

# Bandpass Sampling (2B)

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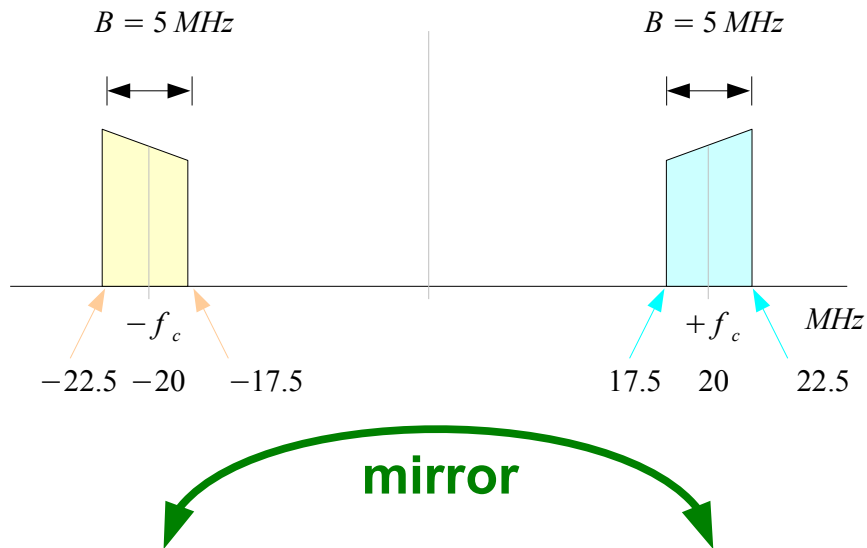
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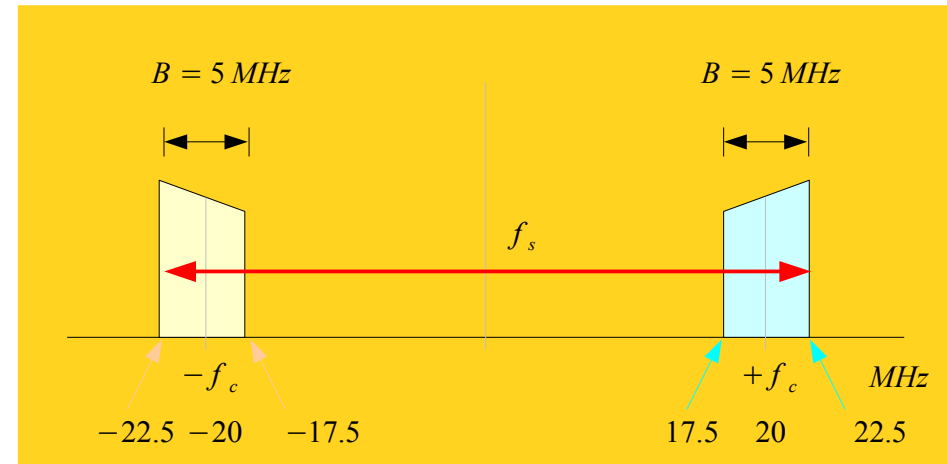
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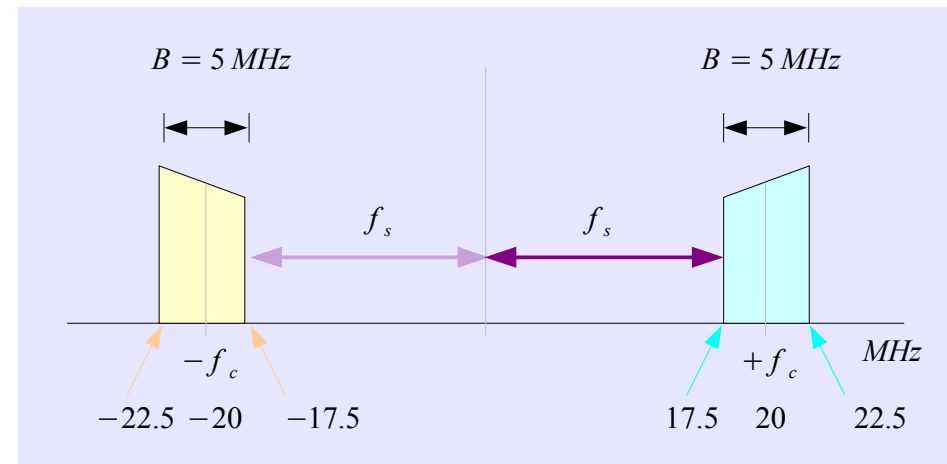
# Band-limited Signal



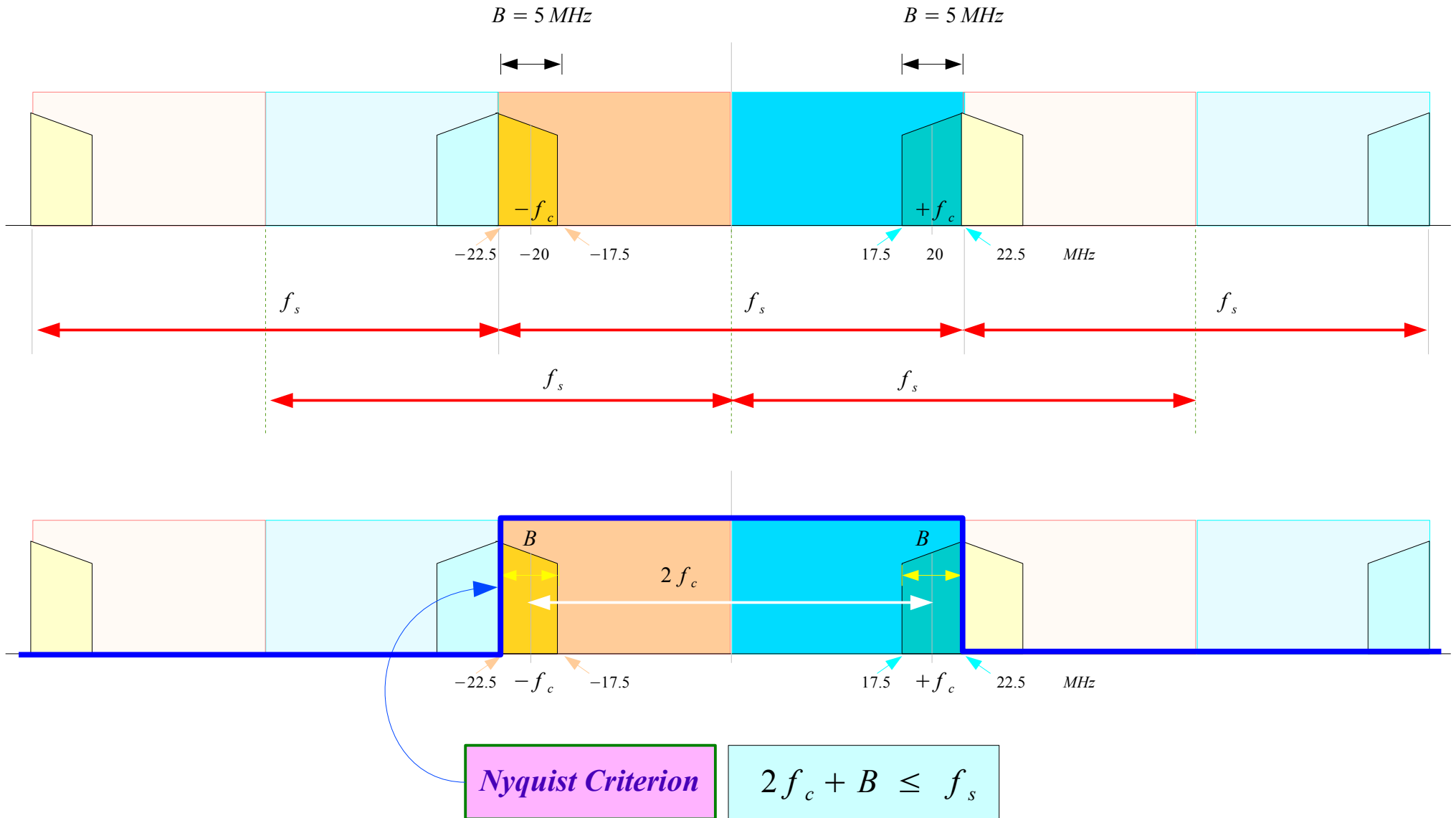
- Bandpass Sampling
- IF filtering
- Harmonic Sampling
- Sub-Nyquist Sampling



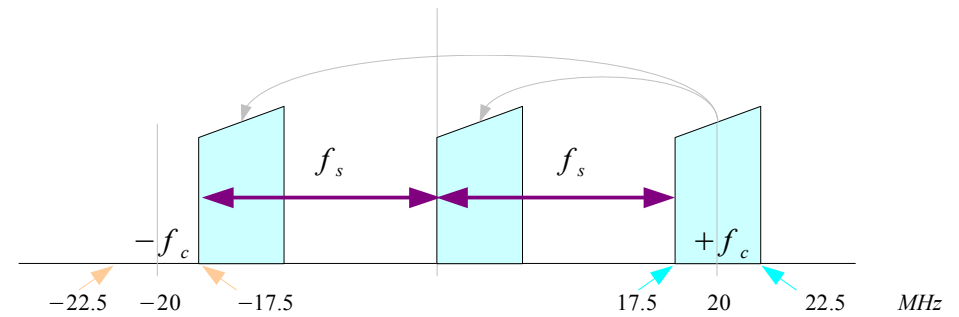
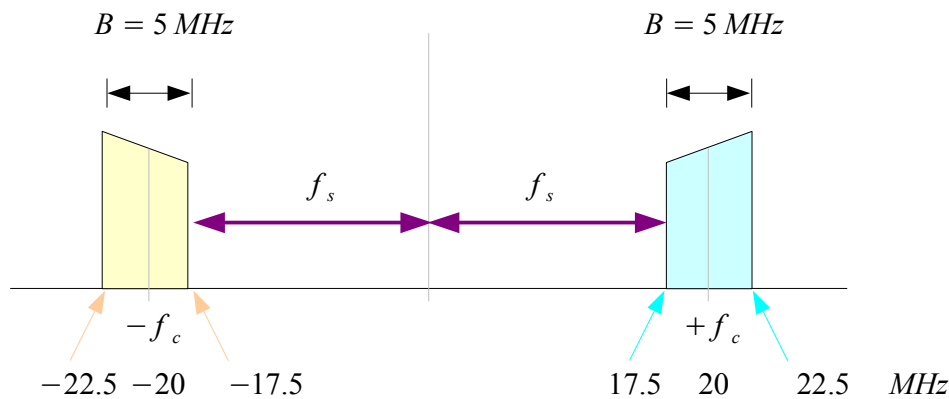
- Lowpass Sampling



# Low-pass Signal Sampling

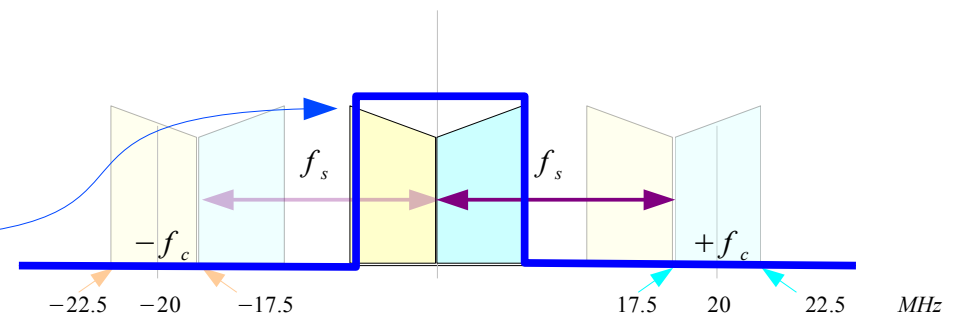
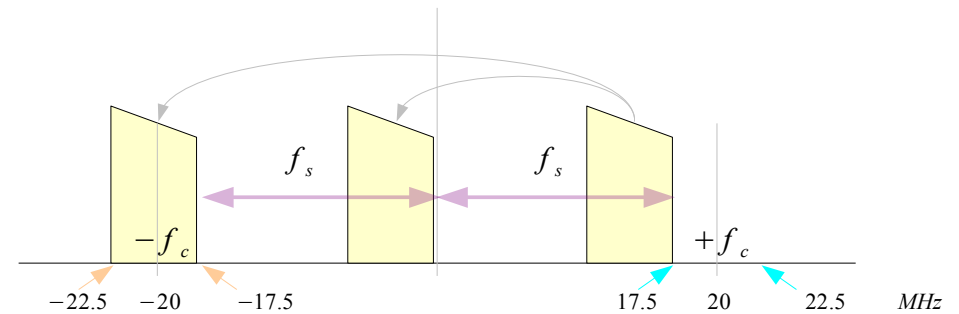


# Band-pass Signal Sampling



- **Bandpass Sampling**
- **IF filtering**
- **Harmonic Sampling**
- **Sub-Nyquist Sampling**

**Nyquist Criterion**  $2B \leq f_s$



# Sampling Frequency $f_s$ (1)

Assume there are  $m$  multiples of  $f_s$

$$2f_c - B = m \cdot f_s$$

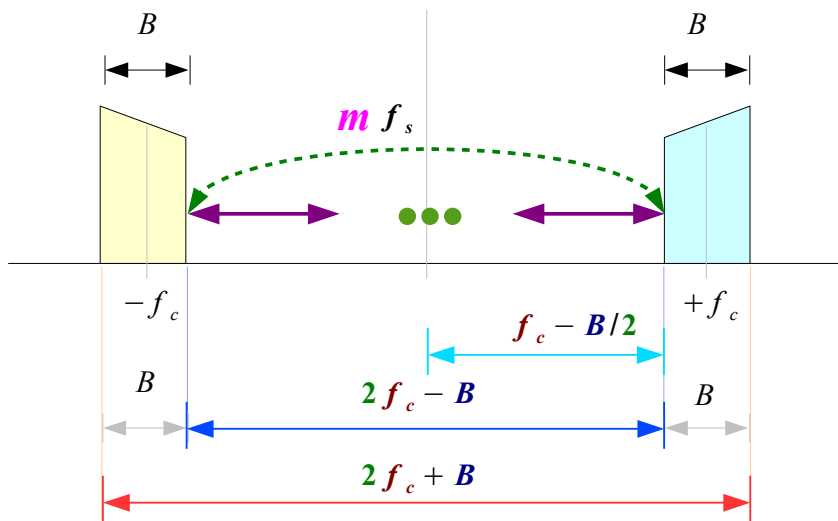
Given an integer  $m$

Max  $f_s$  condition

$f_s$  can be decreased according to the following condition without introducing aliasing problems

$$2f_c + B = (m+1) \cdot f_s$$

Min  $f_s$  condition



Given Band-pass Signal is characterized by

- Bandwidth  $B$
- Carrier Frequency  $f_c$

$$\frac{2f_c + B}{m + 1}$$

$$\leq f_s \leq$$

$$\frac{2f_c - B}{m}$$

# Sampling Frequency $f_s$ (2)

$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_c + B}{(m + 1)B} \leq \frac{f_s}{B} \leq \frac{2f_c - B}{mB}$$

Given Band-pass Signal is characterized by

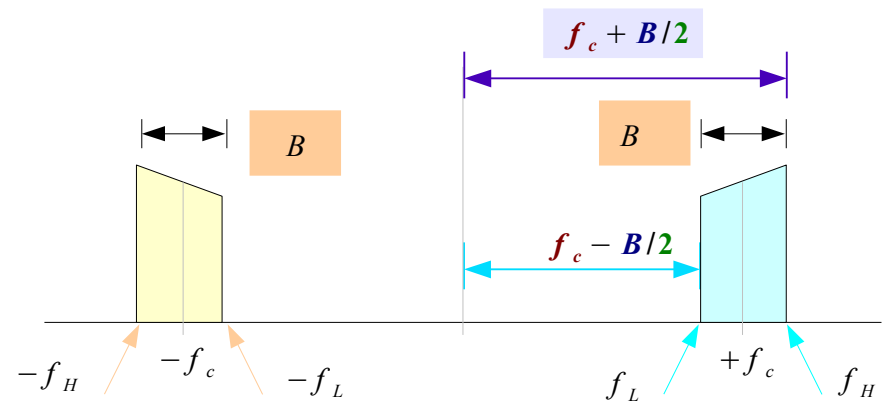
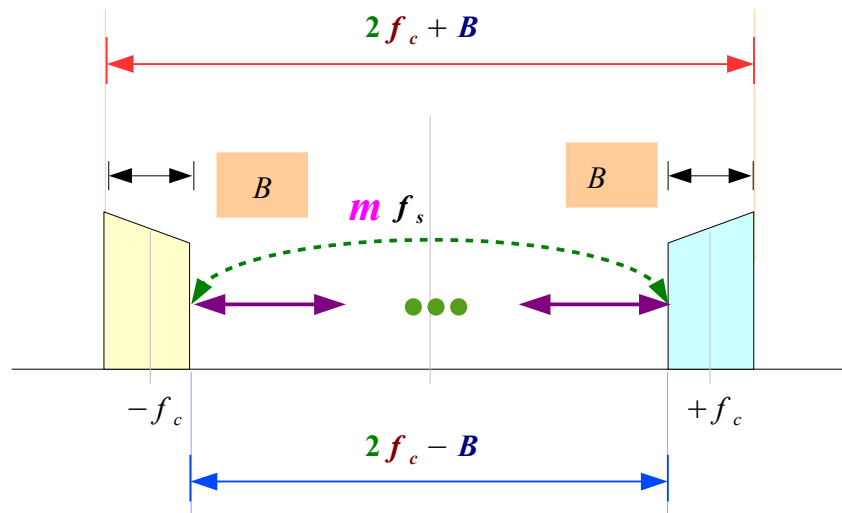
- Bandwidth  $B$
- Carrier Frequency  $f_c$

➔ Normalization by  $B$

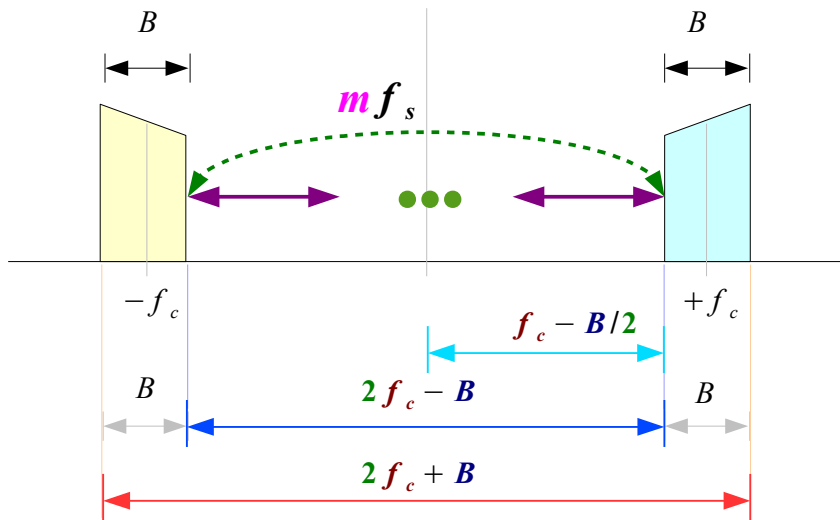
$$\frac{2f_H}{(m + 1)B} \leq \frac{f_s}{B} \leq \frac{2f_L}{mB}$$

$$f_H = f_c + B/2 \quad \text{Highest frequency}$$

$$f_L = f_c - B/2 \quad \text{Lowest frequency}$$



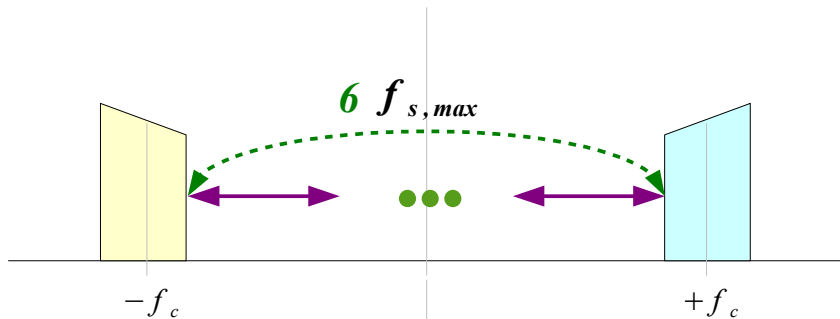
# Example $m=6$ (1)



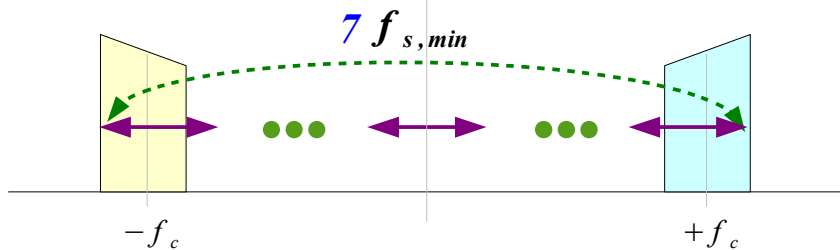
$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

When  $m = 6$

$$\min f_s \frac{2f_c + B}{7} \leq f_s \leq \frac{2f_c - B}{6} \max f_s$$



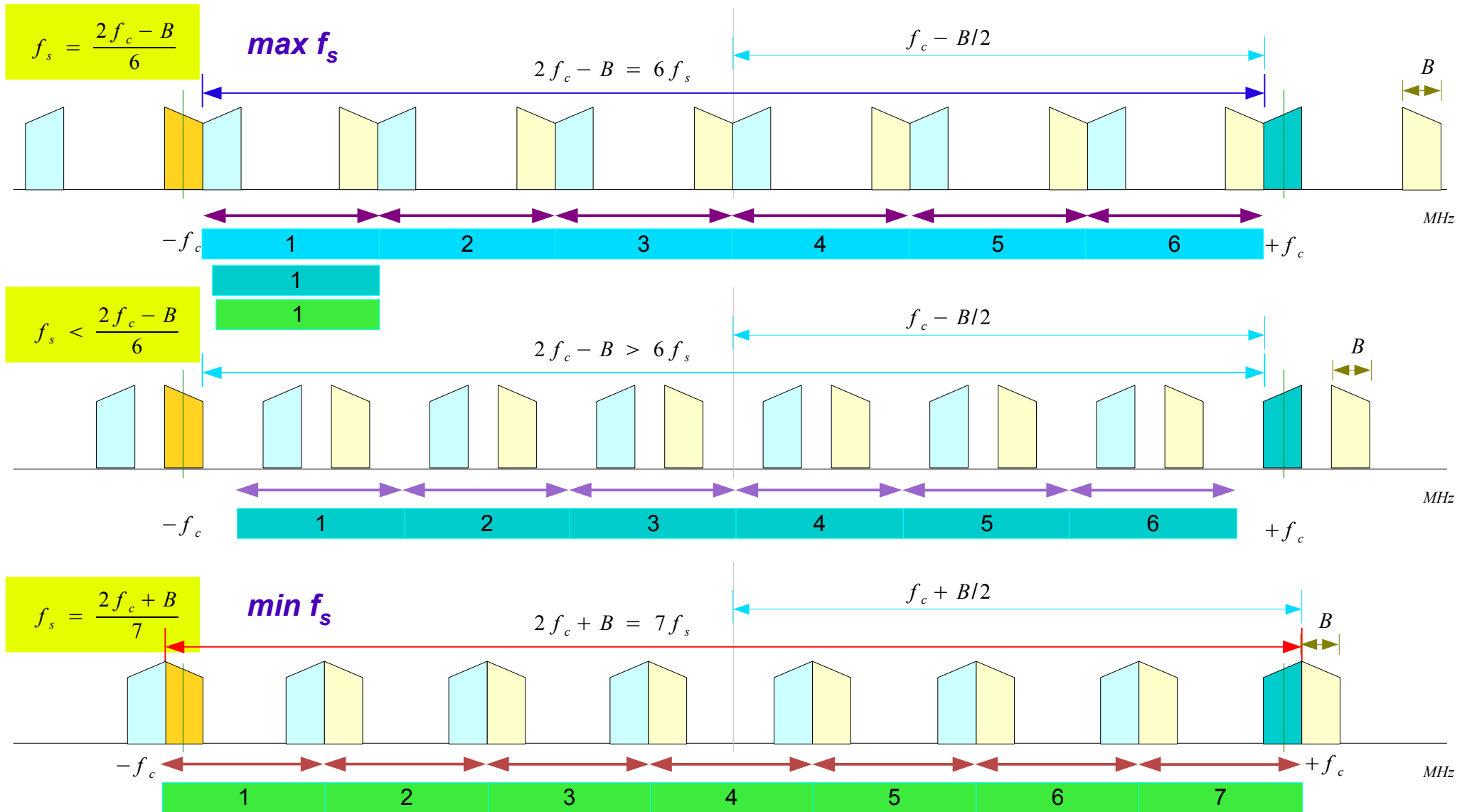
$$\max f_s = \frac{2f_c - B}{6}$$



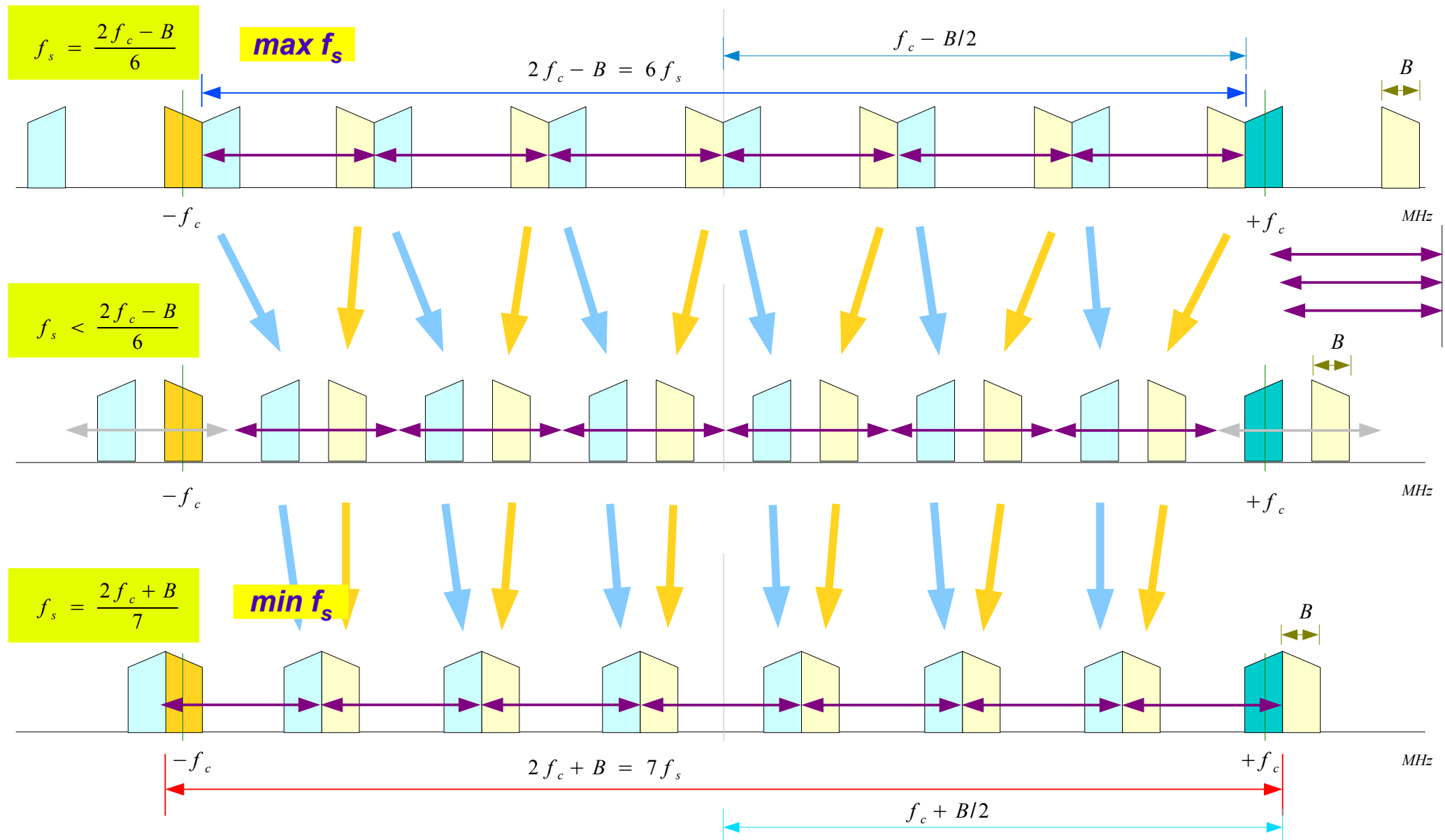
$$\min f_s = \frac{2f_c + B}{7}$$



# Example m=6 (2)



# Example m=6 (3)



# Minimum $f_s$ Plot (1)

$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{f_c + B/2}{B} = R \quad \rightarrow \mathbf{X}$$

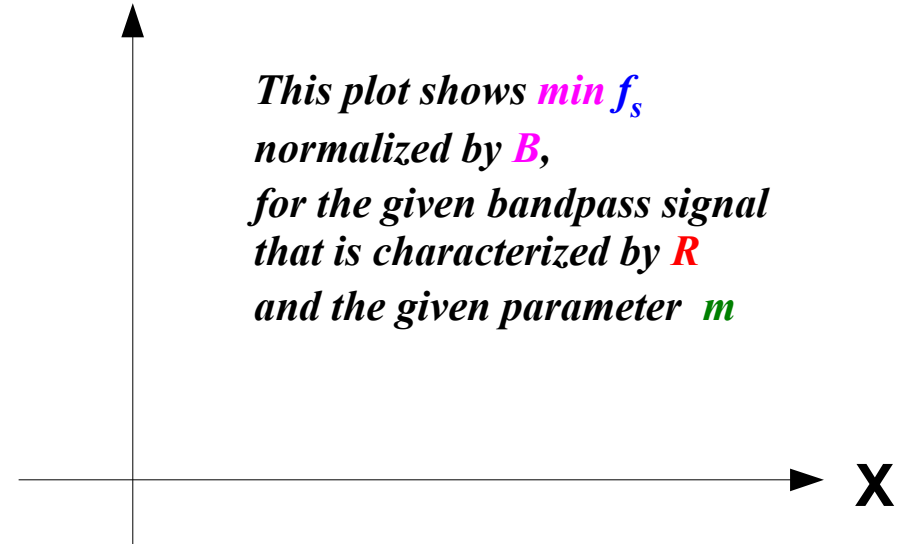
$\rightarrow$  highest signal frequency  
bandwidth  $B$

$$\frac{2f_c + B}{(m + 1)B} = \frac{f_{s,min}}{B} \quad \rightarrow \mathbf{Y}$$

$\rightarrow$  minimum sampling rate  
bandwidth  $B$

## X-Y Plot

$$\mathbf{Y} \quad \frac{f_{s,min}}{B}$$



Characterized by

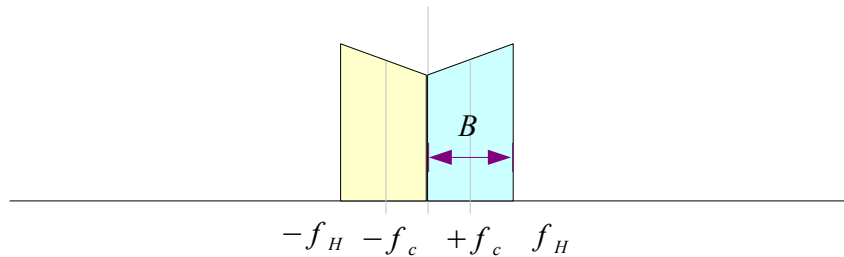
- Bandwidth  $B$
- Carrier Frequency  $f_c$

$$R = \frac{f_H}{B} = \frac{f_c + B/2}{B}$$

# Minimum $f_s$ Plot (2)

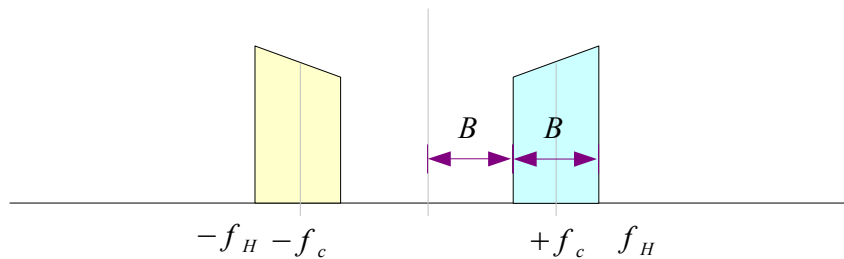
$$f_H = f_c + B/2 = 1B$$

$$R = f_H / B = 1$$



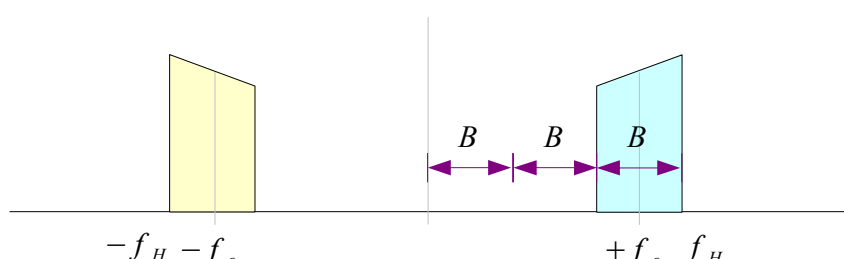
$$f_H = f_c + B/2 = 2B$$

$$R = f_H / B = 2$$

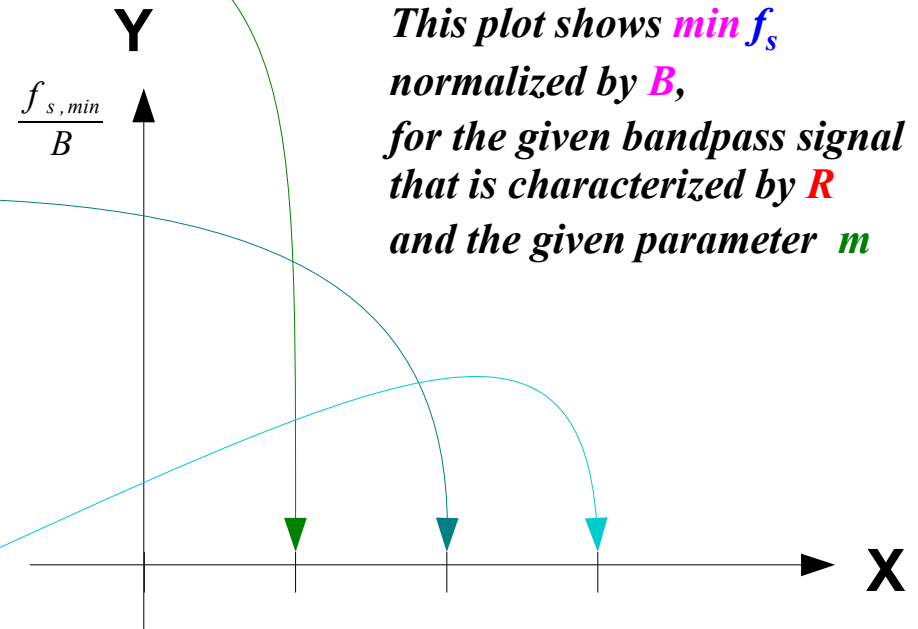


$$f_H = f_c + B/2 = 3B$$

$$R = f_H / B = 3$$



## X-Y Plot



Characterized by

- Bandwidth  $B$
- Carrier Frequency  $f_c$

$$R = \frac{f_H}{B} = \frac{f_c + B/2}{B}$$

# Minimum $f_s$ Plot (3)

$$\frac{2f_c + B}{m+1} \leq f_s \leq \frac{2f_c - B}{m}$$

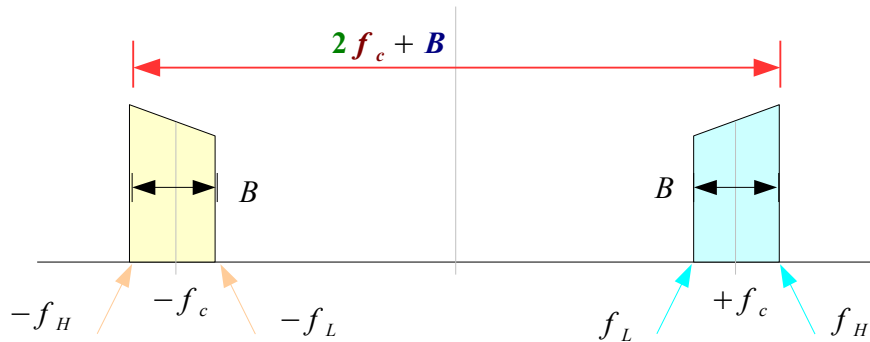
$$g(m, R) = \frac{2}{(m+1)} \frac{f_H}{B} = \frac{2}{(m+1)} R$$

$$\frac{f_H}{B} = \mathbf{X} \quad \Rightarrow \quad \frac{f_c + B/2}{B} = R$$

$$\frac{f_{s, \min}}{B} = \mathbf{Y} \quad \Rightarrow \quad \frac{2f_c + B}{(m+1)B} = \frac{2f_H}{(m+1)B}$$

$\Rightarrow g(m, R)$

$m = 0$	$g(0, R) = 2R$	<i>slope = 2</i>
$m = 1$	$g(1, R) = R$	<i>slope = 1</i>
$m = 2$	$g(2, R) = \frac{2}{3}R$	<i>slope = 2/3</i>
$m = 3$	$g(3, R) = \frac{1}{2}R$	<i>slope = 1/2</i>
$m = 4$	$g(4, R) = \frac{2}{5}R$	<i>slope = 2/5</i>
$m = 5$	$g(5, R) = \frac{1}{3}R$	<i>slope = 1/3</i>
$m = 6$	$g(6, R) = \frac{2}{7}R$	<i>slope = 2/7</i>
$m = 7$	$g(7, R) = \frac{1}{4}R$	<i>slope = 1/4</i>
$m = 8$	$g(8, R) = \frac{2}{9}R$	<i>slope = 2/9</i>



# Minimum $f_s$ Plot (4)

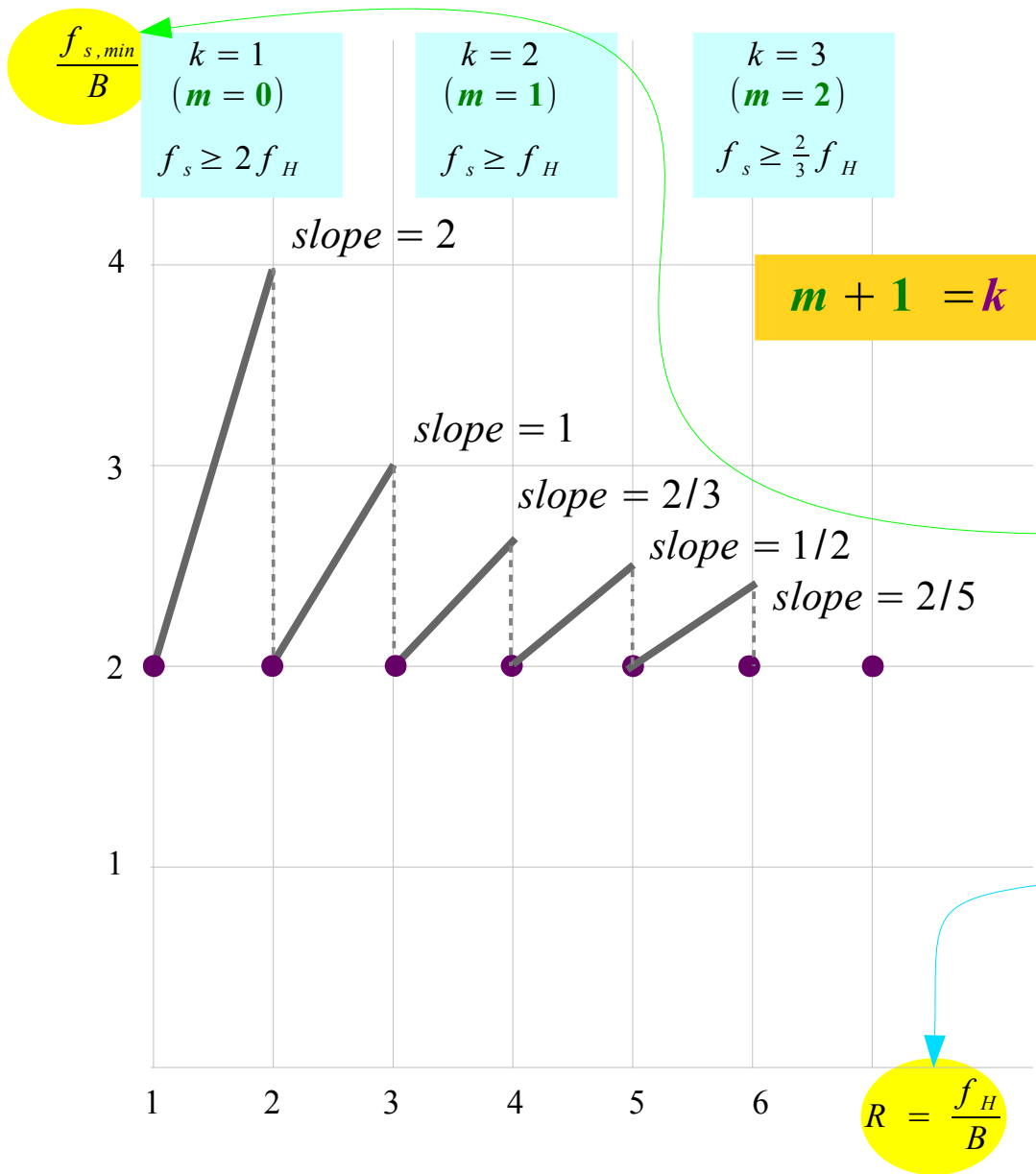
$$g(m, R) = \frac{2}{(m+1)} \frac{f_H}{B} = \frac{2}{(m+1)} R$$

$$R = m+1 \Rightarrow g(m, m+1) = 2$$

$m = 0$	$g(0, R) = 2R$	$slope = 2$
$m = 1$	$g(1, R) = R$	$slope = 1$
$m = 2$	$g(2, R) = \frac{2}{3}R$	$slope = 2/3$
$m = 3$	$g(3, R) = \frac{1}{2}R$	$slope = 1/2$
$m = 4$	$g(4, R) = \frac{2}{5}R$	$slope = 2/5$
$m = 5$	$g(5, R) = \frac{1}{3}R$	$slope = 1/3$
$m = 6$	$g(6, R) = \frac{2}{7}R$	$slope = 2/7$
$m = 7$	$g(7, R) = \frac{1}{4}R$	$slope = 1/4$
$m = 8$	$g(8, R) = \frac{2}{9}R$	$slope = 2/9$

$m = 0$	$R = 1$	$\Rightarrow$	$g(0, 1) = 2$
$m = 1$	$R = 2$	$\Rightarrow$	$g(1, 2) = 2$
$m = 2$	$R = 3$	$\Rightarrow$	$g(2, 3) = 2$
$m = 3$	$R = 4$	$\Rightarrow$	$g(3, 4) = 2$
$m = 4$	$R = 5$	$\Rightarrow$	$g(4, 5) = 2$
$m = 5$	$R = 6$	$\Rightarrow$	$g(5, 6) = 2$
$m = 6$	$R = 7$	$\Rightarrow$	$g(6, 7) = 2$
$m = 7$	$R = 8$	$\Rightarrow$	$g(7, 8) = 2$
$m = 8$	$R = 9$	$\Rightarrow$	$g(8, 9) = 2$

# Minimum $f_s$ Plot (5)



$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$

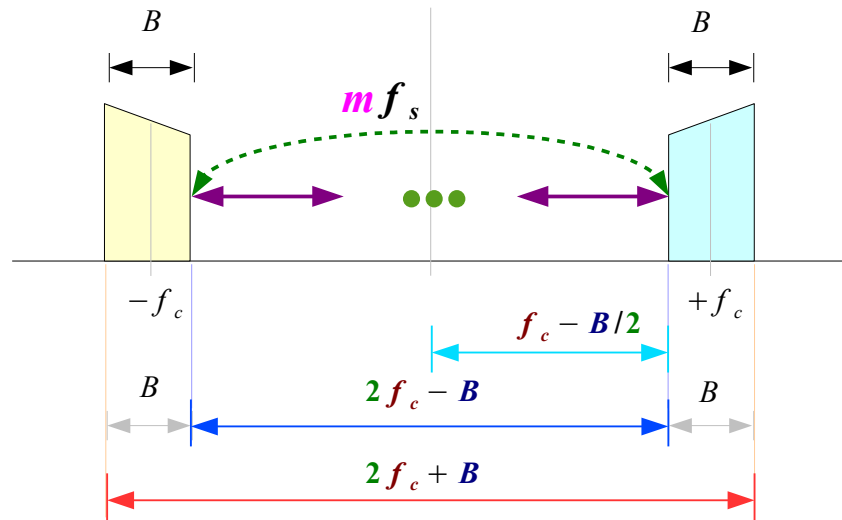
$$\frac{2f_c + B}{(m + 1)B} = \frac{f_{s,min}}{B} = g(m, R)$$

minimum sampling rate  
 bandwidth B

$$\frac{f_c + B/2}{B} = R$$

highest signal frequency  
 bandwidth B

# Min, Max Condition on $f_s$ (1)



$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

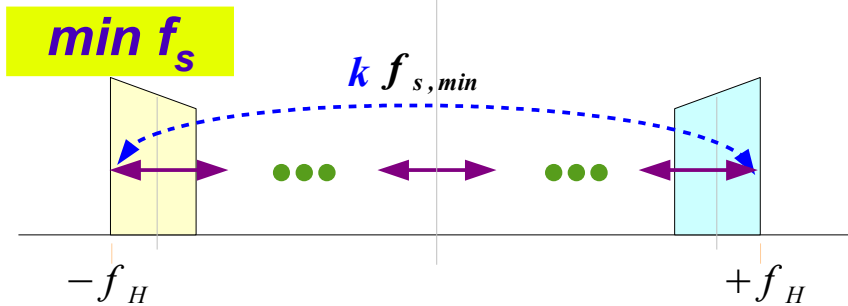
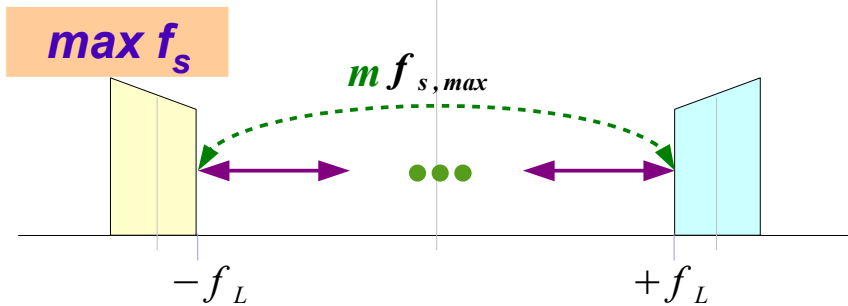
$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$

$$m + 1 = k$$

$$\text{min } f_s$$

$$\text{max } f_s$$

$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$



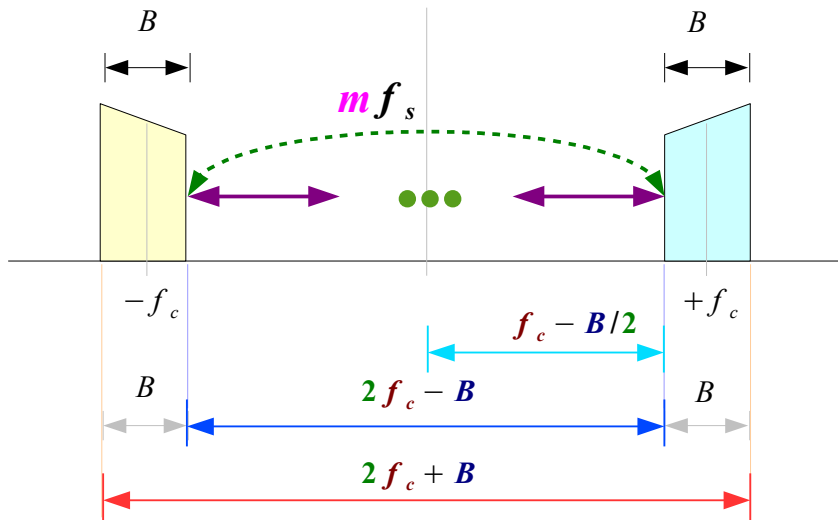
$$k = 2 \quad f_H \leq f_s \leq 2f_L \quad m = 1$$

$$k = 3 \quad \frac{2}{3}f_H \leq f_s \leq f_L \quad m = 2$$

$$k = 4 \quad \frac{1}{2}f_H \leq f_s \leq \frac{2}{3}f_L \quad m = 3$$



# Min, Max Condition on $f_s$ (2)

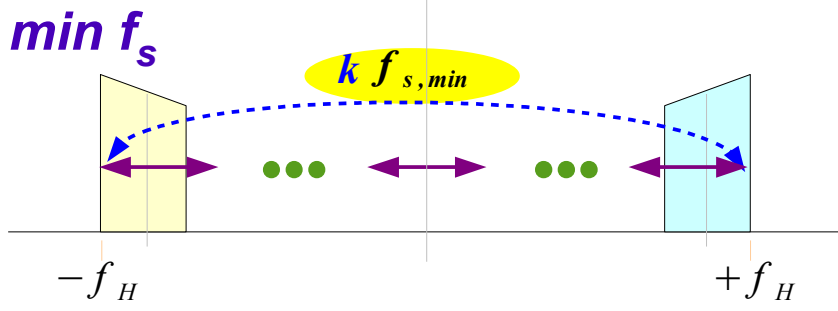
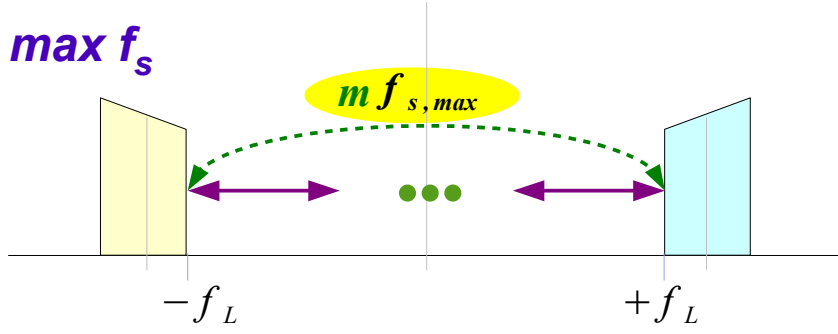


**min  $f_s$**

**max  $f_s$**

$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

$$k = m + 1$$



$m$  represents how many  $f_s$  are in  $2f_c - B$  in max  $f_s$

$$\max f_s = \frac{2f_c - B}{m} = \frac{2f_L}{m}$$

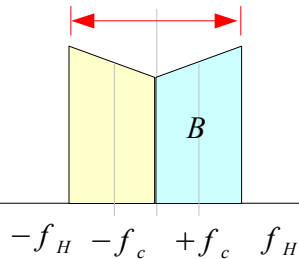
$k$  represents how many  $f_s$  are in  $2f_c + B$  in min  $f_s$

$$\min f_s = \frac{2f_c + B}{k} = \frac{2f_H}{k}$$

# Example $k=1$ ( $m=0$ )

$k = 1$   
( $m = 0$ )

$$f_H = f_c + B/2 = 1B$$



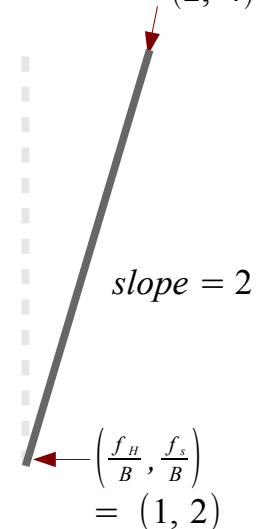
$$R = f_H / B = 1$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = +\infty$$

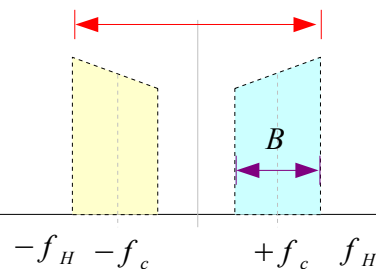
$$R \in [1, 2]$$

$$\left(\frac{f_H}{B}, \frac{f_s}{B}\right) = (2, 4)$$



$k = 1$   
( $m = 0$ )

$$f_H = f_c + B/2 = 1.5B$$



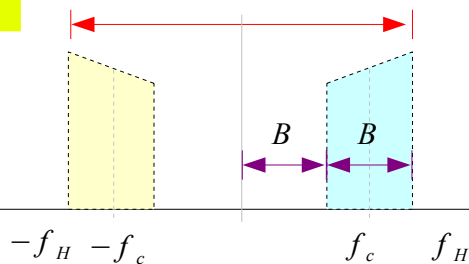
$$R = f_H / B = 1.5$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 3$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = +\infty$$

$k = 1$   
( $m = 0$ )

$$f_H = f_c + B/2 = 2B$$



$$R = f_H / B = 2$$

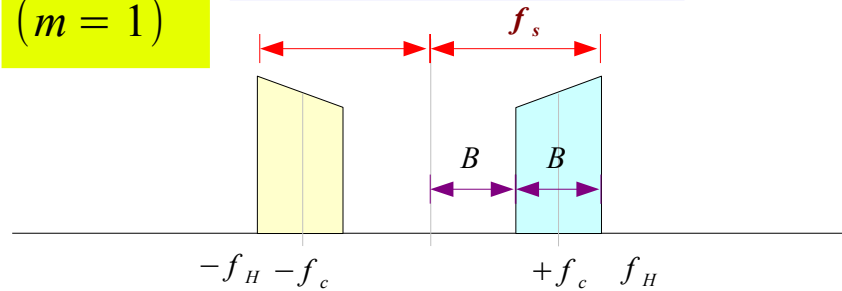
$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 4$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = +\infty$$

# Example $k=2$ ( $m=1$ )

$k = 2$   
 $(m = 1)$

$$f_H = f_c + B/2 = 2B$$



$$R = f_H / B = 2$$

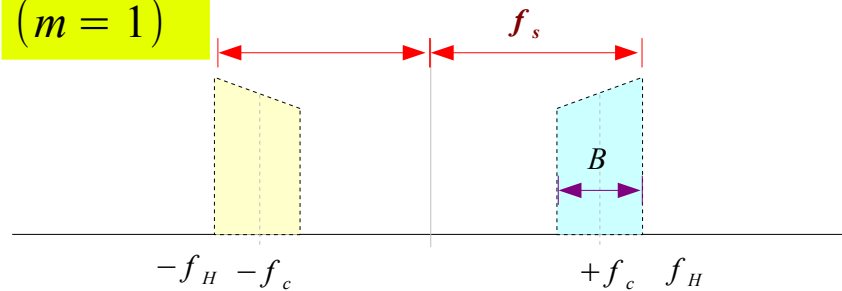
$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 2$$

$$R \in [2, 3]$$

$k = 2$   
 $(m = 1)$

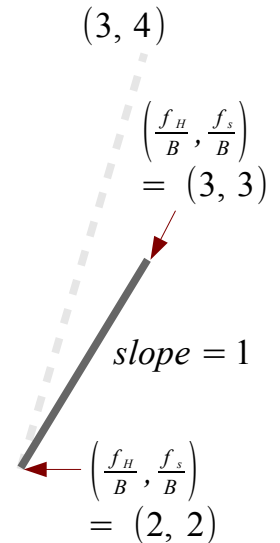
$$f_H = f_c + B/2 = 2.5B$$



$$R = f_H / B = 2.5$$

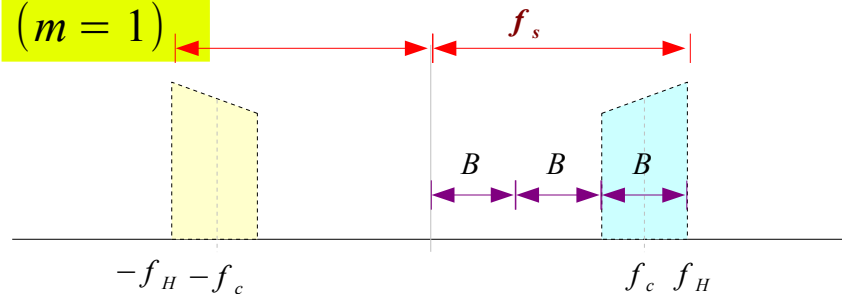
$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2.5$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 3$$



$k = 2$   
 $(m = 1)$

$$f_H = f_c + B/2 = 3B$$

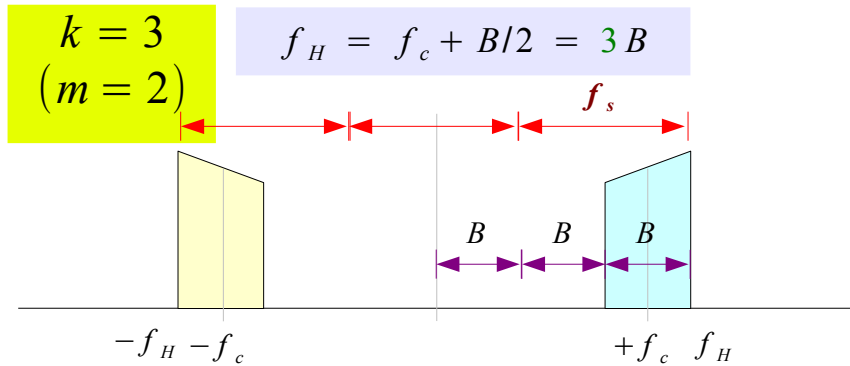


$$R = f_H / B = 3$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 3$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 4$$

# Example $k=3$ ( $m=2$ )

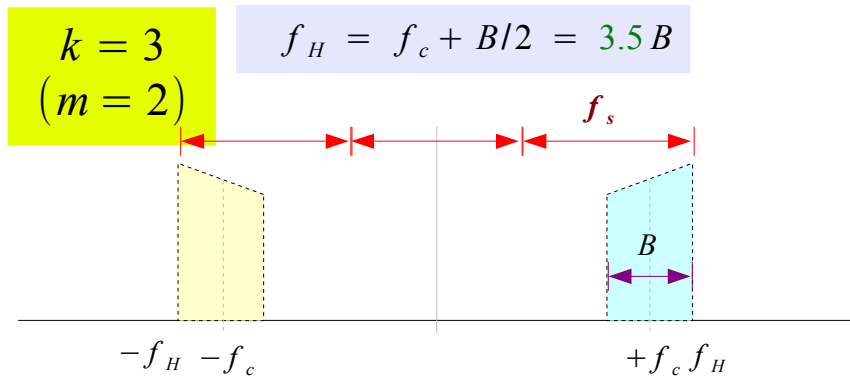


$R = f_H / B = 3$

$R \in [3, 4]$

$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2$

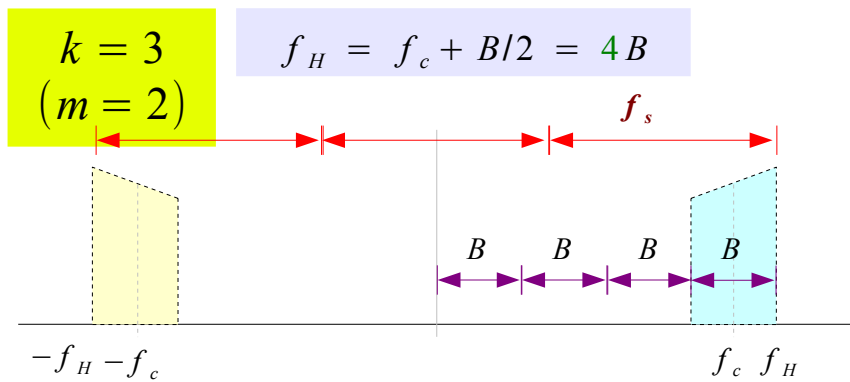
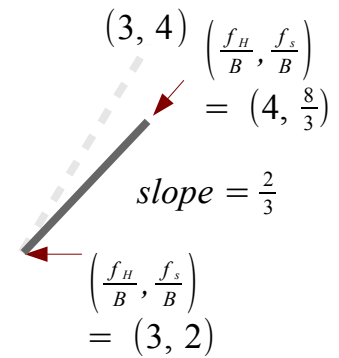
$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 2$



$R = f_H / B = 3.5$

$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = \frac{7}{3}$

$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 3$

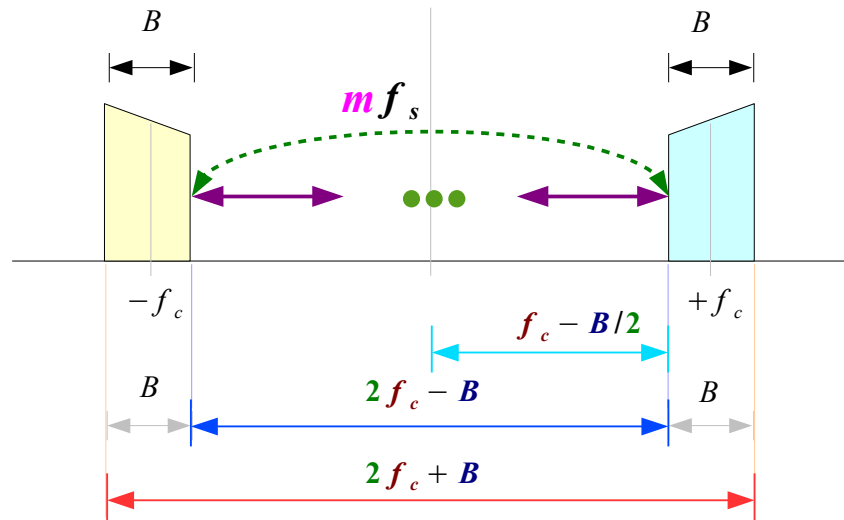


$R = f_H / B = 4$

$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = \frac{8}{3}$

$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 4$

# Min, Max Condition on $f_s$ (2)



$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

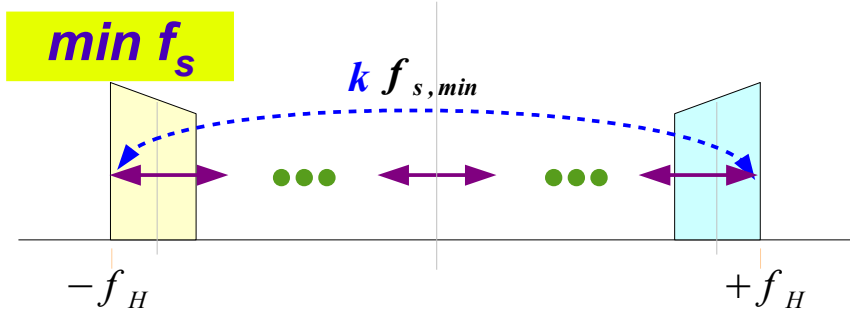
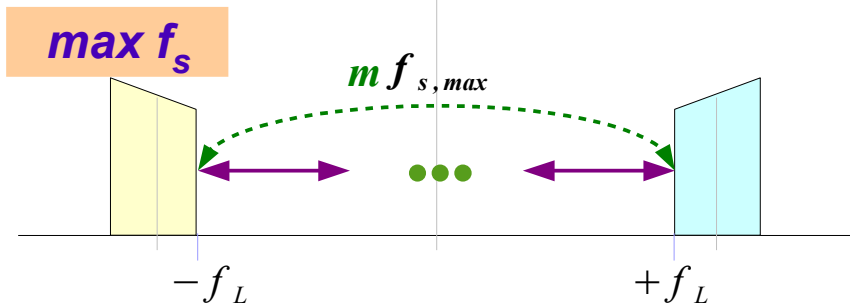
$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$

$$m + 1 = k$$

**min  $f_s$**

**max  $f_s$**

$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

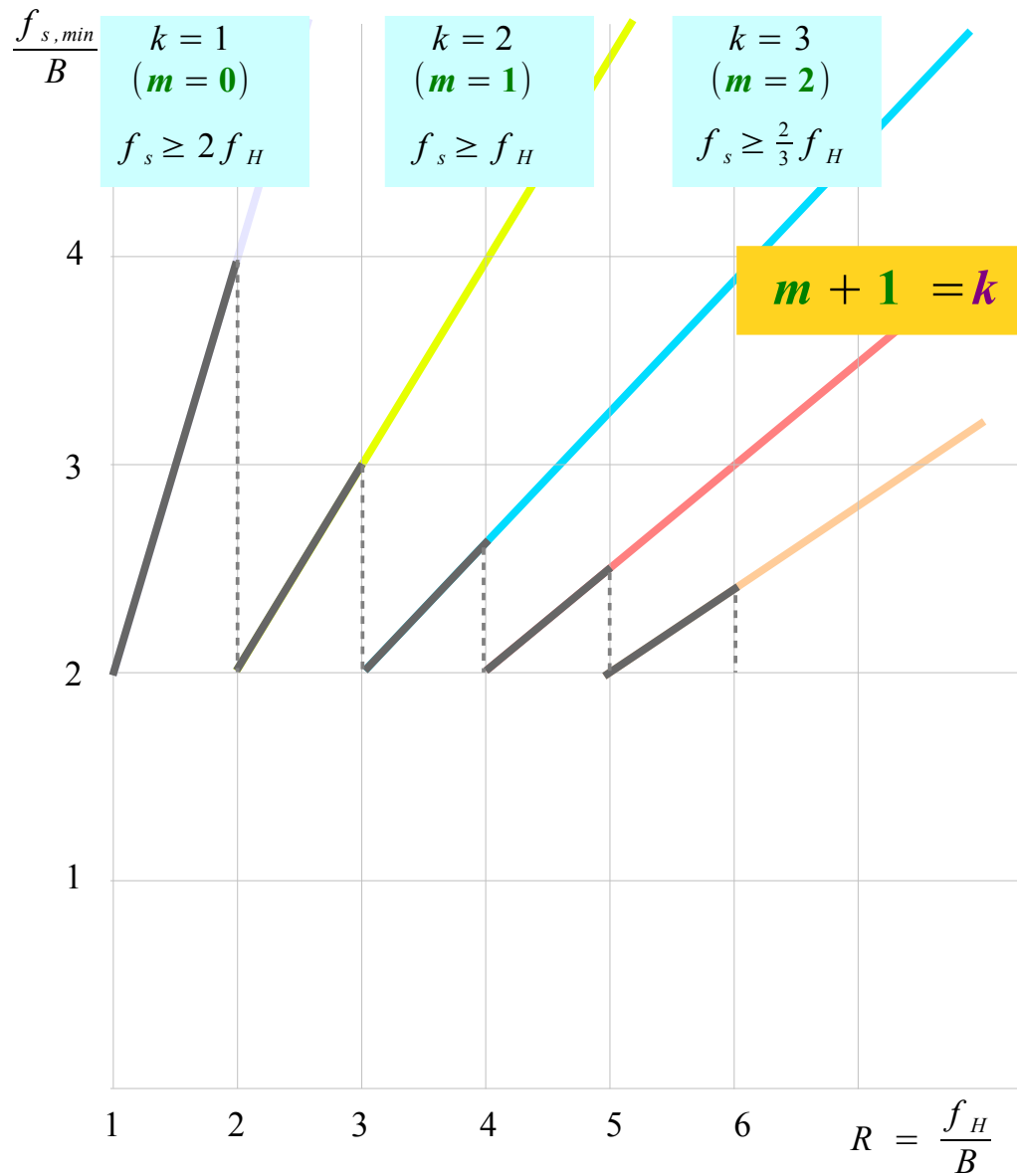


$$k = 2 \quad f_H \leq f_s \leq 2f_L \quad m = 1$$

$$k = 3 \quad \frac{2}{3}f_H \leq f_s \leq f_L \quad m = 2$$

$$k = 4 \quad \frac{1}{2}f_H \leq f_s \leq \frac{2}{3}f_L \quad m = 3$$

# Min Max $f_s$ Plot (1)

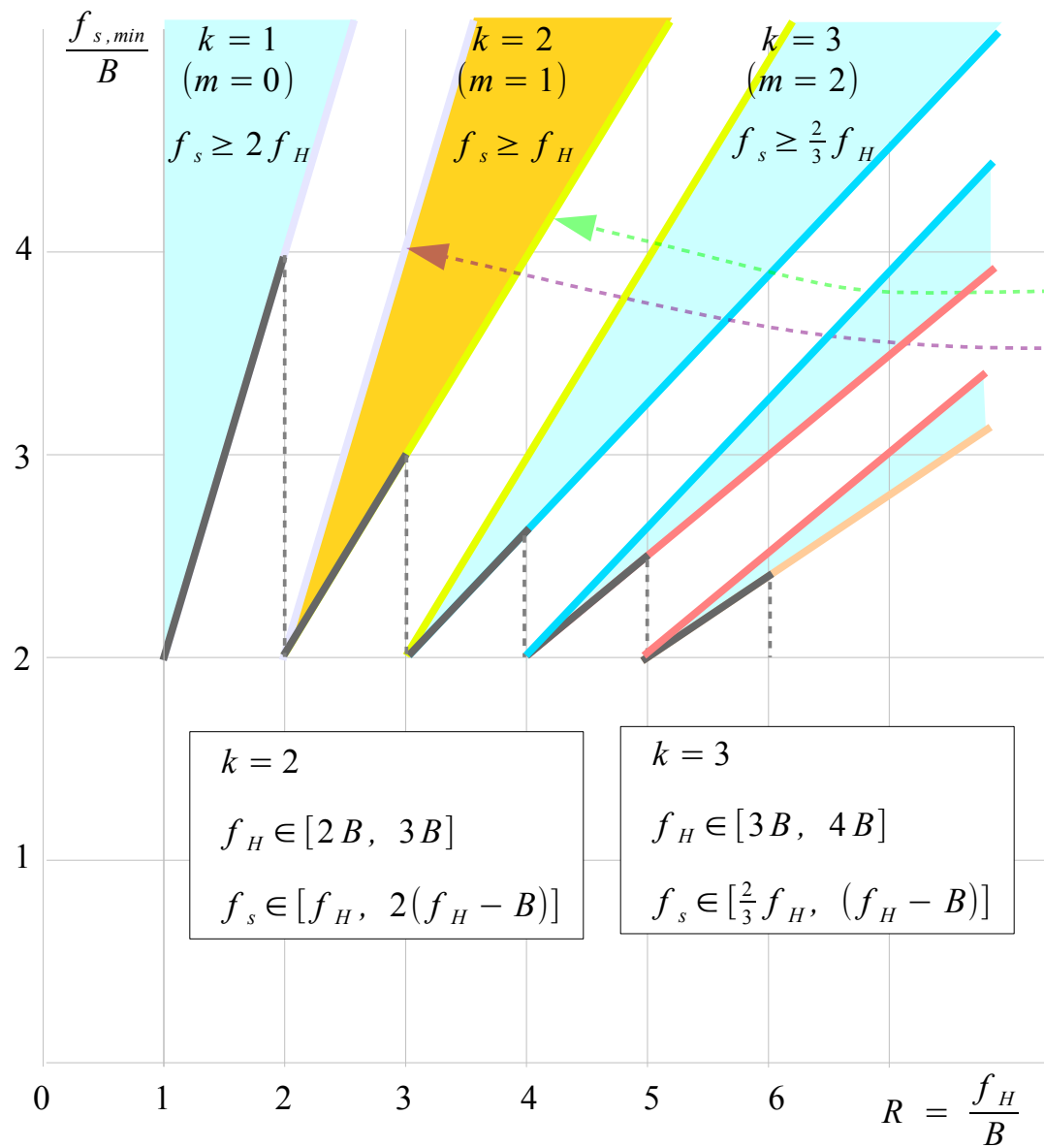


$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$

$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

# Min Max $f_s$ Plot (2)



$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

Min  $f_s$

Max  $f_s$

$$k = 2 \quad f_H \leq f_s \leq 2f_L$$

$$k = 3 \quad \frac{2}{3}f_H \leq f_s \leq f_L$$

Min  $f_s$

$$y = 1(x-2)+2$$

$$y = x$$

$$k = 2$$

Max  $f_s$

$$y = 2(x-2)+2$$

$$y = 2x-2$$

$$y = \frac{2}{3}(x-3)+2$$

$$y = \frac{2}{3}x$$

$$k = 3$$

$$y = 1(x-3)+2$$

$$y = x-1$$

# Range of $f_s$ when $R=4.5$ , $B=5$ (1)

<p style="color: purple; text-align: center;"><i>For a given m</i></p>	$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$	<p style="color: magenta;"><i>Nyquist Criterion</i></p>	$2B \leq f_s$	
<p><math>f_c = 20 \text{ MHz}</math> <math>B = 5 \text{ MHz}</math></p>				
	<i>min <math>f_s</math></i>	<i>max <math>f_s</math></i>	<i>Optimum Sampling Frequency</i>	
$m = 1$	→	$\frac{2 \cdot 20 + 5}{1 + 1} = 22.5 \leq f_s \leq \frac{2 \cdot 20 - 5}{1} = 35$	→	$f_s = 22.5 \text{ MHz} \quad (10 \leq f_s)$
$m = 2$	→	$\frac{2 \cdot 20 + 5}{2 + 1} = 15 \leq f_s \leq \frac{2 \cdot 20 - 5}{2} = 17.5$	→	$f_s = 17.5 \text{ MHz} \quad (10 \leq f_s)$
$m = 3$	→	$\frac{2 \cdot 20 + 5}{3 + 1} = 11.25 \leq f_s \leq \frac{2 \cdot 20 - 5}{3} = 11.67$	→	$f_s = 11.25 \text{ MHz} \quad (10 \leq f_s)$
$m = 4$	→	$\frac{2 \cdot 20 + 5}{4 + 1} = 9 \geq \frac{2 \cdot 20 - 5}{4} = 8.75$	→	X
$m = 5$	→	$\frac{2 \cdot 20 + 5}{5 + 1} = 7.5 \geq \frac{2 \cdot 20 - 5}{5} = 7.0$	→	X



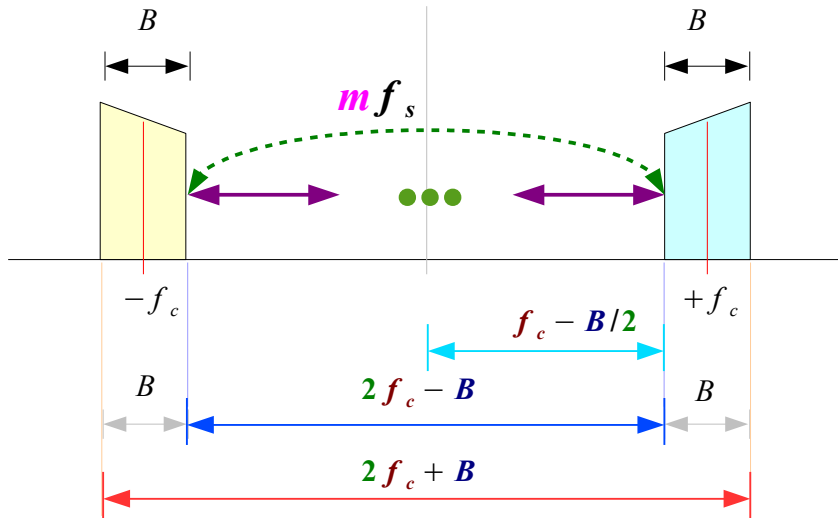
# Range of $f_s$ when $R=4.5$ , $B=5$ (2)

For a given $m$	$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$	Nyquist Criterion	$2B \leq f_s$
<div style="display: flex; justify-content: space-around; margin-top: 10px;"> <div style="text-align: center;"> </div> <div style="text-align: center;"> </div> </div>			
$f_c = 20 \text{ MHz}$ $B = 5 \text{ MHz}$	<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid yellow; padding: 5px; text-align: center; color: purple;"> <math>\frac{2f_H}{k}</math> </div> <div style="text-align: center;"> <math>\leq f_s \leq</math> </div> <div style="border: 1px solid orange; padding: 5px; text-align: center; color: purple;"> <math>\frac{2f_L}{m}</math> </div> </div>		
	$\min f_s$	$\max f_s$	
$k=2$ $m=1$	➔	$f_H \leq f_s \leq 2f_L$	➔
		$22.5 \leq f_s \leq 35$	
$k=3$ $m=2$	➔	$\frac{2}{3}f_H \leq f_s \leq f_L$	➔
		$15.0 \leq f_s \leq 17.5$	
$k=4$ $m=3$	➔	$\frac{1}{2}f_H \leq f_s \leq \frac{2}{3}f_L$	➔
		$11.2 \leq f_s \leq 11.67$	
$k=5$ $m=4$	➔	$\frac{2}{5}f_H \leq f_s \leq \frac{1}{2}f_L$	➔
		$9.0 \leq f_s \leq 8.75$	X
$k=6$ $m=5$	➔	$\frac{1}{3}f_H \leq f_s \leq \frac{2}{5}f_L$	➔
		$7.5 \leq f_s \leq 7.0$	X

$$f_H = f_c + B/2 = 22.5 \text{ MHz}$$

$$f_L = f_c - B/2 = 17.5 \text{ MHz}$$

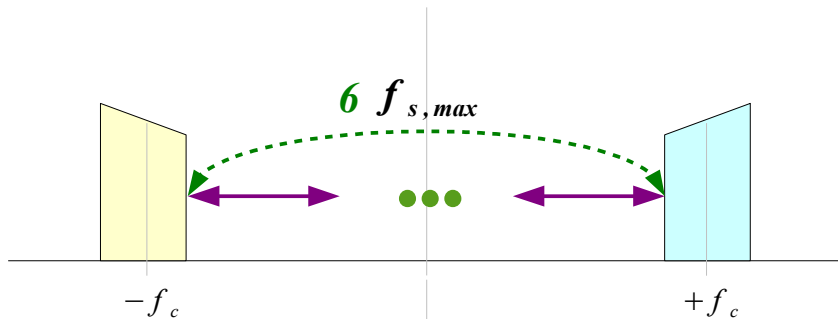
# Range of $f_s$ when $R=4.5$ , $B=5$ (3)



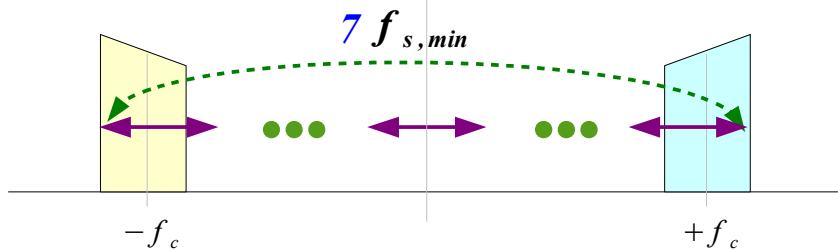
$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

When  $m = 6$

$$\min f_s = \frac{2f_c + B}{7} \leq f_s \leq \frac{2f_c - B}{6} = \max f_s$$

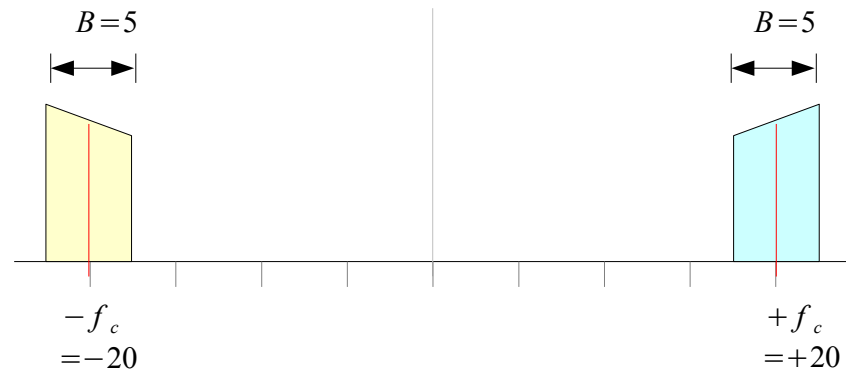


$$\max f_s = \frac{2f_c - B}{6}$$



$$\min f_s = \frac{2f_c + B}{7}$$

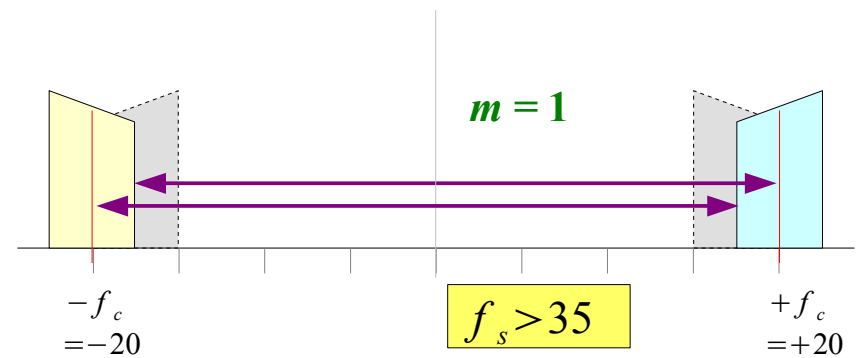
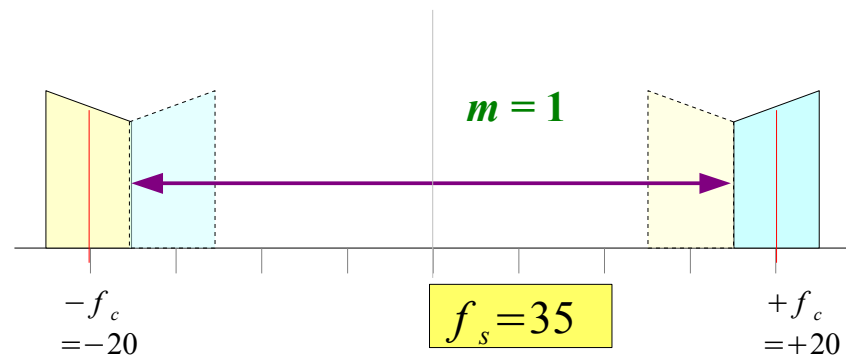
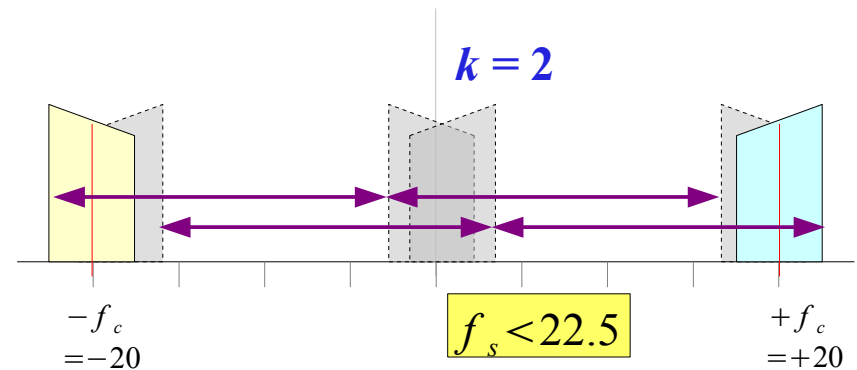
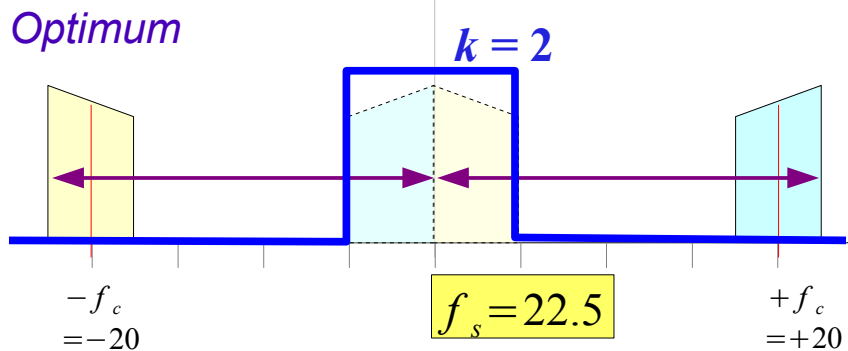
# Range of $f_s$ when $R=4.5$ , $B=5$ (4)



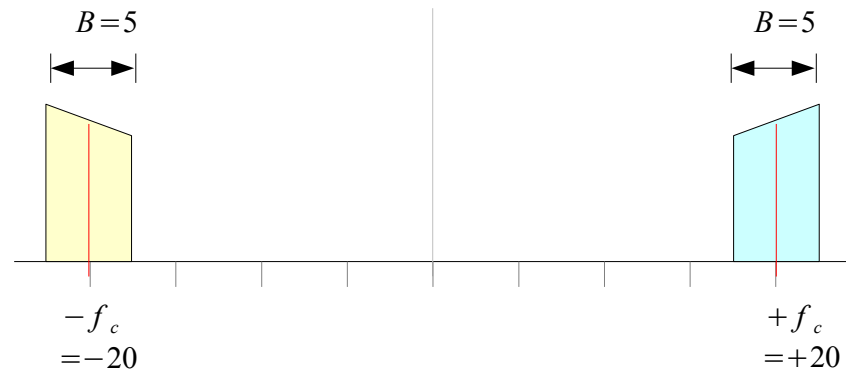
$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

$$\min f_s \quad k=2 \quad m=1 \quad \max f_s$$

$$22.5 = f_H \leq f_s \leq 2f_L = 35$$



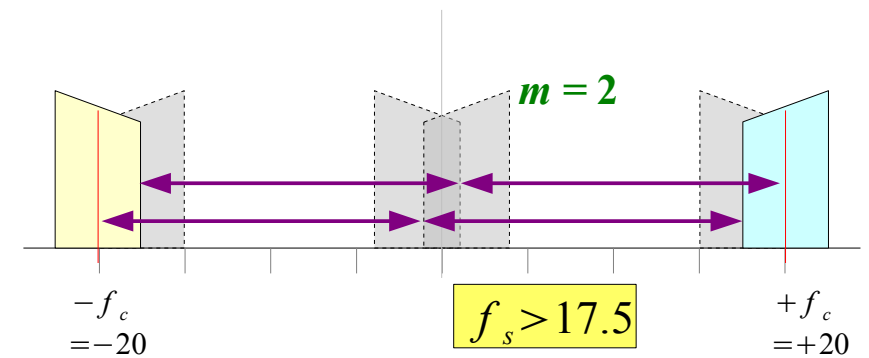
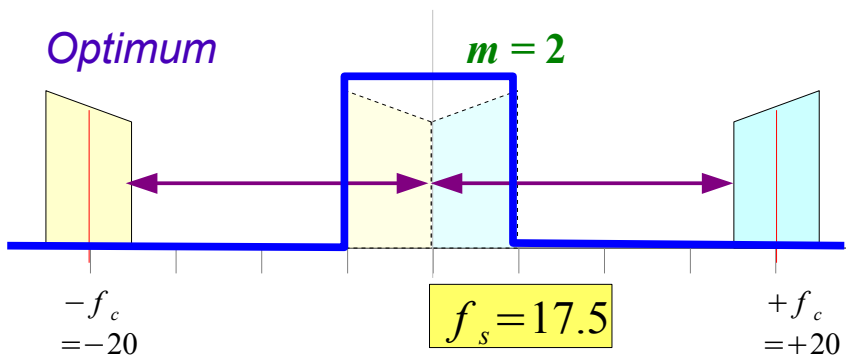
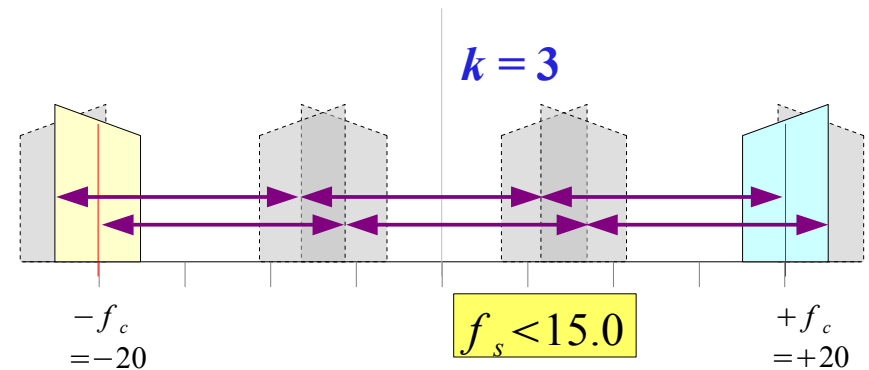
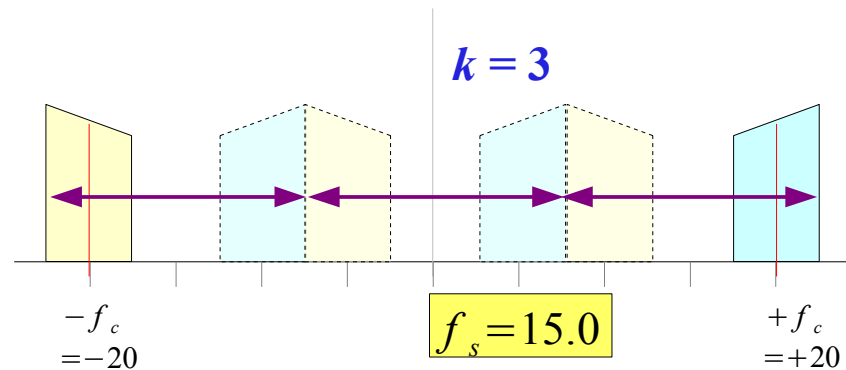
# Range of $f_s$ when $R=4.5$ , $B=5$ (5)



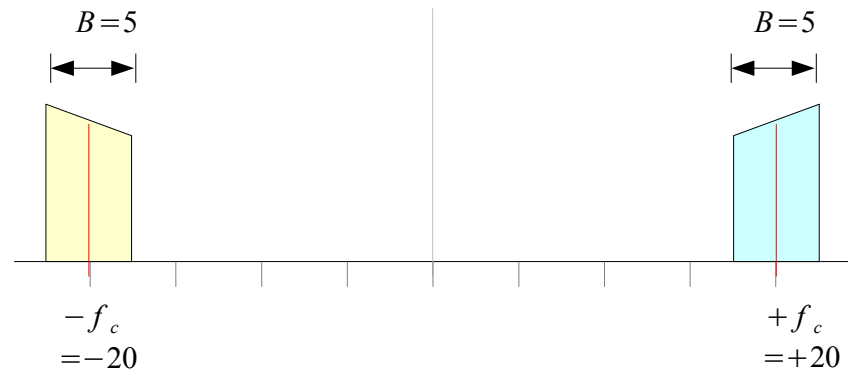
$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

$$\min f_s \quad k=3 \quad m=2 \quad \max f_s$$

$$15.0 = \frac{2}{3}f_H \leq f_s \leq f_L = 17.5$$



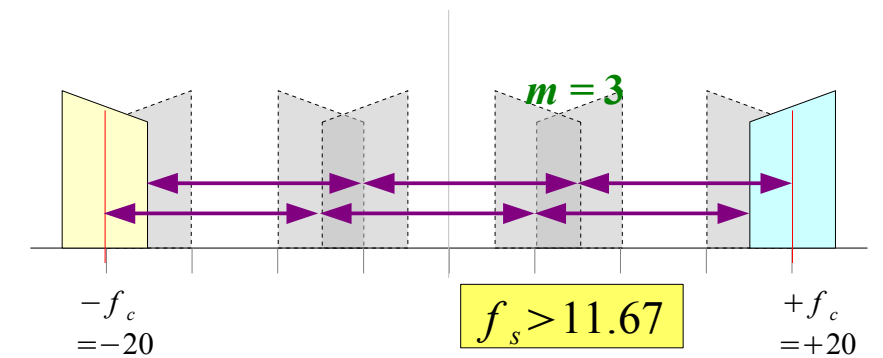
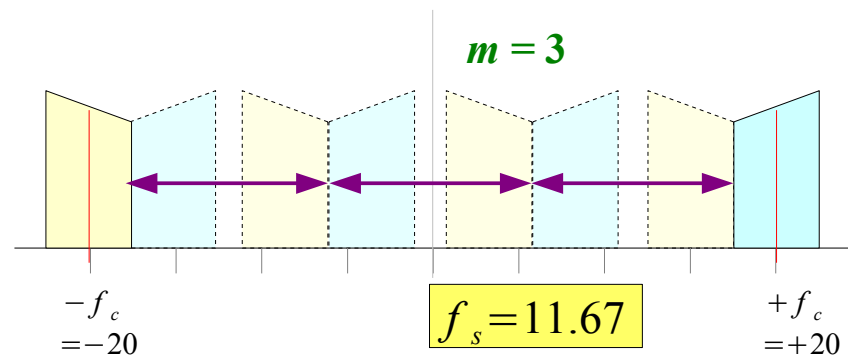
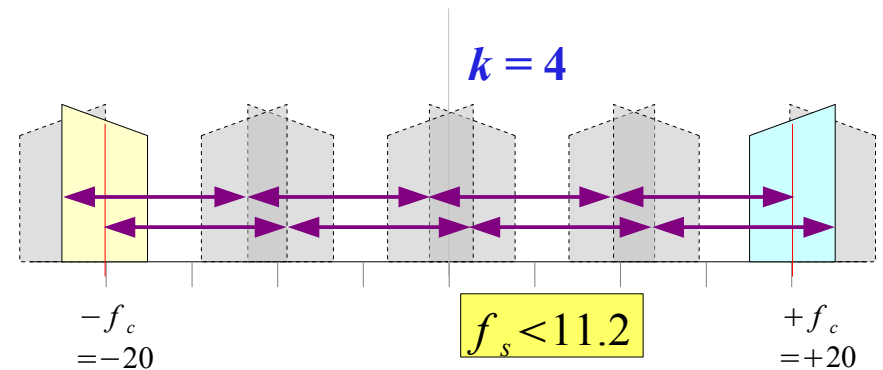
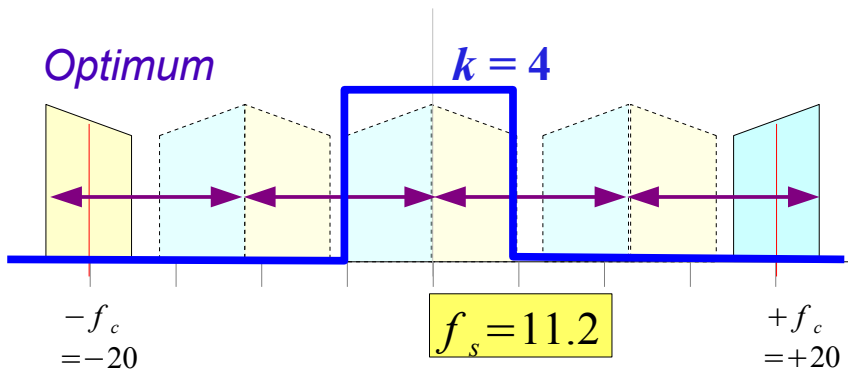
# Range of $f_s$ when $R=4.5$ , $B=5$ (6)



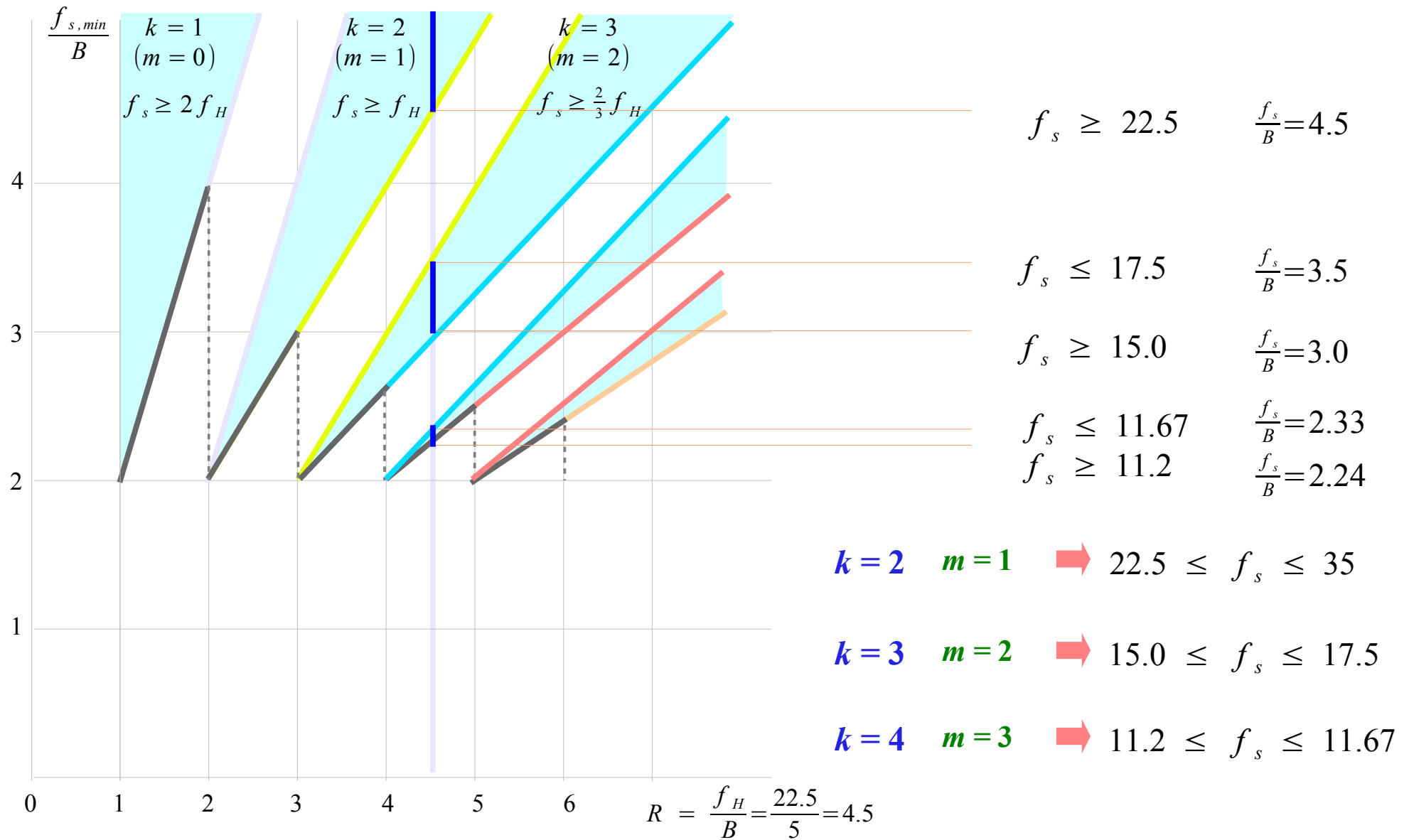
$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$

$$\min f_s \quad k=4 \quad m=3 \quad \max f_s$$

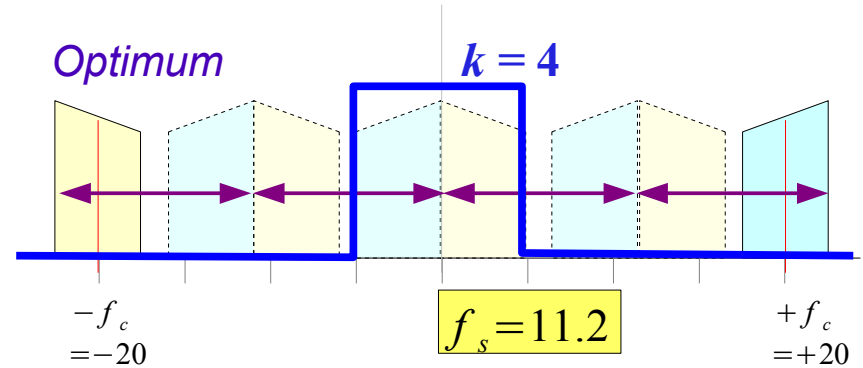
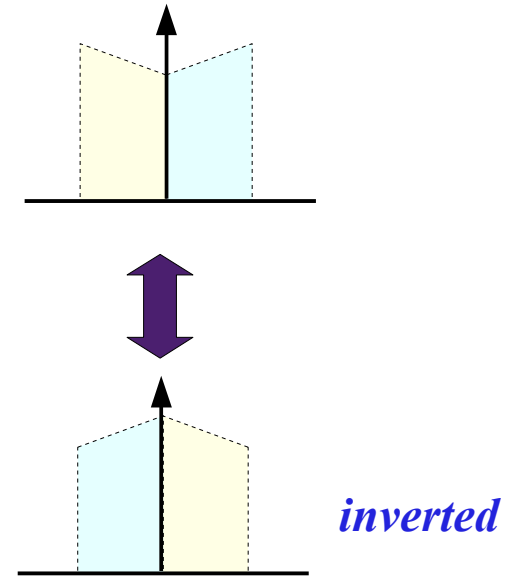
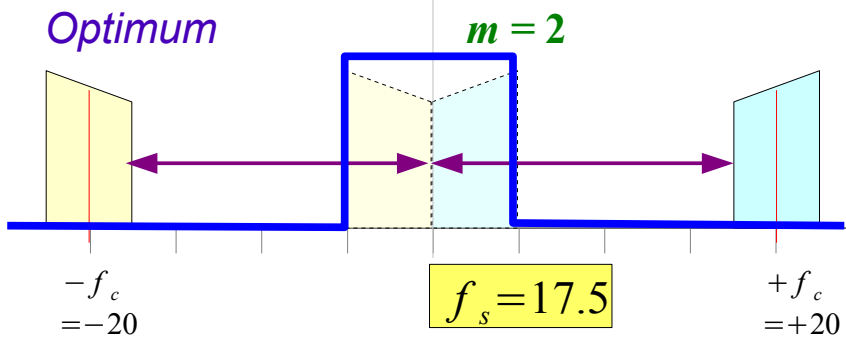
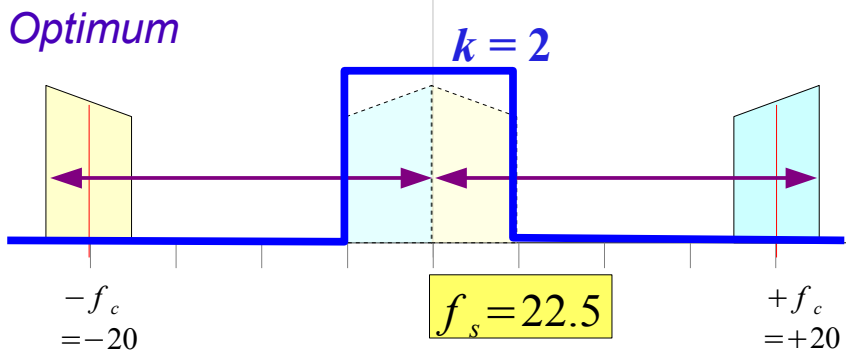
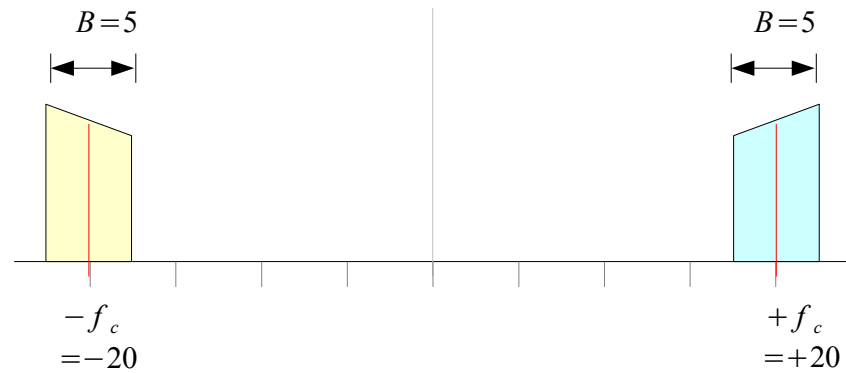
$$11.2 = \frac{1}{2} f_H \leq f_s \leq \frac{2}{3} f_L = 11.67$$



# Range of $f_s$ when $R=4.5$ , $B=5$ (7)



# Spectral Inversion



## References

- [1] <http://en.wikipedia.org/>
- [2] J.H. McClellan, et al., Signal Processing First, Pearson Prentice Hall, 2003
- [3] A "graphical interpretation" of the DFT and FFT, by Steve Mann
- [4] R. G. Lyons, Understanding Digital Signal Processing, 1997