

Baseband (3A)

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Pulse & Waveform

Bit Time Slot

Codeword Time Slot

Bits / PCM Word

L : number of quantization levels $L = 2^l$

Bits / Symbol

M: size of a set of message symbols $M = 2^k$

M-ary Pulse Modulation Waveforms

PAM (Pulse Amplitude Modulation)

PPM (Pulse Position Modulation)

PDM (Pulse Duration Modulation)

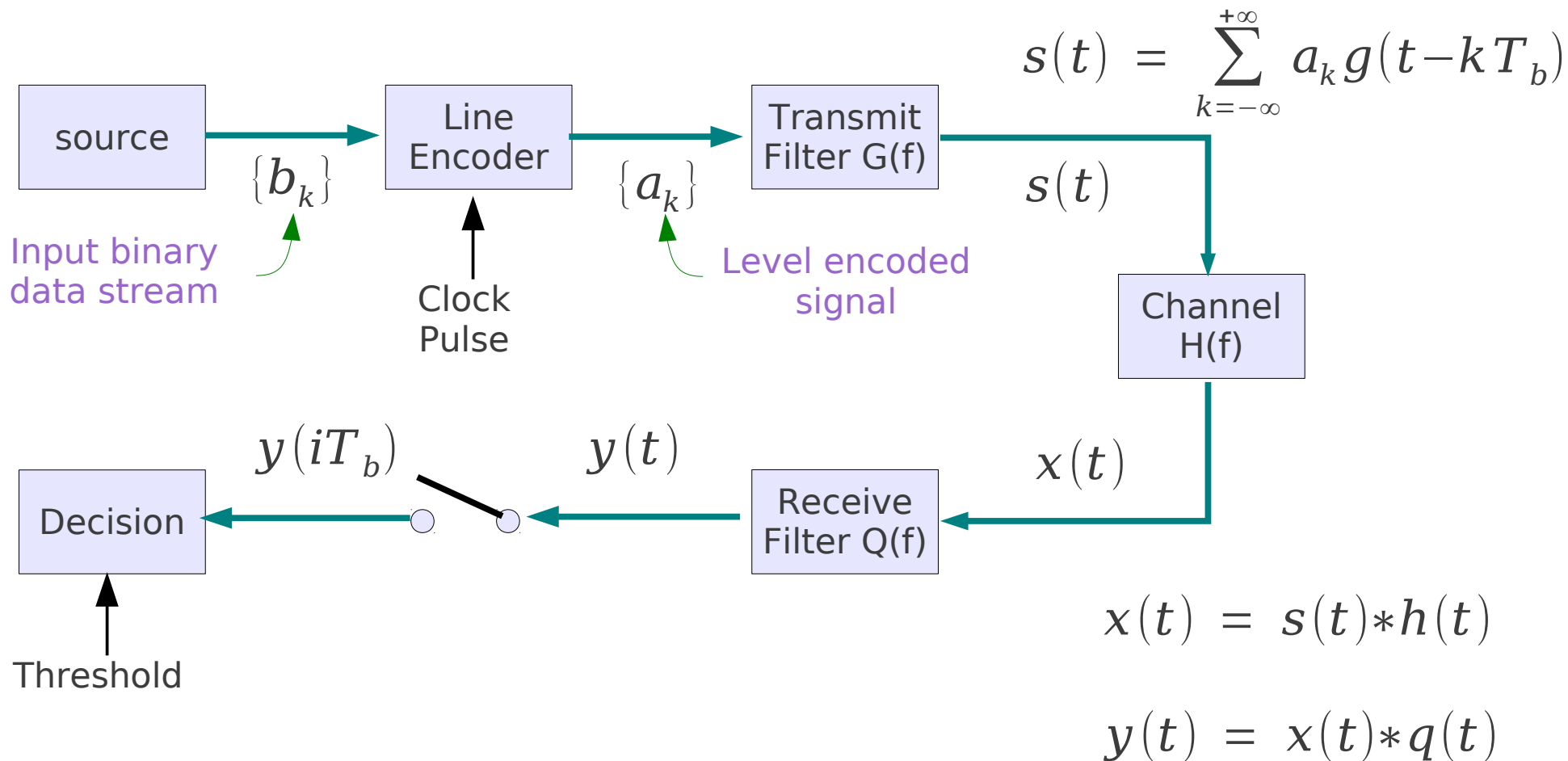
PWM (Pulse Width Modulation)

M-ary Pulse Modulation M-ary alphabet set

M-ary PAM : M allowable amplitude levels are assigned to each of the M possible symbol values.

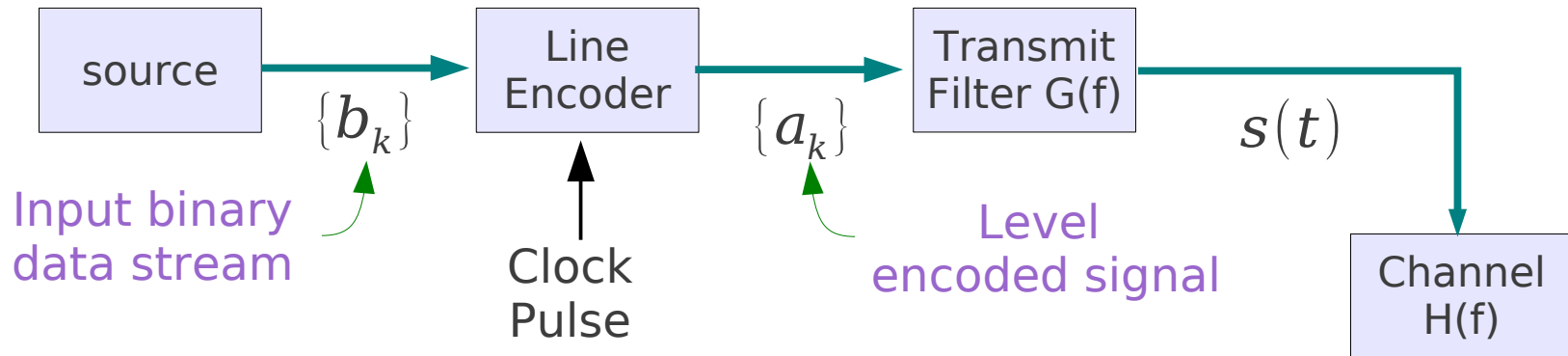
PAM

The amplitude of transmitted pulses is varied in a discrete manner in accordance with an input stream of digital data



M-ary PAM

The amplitude of transmitted pulses is varied in a discrete manner in accordance with an input stream of digital data



M-ary PAM Bit Rate

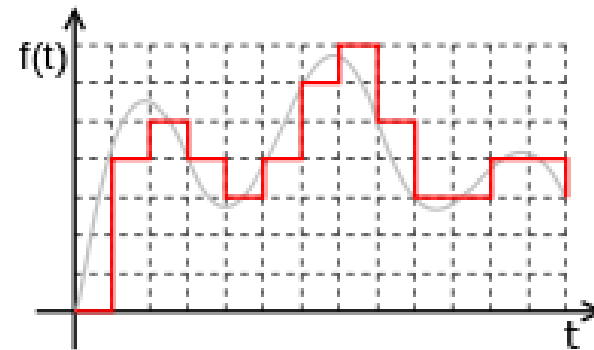
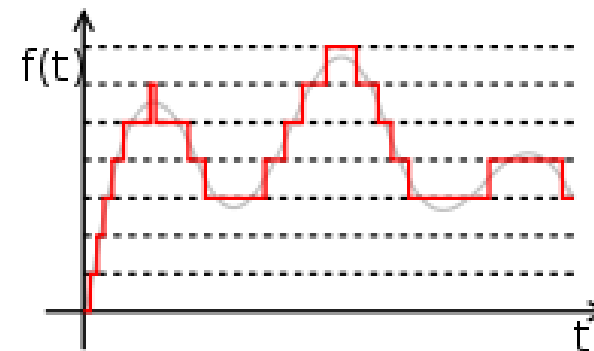
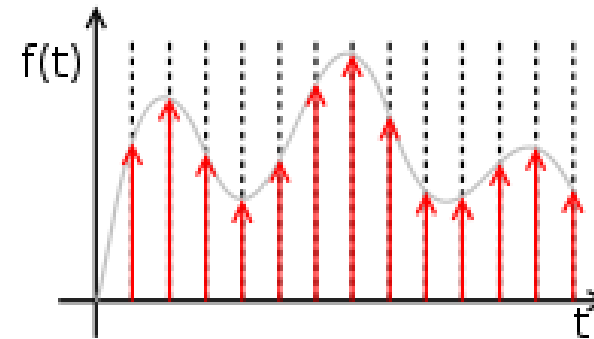
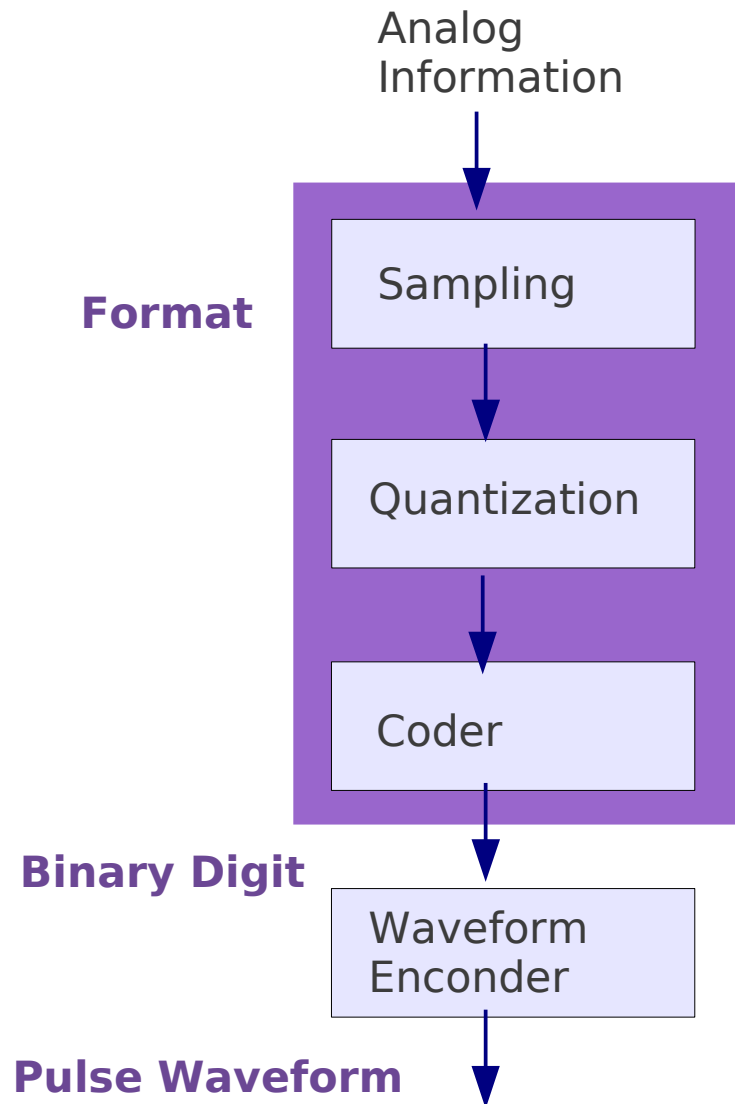
$$T = T_b \log_2 M$$

M possible amplitude level ($M > 2$)
M symbols
Transmits sequence of symbols

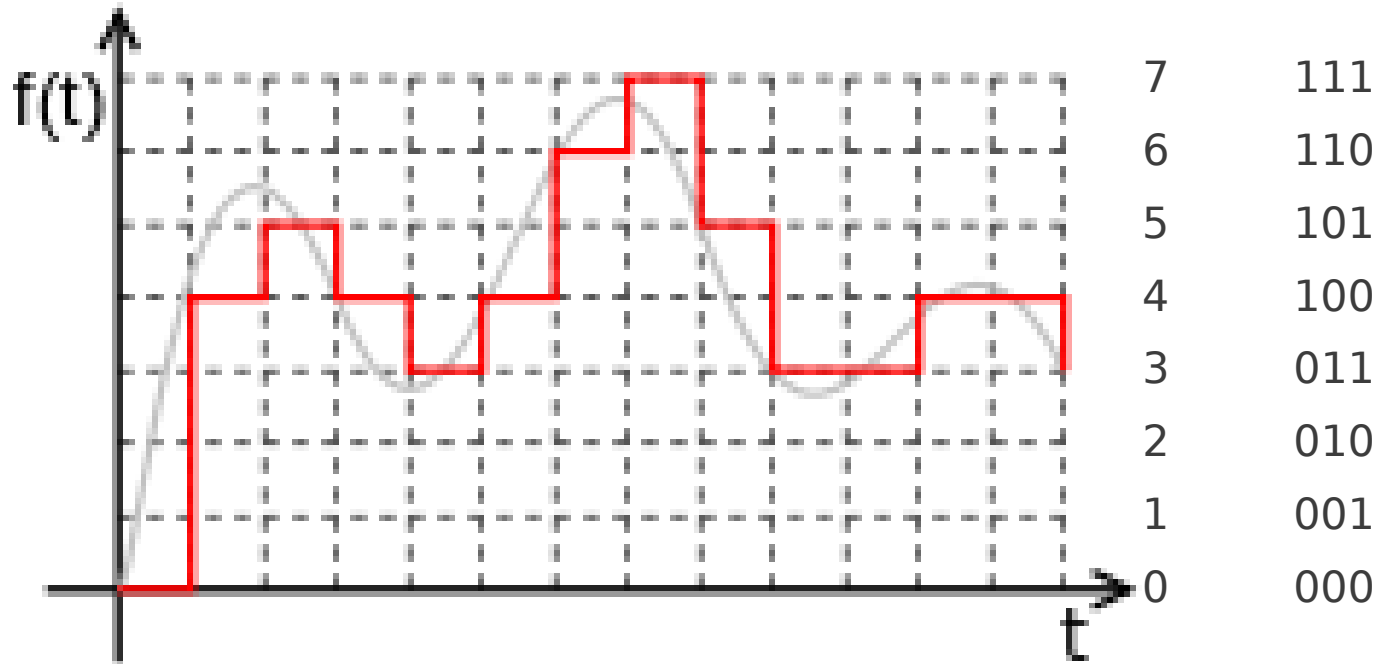
T: Symbol duration
 $1/T$: Symbol rate

Binary PAM
 T_b : Bit duration
 $1/T_b$: Bit rate

Sampling and Quantization



PAM (Pulse Amplitude Modulation)



4-ary PAM

2-bit modulator

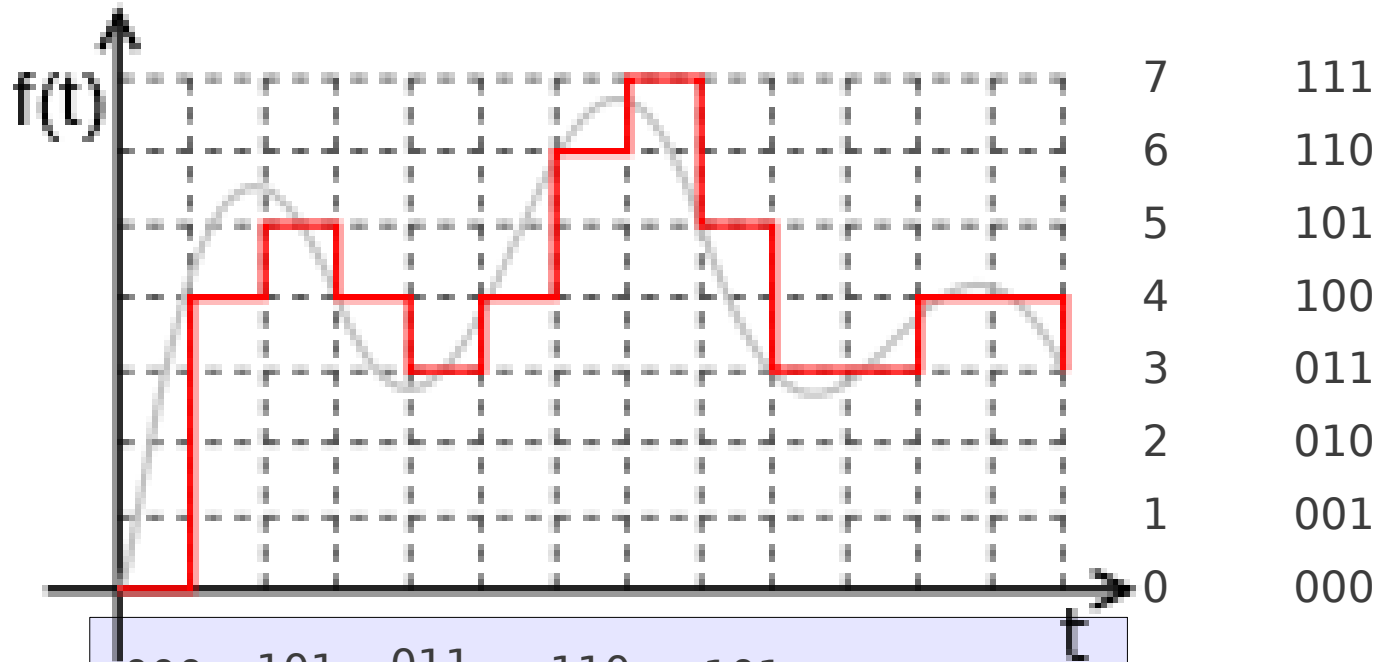
4 levels : -3, -1, +1, +3 volts

8-ary PAM

3-bit modulator

8 levels : -7,-5,-3,-1,+1,+3,+5,+7

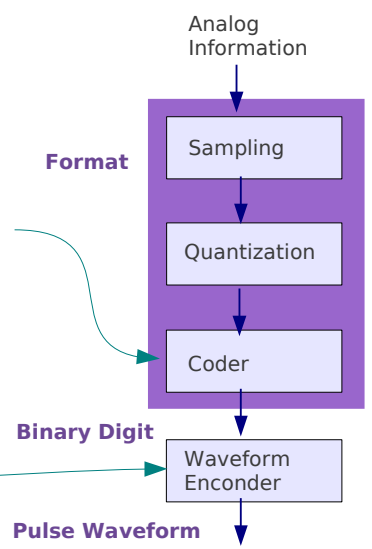
PCM (Pulse Coded Modulation)



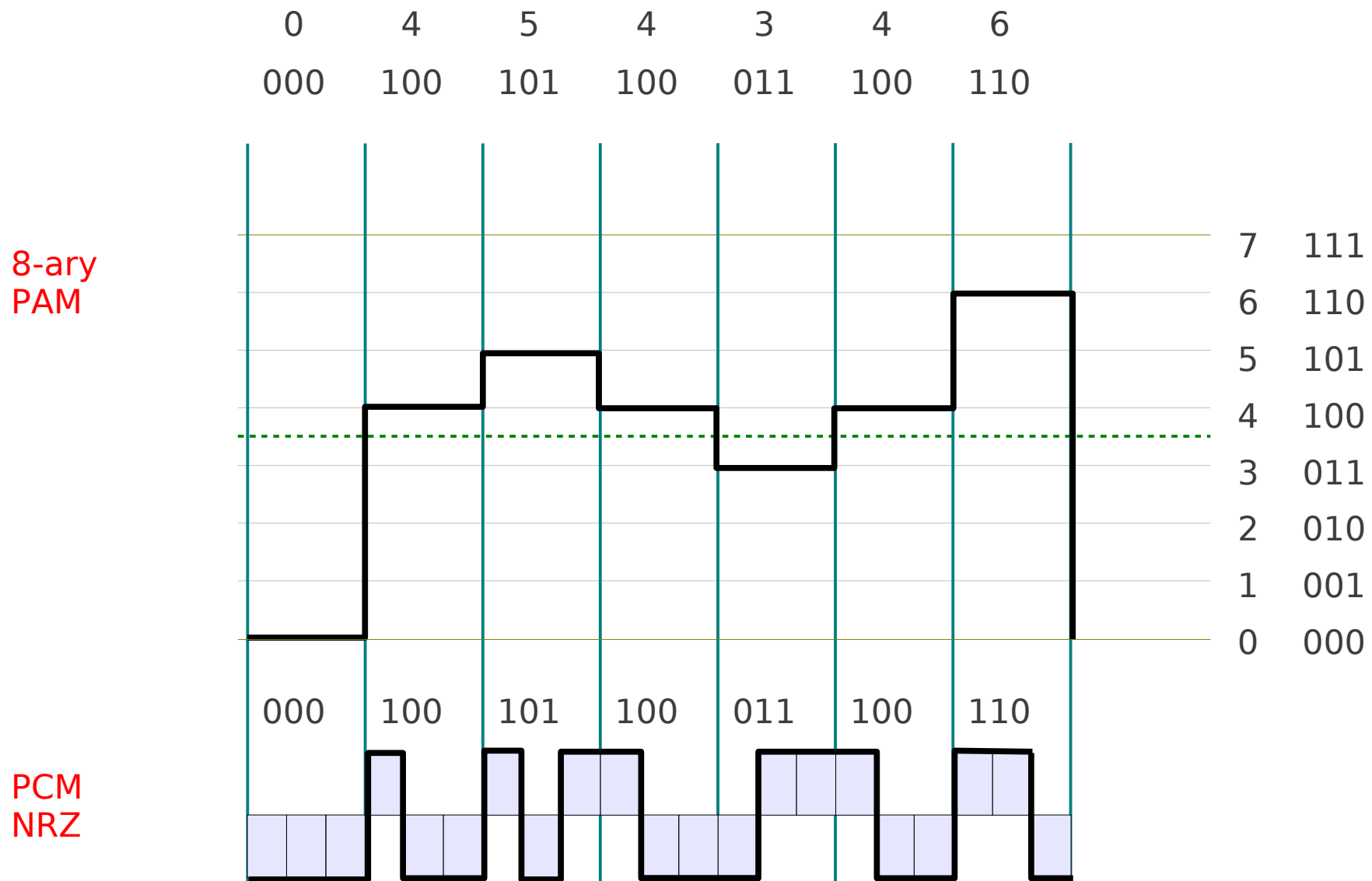
000 101 011 110 101 011 100
 100 100 100 111 011 100

CODED

Line Encoder : NRZ,



8-ary PAM vs PCM



Line Encode

Digital BaseBand Modulation

NRZ-L

NRZ-M

NRZ-S

Unipolar RZ

Bipolar RZ

RZ-AMI

Bi-Phi-L

Bi-Phi-M

Bi-Phi-S

Delay Modulation

Dicode NRZ

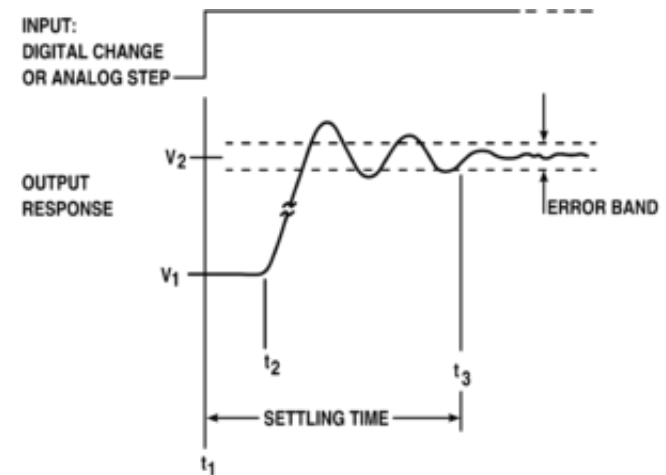
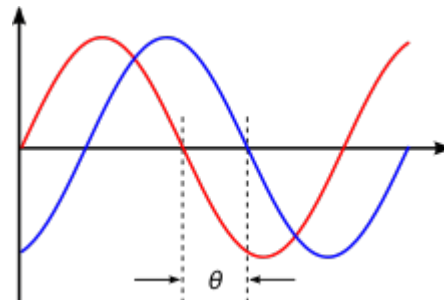
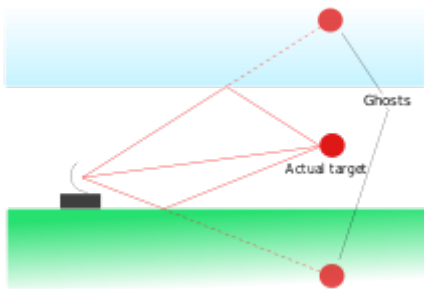
Dicod RZ

- DC component
- Self-Clocking
- Error Detection
- Bandwidth Compression
- Differential Encoding
- Noise Immunity

Inter-Symbol Interference

distortion of a signal
in which one symbol interferes with subsequent symbols.
multipath propagation
inherent non-linear filter → long tail, smear, blur ...

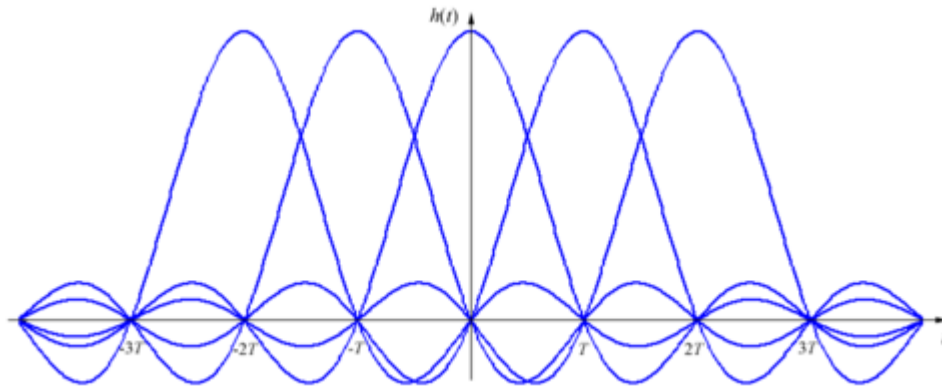
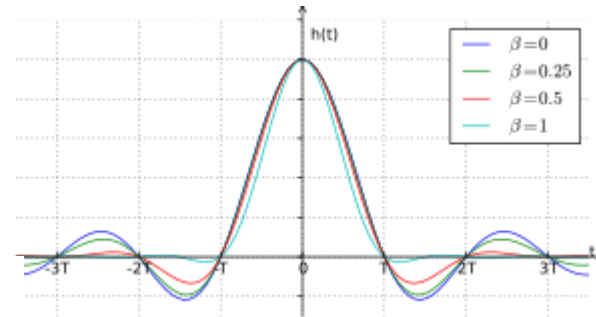
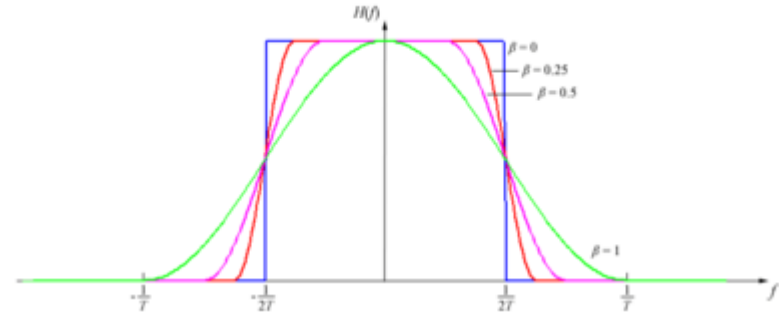
- adaptive equalization
- error correcting codes



Pulse Shaping

Changing the waveform of transmitted p
Bandwidth constraints
Control ISI (inter-Symbol Interference)

- Sinc Filter
- Raised Cosine Filter
- Gaussian Filter



Signal Space

N-dim orthogonal space

Characterized by a set of N linearly independent functions

Basis functions $\Psi_j(t)$

Independent \rightarrow not interfering in detection

$$\int_0^T \Psi_j(t) \Psi_k(t) dt = K_j \delta_{jk} \quad 0 \leq t \leq T \quad j, k = 1, \dots, N$$

Kronecker delta
functions

$$\delta_{jk} = \begin{cases} 1 & \text{for } j = k \\ 0 & \text{otherwise} \end{cases}$$

N-dim orthonormal space

$$K_j = 1$$

$$E_j = \int_0^T \Psi_j^2(t) dt = K_j$$

Linear Combination

Any finite set of waveform $\{s_i(t)\} \quad i = 1, \dots, M$

Characterized by a set of N linearly independent functions

$$\begin{aligned} s_1(t) &= a_{11} \Psi_1(t) + a_{12} \Psi_2(t) + \dots + a_{1N} \Psi_N(t) \\ s_2(t) &= a_{21} \Psi_1(t) + a_{22} \Psi_2(t) + \dots + a_{2N} \Psi_N(t) \\ &\vdots \\ s_M(t) &= a_{M1} \Psi_1(t) + a_{M2} \Psi_2(t) + \dots + a_{MN} \Psi_N(t) \end{aligned}$$

$$s_i(t) = \sum_{j=1}^N a_{ij} \Psi_j(t) \quad i = 1, \dots, M$$
$$N \leq M$$

Linear Combination

Any finite set of waveform $\{s_i(t)\}$ $i = 1, \dots, M$

Characterized by a set of N linearly independent functions

$$s_i(t) = \sum_{j=1}^N a_{ij} \Psi_j(t) \quad i = 1, \dots, M$$
$$N \leq M$$

$$a_{ij} = \frac{1}{K_j} \int_0^T s_i(t) \Psi_j(t) dt \quad i = 1, \dots, M \quad 0 \leq t \leq T$$
$$j = 1, \dots, N$$

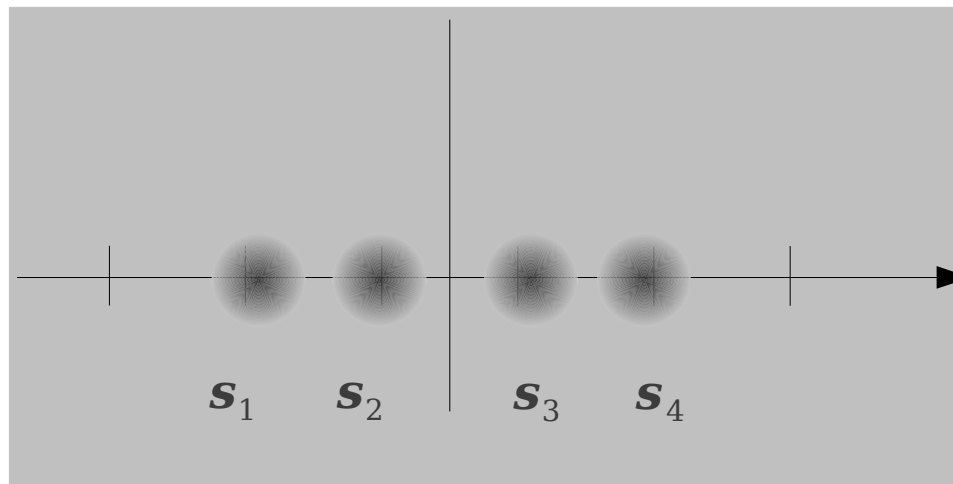
$$\{s_i(t)\} \longleftrightarrow \{\mathbf{s}_i\} = \{a_{i1}, a_{i2}, \dots, a_{iN}\} \quad i = 1, \dots, M$$

Signals and Noise

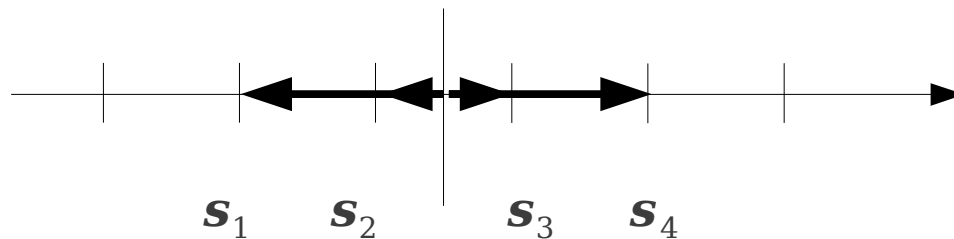
Any finite set of waveform $\{s_i(t)\} \quad i = 1, \dots, M$

Characterized by a set of N linearly independent functions

$$\{s_i(t)\} \longleftrightarrow \{\mathbf{s}_i\} = \{a_{i1}, a_{i2}, \dots, a_{iN}\} \quad i = 1, \dots, M$$



4-ary PAM



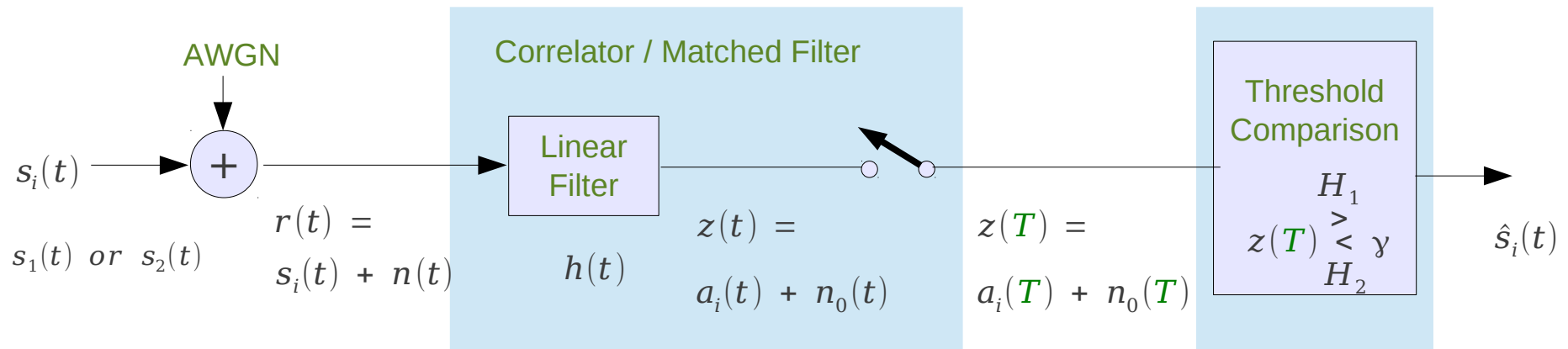
Detection of Binary Signals

Transmitted Signal

$$s_i(t) = \begin{cases} s_1(t) & 0 \leq t \leq T \quad \text{for a binary 1} \\ s_2(t) & 0 \leq t \leq T \quad \text{for a binary 0} \end{cases}$$

Received Signal

$$r(t) = s_i(t) + n(t) \quad i = 1, 2; \quad 0 \leq t \leq T$$



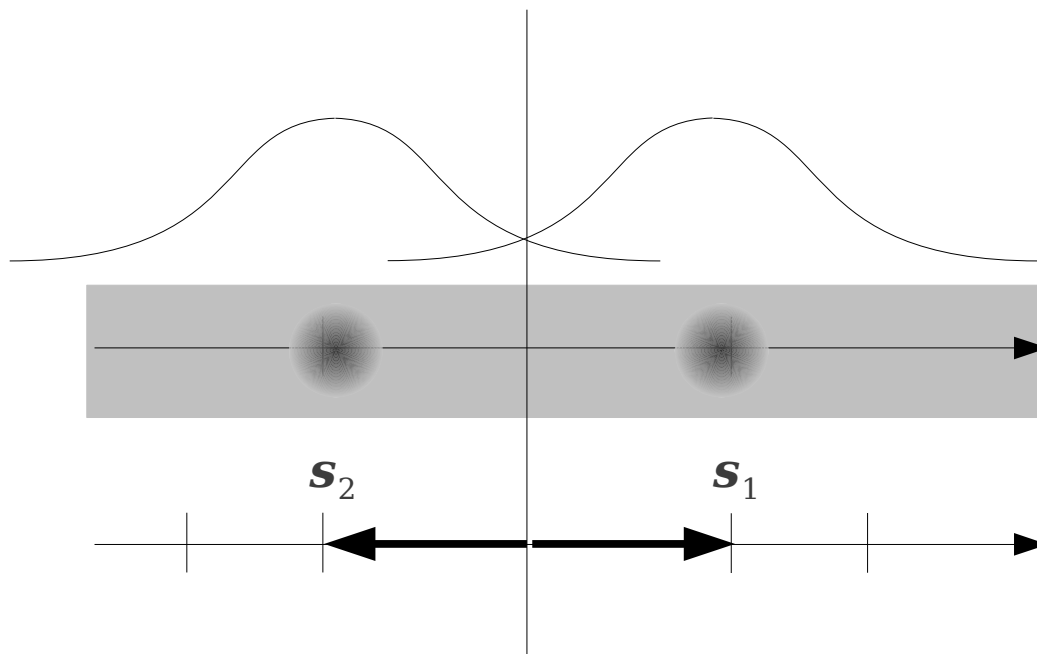
Detection of Binary Signals

$$z(T) = a_i(T) + n_0(T) \quad \Rightarrow \quad z = a_i + n_0$$

$$p(n_0) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp\left[-\frac{1}{2} \left(\frac{n_0}{\sigma_0}\right)^2\right]$$

$$p(z|s_1) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp\left[-\frac{1}{2} \left(\frac{z-a_1}{\sigma_0}\right)^2\right]$$

$$p(z|s_2) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp\left[-\frac{1}{2} \left(\frac{z-a_2}{\sigma_0}\right)^2\right]$$



$$\begin{matrix} H_1 \\ z(T) > \gamma \\ H_2 \end{matrix}$$

$$\begin{matrix} H_1 \\ \frac{p(z|s_1)}{p(z|s_2)} > \frac{P(s_2)}{P(s_1)} \\ H_2 \end{matrix}$$

$$\begin{matrix} H_1 \\ \frac{p(z|s_1)}{p(z|s_2)} > \frac{a_1+a_2}{2} = \gamma_0 \\ H_2 \end{matrix}$$

Error Probability

error e

$$p(e|s_1) = p(H_2|s_1) = \int_{-\infty}^{y_0} p(z|s_1) dz$$

$$p(e|s_2) = p(H_1|s_2) = \int_{-\infty}^{y_0} p(z|s_2) dz$$

probability of bit error P_B

$$\begin{aligned} P_B &= P(e|s_1)P(s_1) + P(e|s_2)P(s_2) \\ &= P(H_2|s_1)P(s_1) + P(H_1|s_2)P(s_2) \end{aligned}$$

equal a priori probabilities

$$\begin{aligned} P_B &= \frac{1}{2}P(H_2|s_1) + \frac{1}{2}P(H_1|s_2) \\ &= P(H_2|s_1) = P(H_1|s_2) \end{aligned}$$

$$\begin{aligned} P_B &= \int_{y_0 = (a_1+a_2)/2}^{+\infty} p(z|s_2) dz \\ &= \int_{y_0 = (a_1+a_2)/2}^{+\infty} \frac{1}{\sigma_0 \sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{z-a_2}{\sigma_0}\right)^2\right] dz \end{aligned}$$

$$u = (z - a_2)/\sigma_0 \quad \sigma_0 du = dz$$

$$= \int_{y_0 = (a_1-a_2)/2\sigma_0}^{+\infty} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{u^2}{2}\right) du$$

$$= Q\left(\frac{a_1 - a_2}{2\sigma_0}\right)$$

complementary error function
(co-error function)

$$Q(x) = \int_x^{+\infty} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{u^2}{2}\right) du$$

Gaussian Random Process

$n(t)$

Thermal Noise zero-mean white Gaussian random process

$n(t)$ random function
the value at time t is characterized by
Gaussian probability density function

➡ $z(t) = a + n(t)$

$$p(n) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{n}{\sigma}\right)^2\right]$$

➡ $p(z) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{z-a}{\sigma}\right)^2\right]$

σ^2 variance of n

$\sigma = 1$ normalized (standardized)
Gaussian function

Central Limit Theorem

sum of statistically independent random variables
approaches Gaussian distribution
regardless of individual distribution functions

White Gaussian Noise

Thermal Noise

power spectral density is the same for all frequencies

$$G_n(f) = \frac{N_0}{2} \text{ watts / hertz} \quad \text{equal amount of noise power per unit bandwidth}$$

uniform spectral density \rightarrow White Noise

average power

$$P_n = \int_{-\infty}^{+\infty} \frac{N_0}{2} df = \infty \quad P_x^T = \frac{1}{T} \int_{-T/2}^{+T/2} x^2(t) dt = \int_{-\infty}^{+\infty} G_x(f) df$$

$$R_n(t) = \frac{N_0}{2} \delta(t) \quad \leftrightarrow \quad G_n(f) = \frac{N_0}{2}$$

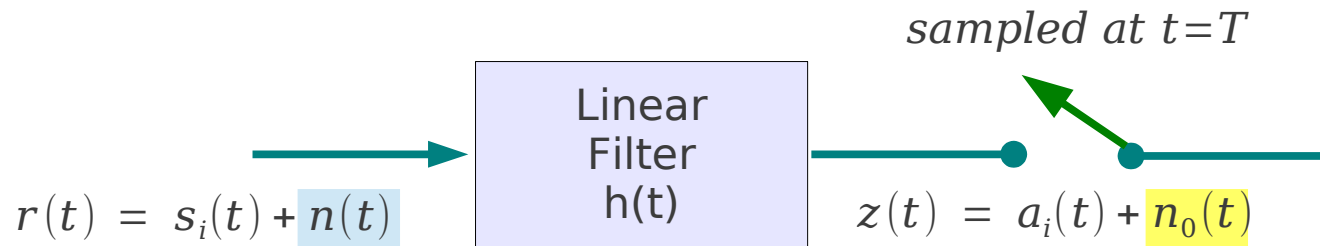
$\delta(t)$ totally uncorrelated, noise samples are independent memoryless channel

additive and no multiplicative mechanism

Additive White Gaussian Noise (AWGN)

Matched Filter (1)

to find a filter $h(t)$ that gives **max** signal-to-noise ratio

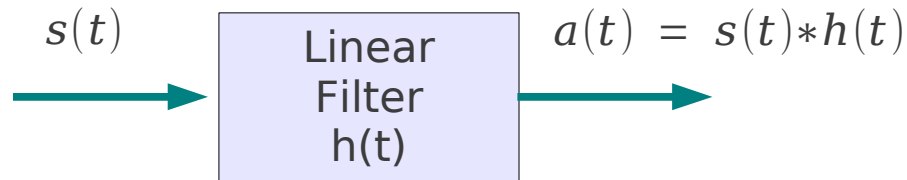


variance of $n_0(t)$ $\rightarrow \sigma_0^2$ avg noise power

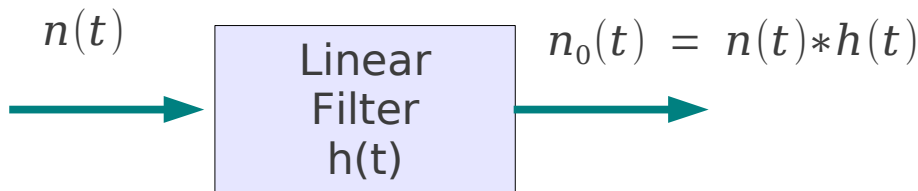
$$\frac{\text{instantaneous signal power}}{\text{average noise power}} \rightarrow \left(\frac{S}{N}\right)_T = \frac{a_i^2}{\sigma_0^2}$$

assume $H_0(f)$ a filter transfer function that maximizes $\left(\frac{S}{N}\right)_T$

Matched Filter (2)



$$S(f) \quad A(f) = S(f)H(f) \quad \longleftrightarrow \quad a(t) = \int_{-\infty}^{+\infty} S(f)H(f)e^{j2\pi ft} df$$



$$G_n(f) = \frac{N_0}{2} \quad G_{n_0}(f) = G_n(f) |H(f)|^2 = \begin{cases} \frac{N_0}{2} |H(f)|^2 & \text{for } |f| < f_u \\ 0 & \text{otherwise} \end{cases}$$

Average output noise power $\sigma_0 = \frac{N_0}{2} \int_{-\infty}^{+\infty} |H(f)|^2 df$

Matched Filter (3)

instantaneous signal power a_i^2 ← $a(t) = \int_{-\infty}^{+\infty} S(f)H(f)e^{j2\pi ft} df$

average output noise power $\sigma_0 = \frac{N_0}{2} \int_{-\infty}^{+\infty} |H(f)|^2 df$

$$\left(\frac{S}{N}\right)_T = \frac{a_i^2}{\sigma_0^2} = \frac{\left| \int_{-\infty}^{+\infty} H(f)S(f)e^{j2\pi fT} df \right|^2}{N_0/2 \int_{-\infty}^{+\infty} |H(f)|^2 df}$$

Does not depend on the particular shape of the waveform

Cauchy Schwarz's Inequality

$$\left| \int_{-\infty}^{+\infty} f_1(x)f_2(x) dx \right|^2 \leq \int_{-\infty}^{+\infty} |f_1(x)|^2 dx \int_{-\infty}^{+\infty} |f_2(x)|^2 dx \quad \text{'=' holds when } f_1(x) = kf_2^*(x)$$

$$\left| \int_{-\infty}^{+\infty} H(f)S(f)e^{j2\pi ft} dx \right|^2 df \leq \int_{-\infty}^{+\infty} |H(f)|^2 df \int_{-\infty}^{+\infty} |S(f)e^{j2\pi fT}|^2 df \quad |e^{j2\pi fT}| = 1$$

$$\left(\frac{S}{N}\right)_T = \frac{a_i^2}{\sigma_0^2} = \frac{\left| \int_{-\infty}^{+\infty} H(f)S(f)e^{j2\pi fT} df \right|^2}{N_0/2 \int_{-\infty}^{+\infty} |H(f)|^2 df} \leq \frac{\int_{-\infty}^{+\infty} |H(f)|^2 df \int_{-\infty}^{+\infty} |S(f)e^{j2\pi fT}|^2 df}{N_0/2 \int_{-\infty}^{+\infty} |H(f)|^2 df} = \frac{2}{N_0} \int_{-\infty}^{+\infty} |S(f)|^2 df$$

Matched Filter (4)

Two-sided power spectral density of input noise $\rightarrow \frac{N_0}{2}$

Average noise power $\sigma_0 = \frac{N_0}{2} \int_{-\infty}^{+\infty} |H(f)|^2 df$

$$\left(\frac{S}{N}\right)_T = \frac{a_i^2}{\sigma_0^2} = \frac{\left| \int_{-\infty}^{+\infty} H(f) S(f) e^{+j2\pi f T} df \right|^2}{N_0/2 \int_{-\infty}^{+\infty} |H(f)|^2 df}$$

Cauchy Schwarz's Inequality

$$\left(\frac{S}{N}\right)_T \leq \frac{2}{N_0} \int_{-\infty}^{+\infty} |S(f)|^2 df$$

$$\max \left(\frac{S}{N}\right)_T = \frac{2}{N_0} \int_{-\infty}^{+\infty} |S(f)|^2 df = \frac{2E}{N_0}$$

input signal energy

power spectral density of input noise

does not depend on the particular shape of the waveform

Matched Filter (5)

$$\left| \int_{-\infty}^{+\infty} H(f) S(f) e^{+j2\pi ft} dx \right|^2 df \leq \int_{-\infty}^{+\infty} |H(f)|^2 df \int_{-\infty}^{+\infty} |S(f) e^{+j2\pi f T}|^2 df \quad \left(\frac{S}{N} \right)_T \leq \frac{2}{N_0} \int_{-\infty}^{+\infty} |S(f)|^2 df$$

$$\max \left(\frac{S}{N} \right)_T = \frac{2}{N_0} \int_{-\infty}^{+\infty} |S(f)|^2 df = \frac{2E}{N_0}$$

when complex conjugate relationship exists

$$H(f) = H_0(f) = k S^*(f) e^{-j2\pi f T}$$

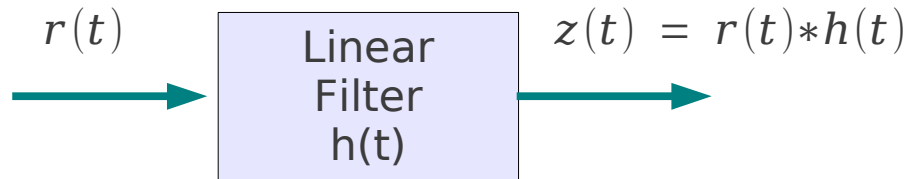


$$h(t) = h_0(t) = \begin{cases} k s(T-t) & 0 \leq t \leq T \\ 0 & \text{elsewhere} \end{cases}$$

$H_0(f)$ a filter transfer function that maximizes $\left(\frac{S}{N} \right)_T$

impulse response : delayed version of the mirror image of the signal waveform

Correlation Realization



$$\begin{aligned}
 z(t) = r(t) * h(t) &= \int_0^t r(\tau) h(t-\tau) d\tau \\
 &= \int_0^t r(\tau) s(T-(t-\tau)) d\tau \\
 &= \int_0^t r(\tau) s(T-t+\tau) d\tau \\
 z(T) &= \int_0^T r(\tau) s(\tau) d\tau
 \end{aligned}$$

Power spectral density
of input noise

convolution $z(t) = \int_0^t r(\tau) s(T-t+\tau) d\tau$

a sine-wave amplitude modulated
by a linear ramp

correlation $z(T) = \int_0^T r(\tau) s(\tau) d\tau$

a linear ramp output

Time Averaging and Ergodicity

Autocorrelation of Random and Power Signals

Time Averaging and Ergodicity

References

- [1] <http://en.wikipedia.org/>
- [2] <http://planetmath.org/>
- [3] B. Sklar, "Digital Communications: Fundamentals and Applications"