from
47 optical melting experiments and nearest neighbor rules. This was a consequence of different end states
48 in the two approaches. The end state in optical melting is a random coil, while umbrella sampling
49 produces extended conformations. There was a large entropic cost to extend a random coil to a linear
50 form. Polymer theory was used to try to estimate this effect, but the predictions are only qualitative, in
51 part because the polymer model probably only works well once the strands are relatively long, such as
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1 those used as adapters in optical tweezer experiments.^{49, 81} The stretching entropy was not considered in
2 prior unfolding studies of tetraloops.²³⁻²⁴ Another reason for the discrepancy between the free energy
3 calculated from umbrella sampling simulations and the sum of nearest neighbor and stretching free
4 energy could be the inaccuracy of the ff99 force field. Free simulations of the hairpins show a relatively
5 large RMSD of the loop region. This can affect the accuracy of absolute free energies of folding
6 calculated from umbrella sampling. All three hairpins, however, have the same loop region, and the
7 inaccuracies in free energy coming from this region should cancel when relative free energies are
8 calculated. This is indeed the case as can be seen from Table 3. There is also an advantage to
9 performing molecular pulling computations; the required sampling time is significantly less than other
10 methods, such as replica exchange, that require sampling of a random coil conformation.
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24 The calculations were compared to experiments via a thermodynamic cycle (Table 3). With this
25 approach, Amber's ff99 was able to predict relative free energies of RNA helix formation with accuracy
26 within the error bounds of the simulations. This is the first demonstration that molecular mechanics can
27 reproduce nearest neighbor parameters. QM calculations⁸⁴⁻⁸⁵ have been used to predict the stacking
28 energies of different di-nucleotide steps. Parameters for stacking interactions have also been extracted
29 from the PDB database and shown to be of comparable accuracy to the thermodynamic derived
30 parameters.⁸⁶
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40 The agreement with experiment is a testament to the Amber ff99 force field's²⁸⁻²⁹ ability to accurately
41 predict free energy difference of RNA helix formation. A few comments are in order regarding these
42 results. The magnitude of errors of the free energy changes from the simulations for the mutant hairpins
43 are much larger than the native hairpin error (see Table 1). This is a consequence of the larger flexibility
44 of the mutant hairpins. The native hairpin has three GC basepairs in the stem region, mutant1 replaces
45 the middle GC with the AU pair and mutant2 replaces both middle and first GC with an AU pairs. More
46 flexible molecules can explore larger areas of conformational space during the sampling and therefore
47 take longer to converge. This was addressed by running an additional umbrella sampling run for
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1 mutant1 and mutant2 hairpins, but it appears that to get higher precision, even more simulations were
2 needed.
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5 Recently the improvements to α/γ backbone torsions derived for DNAs³⁰ were shown to work for
6 RNAs as well,^{32, 53} and also two new sets of glycosidic torsion³¹⁻³² parameters have been derived. These
7 new parameters, when replacing the respective ff99 parameters, showed an improved agreement with
8 the experimental structures in long simulations.^{31-32, 53} This work was started before the publication of
9 these findings, so the revised force fields were not included in these calculations. The total change to the
10 force field ff99 was not large, however, and their apparent effect would be further reduced in our results
11 due to the fact that relative free energy changes are determined. Still, based on the already published
12 tests of the new parameters, a better agreement might be expected in predicting the relative free energies
13 of helix formation.
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26 Despite these recent improvements, the Amber RNA force fields still have documented deficiencies,
27 such as the inability to properly describe the structural characteristics of loop regions of hairpins,⁵³ or to
28 properly predict the free energy changes of conformational changes.²¹ Therefore, it is important that this
29 work shows agreement in free energy changes for helices. As shown here, Amber's ff99 can accurately
30 predict the relative free energies of helix formation. This suggests that, by comparing the relative free
31 energies, inaccuracies can cancel out and still produce accurate final results. This also suggests that the
32 modeling of A-form helices by the Amber force field may be more accurate than the modeling of loop
33 structures.
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50 Computer time was provided by the University of Rochester Center for Integrated Research Computing.
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1 **Supporting Information Available.** The supporting information contains the procedure describing
2 calculation of the errors in the nearest neighbor free energy calculations, figures of RMSD vs. time for
3 the free simulations of native and mutant hairpins, figures of RMSD vs. time that show the stability of
4 mutant conformations in individual windows of umbrella sampling simulations and figures showing the
5 convergence of umbrella sampling simulations. This information is available free of charge via the
6 Internet at <http://pubs.acs.org>.
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FIGURES

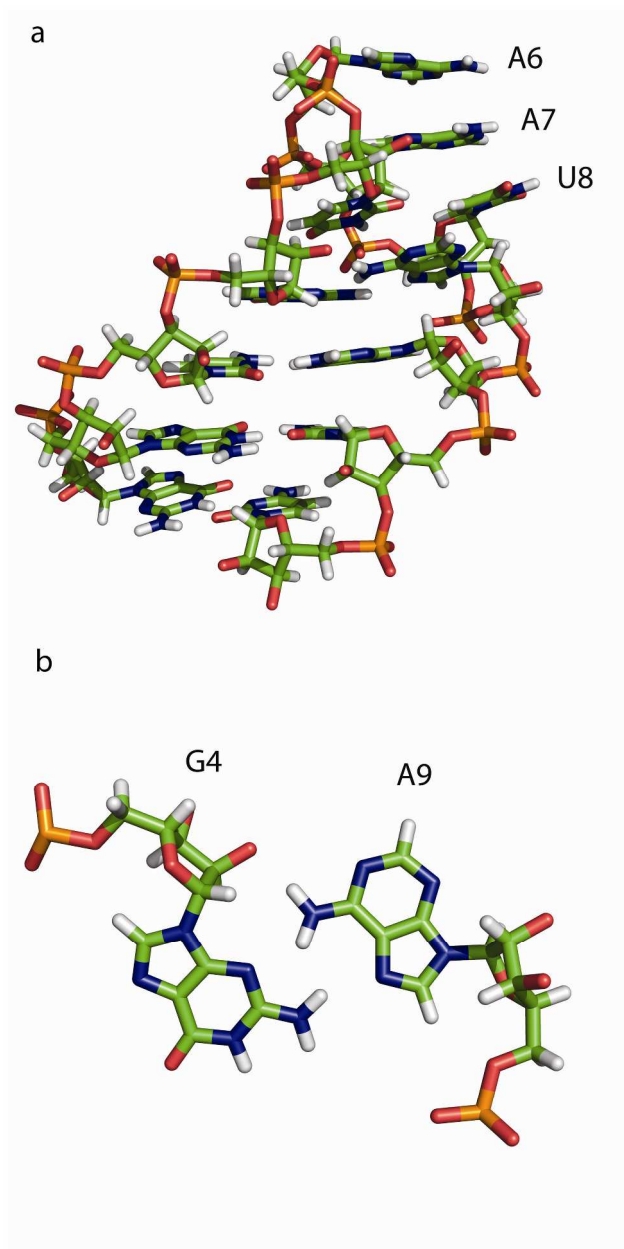


Figure 1. Solution structure of native hairpin GGCGUAAUAGCC.⁴² Figure 1a shows the structure, illustrating the continuous stack of A6, A7 and U8. Figure 1b shows the sheared base pair between G4 and A9. G4 and A9 are bonded via *trans* Hoogsteen/sugar edge hydrogen bond.⁸⁷

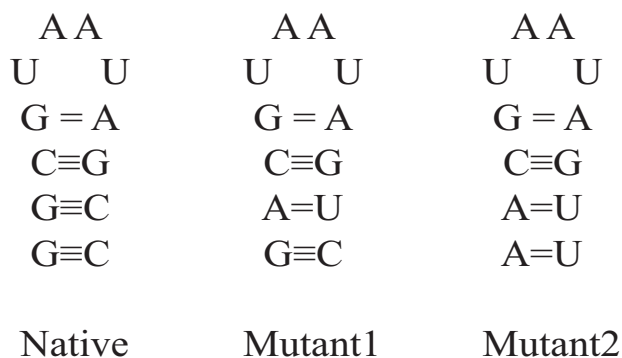


Figure 2. Secondary structures of native, mutant1 and mutant2 hairpins. They differ only in the stem region. Mutant1 has the G2-C11 base pair replaced with the A2-U11, and the mutant2 has G1-C12 replaced with A1-U12 and G2-C11 with A2-U11. This diagram shows the number of hydrogen bonds in each pair.

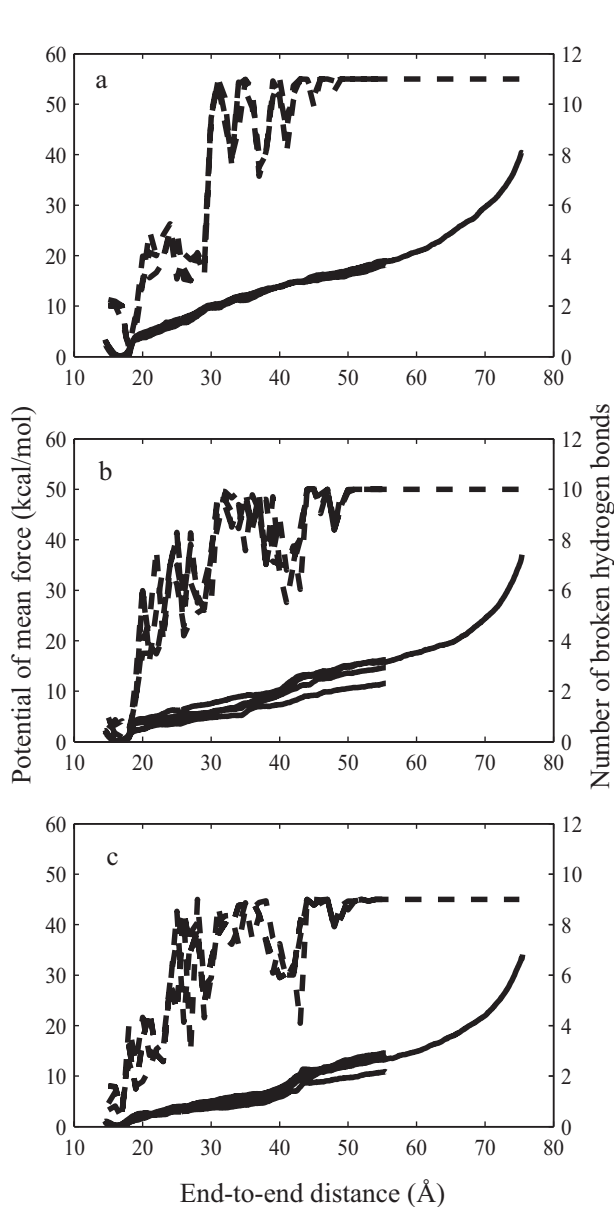


Figure 3. Potential of mean force (PMF) and number of broken hydrogen bonds plotted against the end-to-end distance for native (Figure 3a), mutant1 (Figure 3b) and mutant2 sequences (Figure 3c). The PMF calculation has been run three times for the native hairpin and four times for mutant1 and mutant2 hairpins. Solid lines and the left-hand side y-axis are the PMF plots, dashed lines and the right-hand side y-axis denote data for the number of hydrogen bonds broken.

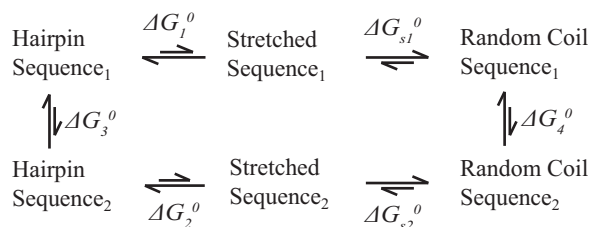


Figure 4. Thermodynamic cycle for transition between two hairpins. The difference in ΔG_1^0 and ΔG_2^0 determined by umbrella sampling, is equal to the difference between ΔG_3^0 and ΔG_4^0 , which can be accurately predicted using nearest neighbor parameters if the free energy changes required to stretch the hairpins from the random coil to an extended conformation (ΔG_{s1}^0 and ΔG_{s2}^0) are sequence-independent, an approximation which is routinely made in single molecule stretching experiments.

TABLES

Table 1. Free energy change between native and stretched RNA hairpins calculated using the umbrella sampling simulations in units of kcal/mol.

	Native	Mutant1	Mutant2
Run1	-20.5	-17.7	-15.0
Run2	-19.7	-17.8	-15.4
Run3	-20.6	-13.2	-16.3
Run4		-16.3	-12.5
Average	-20.2 ± 0.5	-16.2 ± 2.1	-14.8 ± 1.6

Three independent calculations were performed for native hairpin and four for mutant1 and mutant2. The reported error of the average values is the standard deviation over the independent calculations.

1 **Table 2.** Free energy of melting native, mutant1 and mutant2 hairpins calculated using the combination
2 of free energy changes from optical melting experiments and nearest neighbor parameters (from the
3 NNDB).
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	Native	Mutant1	Mutant2
ΔG_{NN} (kcal/mol)	-3.5 ± 0.2	-1.3 ± 0.3	0.8 ± 0.3

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12 Errors are calculated from the errors given with the parameters in NNDB and by taking into account that
13 the changes in enthalpy and entropy are correlated.⁴⁵
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Table 3. Free energy change in kcal/mol for transition from native to mutant1, native to mutant2 and mutant1 to mutant2 hairpins calculated using a thermodynamic cycle.

	Native ← Mutant1	Native ← Mutant2	Mutant1 ← Mutant2
Simulation	-4.0 ± 2.2	-5.5 ± 1.7	-1.5 ± 2.7
Nearest Neighbor	-2.2 ± 0.2	-4.3 ± 0.2	-2.1 ± 0.2
Difference	1.8	1.2	-0.6

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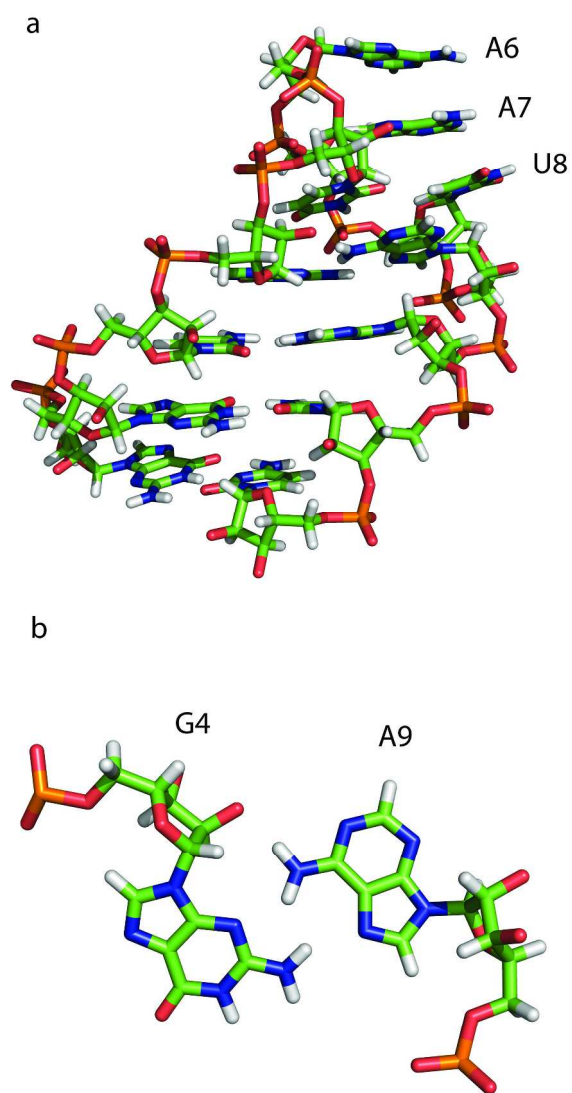


Figure 1.
165x330mm (300 x 300 DPI)

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11 Native

11 Mutant1

11 Mutant2

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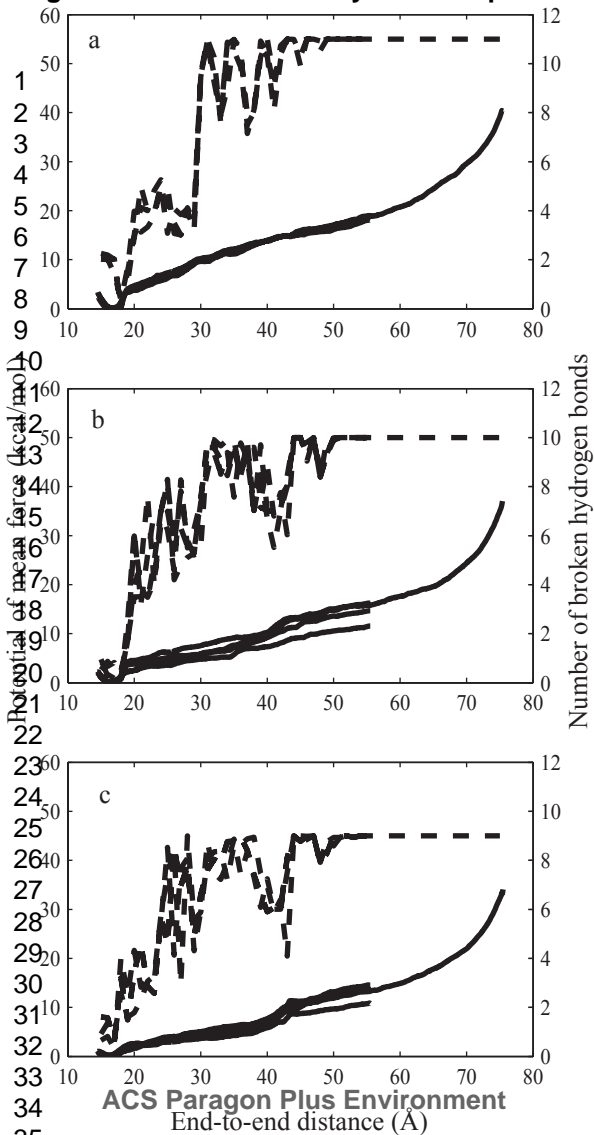
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ACS Paragon Plus Environment



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End-to-end distance (Å)

