

# Bandpass Sampling (2B)

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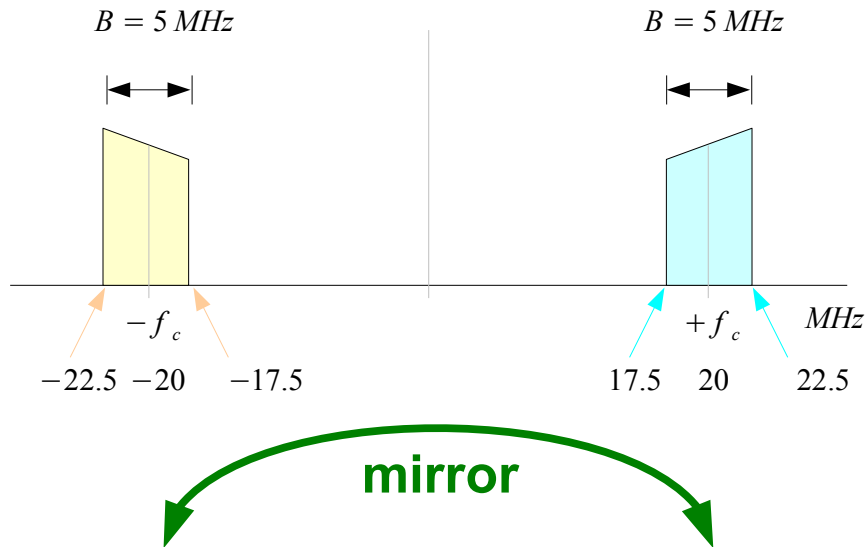
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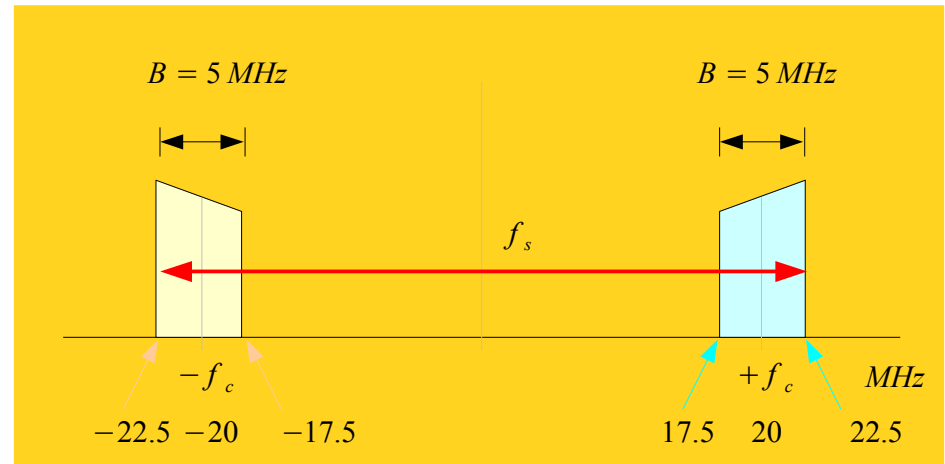
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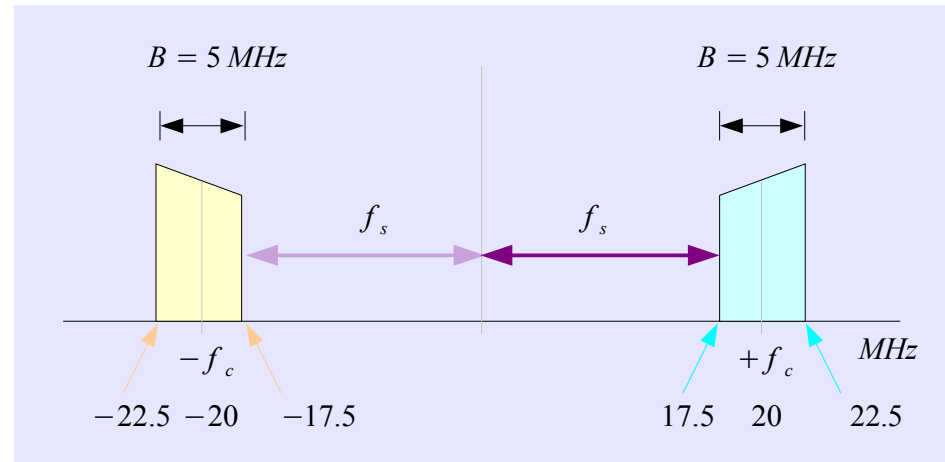
# Band-limited Signal



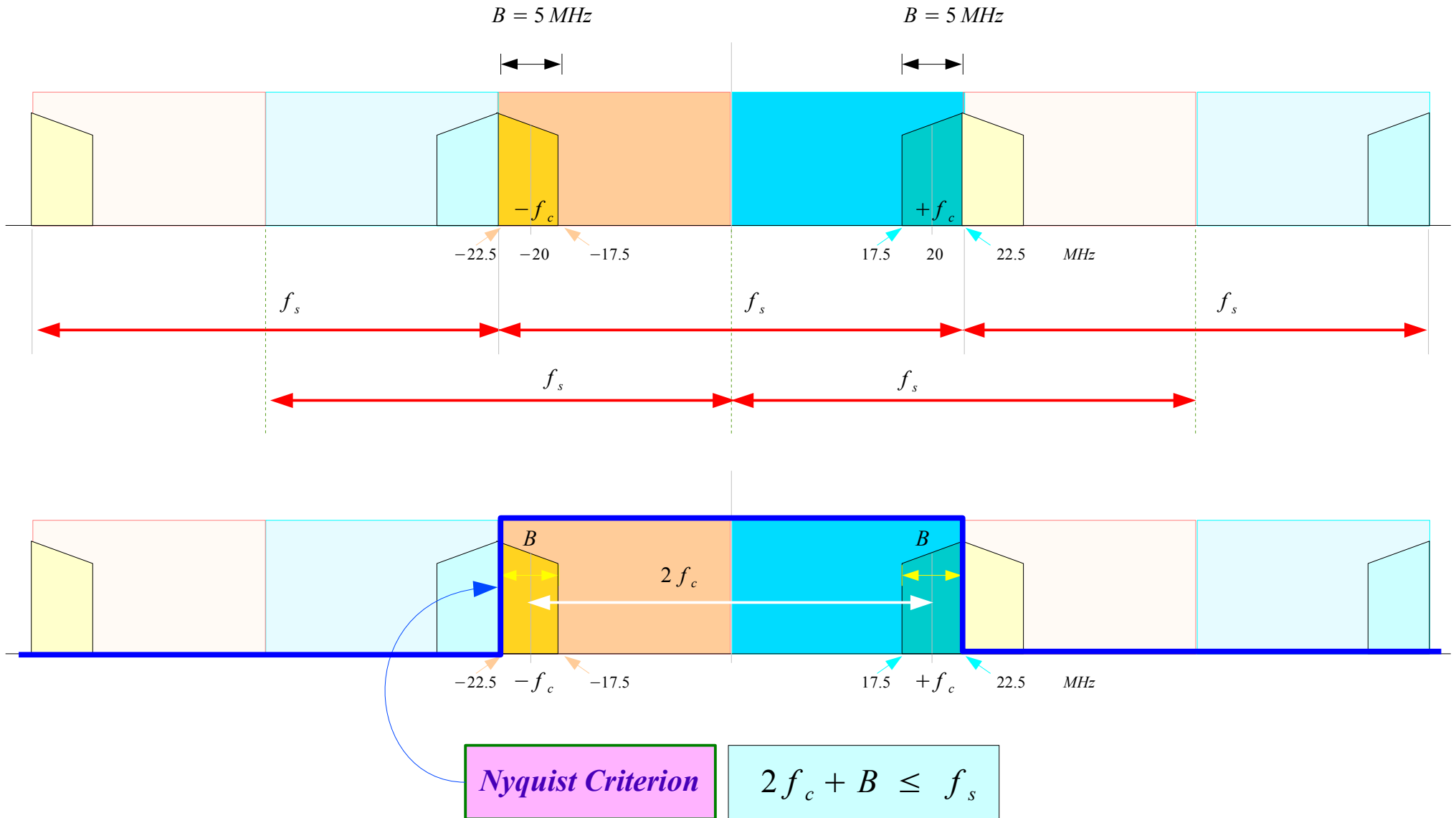
- Bandpass Sampling
- IF filtering
- Harmonic Sampling
- Sub-Nyquist Sampling



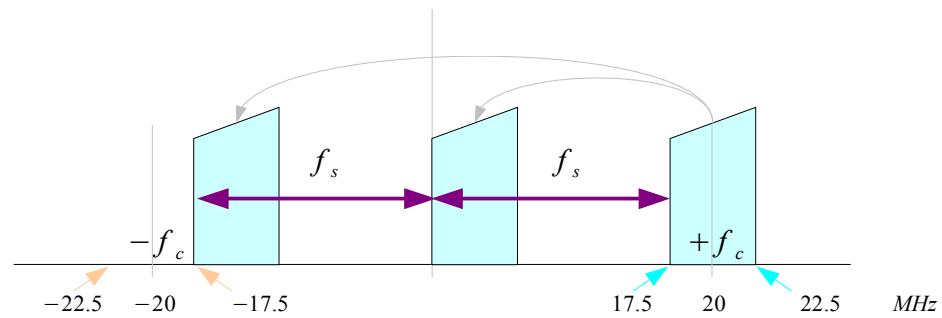
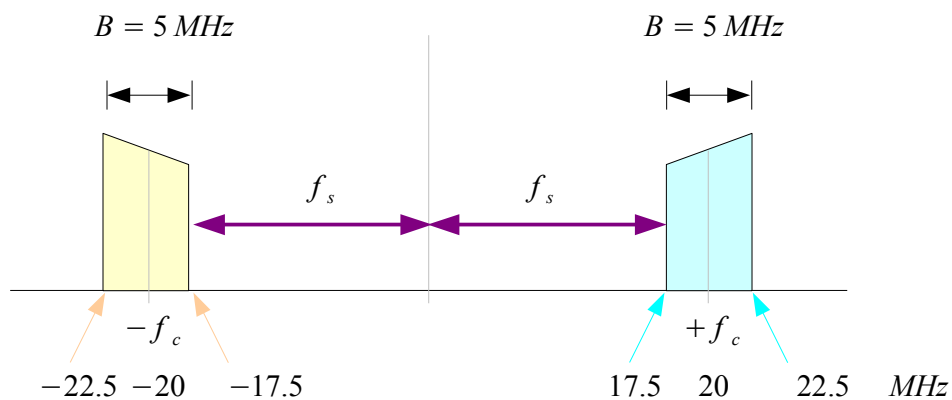
- Lowpass Sampling



# Low-pass Signal Sampling



# Band-pass Signal Sampling

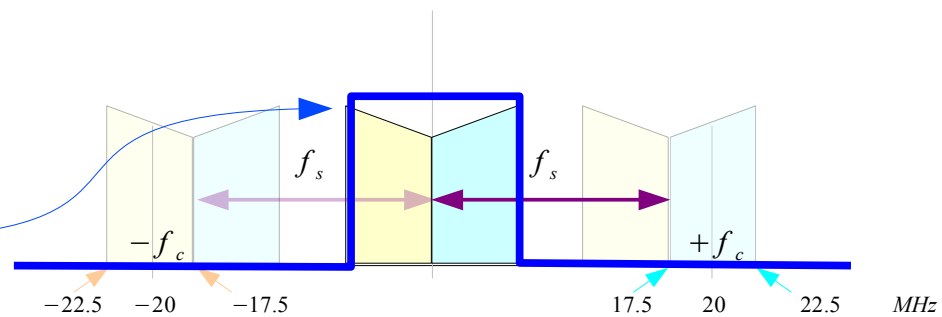
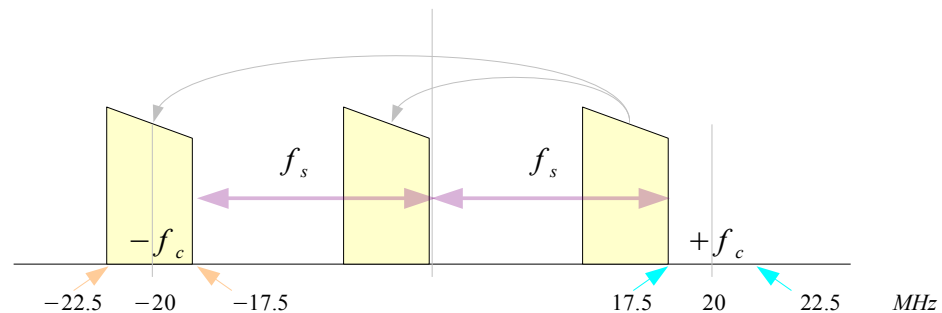


mirror

- **Bandpass Sampling**
- **IF filtering**
- **Harmonic Sampling**
- **Sub-Nyquist Sampling**

Nyquist Criterion

$2B \leq f_s$



# Sampling Frequency $f_s$ (1)

Assume there are  $m$  multiples of  $f_s$

$$2f_c - B = m \cdot f_s$$

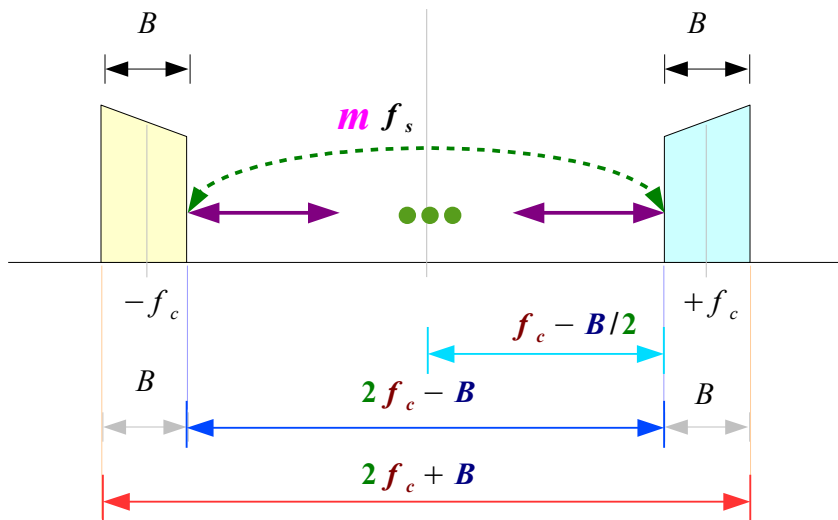
Given an integer  $m$

Max  $f_s$  condition

$f_s$  can be decreased according to the following condition without introducing aliasing problems

$$2f_c + B = (m+1) \cdot f_s$$

Min  $f_s$  condition



Given Band-pass Signal is characterized by

- Bandwidth  $B$
- Carrier Frequency  $f_c$

$$\frac{2f_c + B}{m+1}$$

$$\leq f_s \leq$$

$$\frac{2f_c - B}{m}$$

# Sampling Frequency $f_s$ (2)

$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_c + B}{(m + 1)B} \leq \frac{f_s}{B} \leq \frac{2f_c - B}{mB}$$

Given Band-pass Signal is characterized by

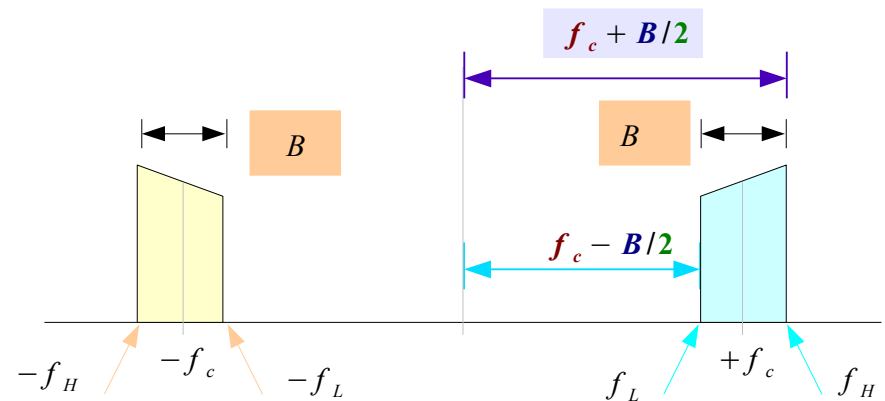
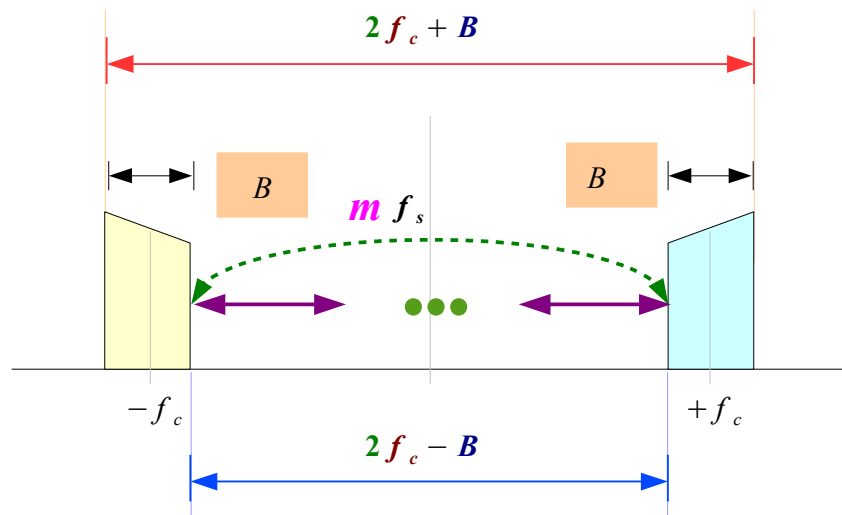
- Bandwidth  $B$
- Carrier Frequency  $f_c$

➔ Normalization by  $B$

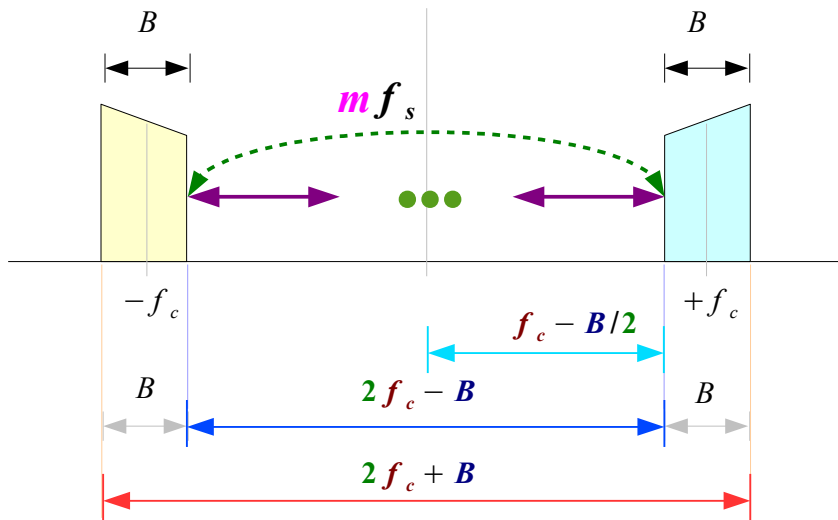
$$\frac{2f_H}{(m + 1)B} \leq \frac{f_s}{B} \leq \frac{2f_L}{mB}$$

$$f_H = f_c + B/2 \quad \text{Highest frequency}$$

$$f_L = f_c - B/2 \quad \text{Lowest frequency}$$



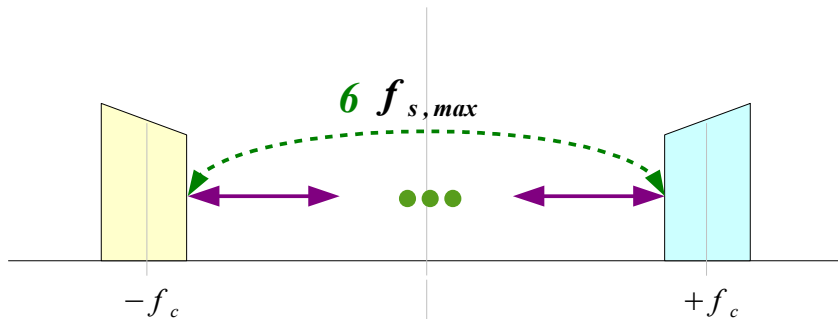
# Example $m=6$ (1)



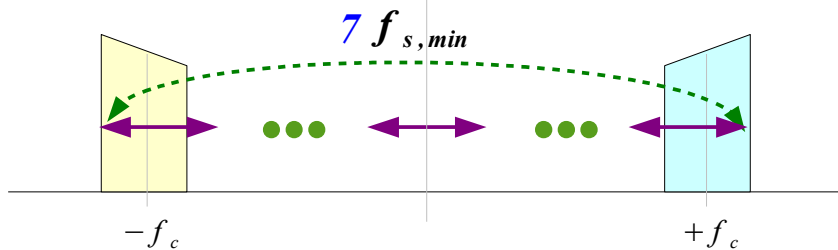
$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

When  $m = 6$

$$\min f_s = \frac{2f_c + B}{7} \leq f_s \leq \frac{2f_c - B}{6} = \max f_s$$



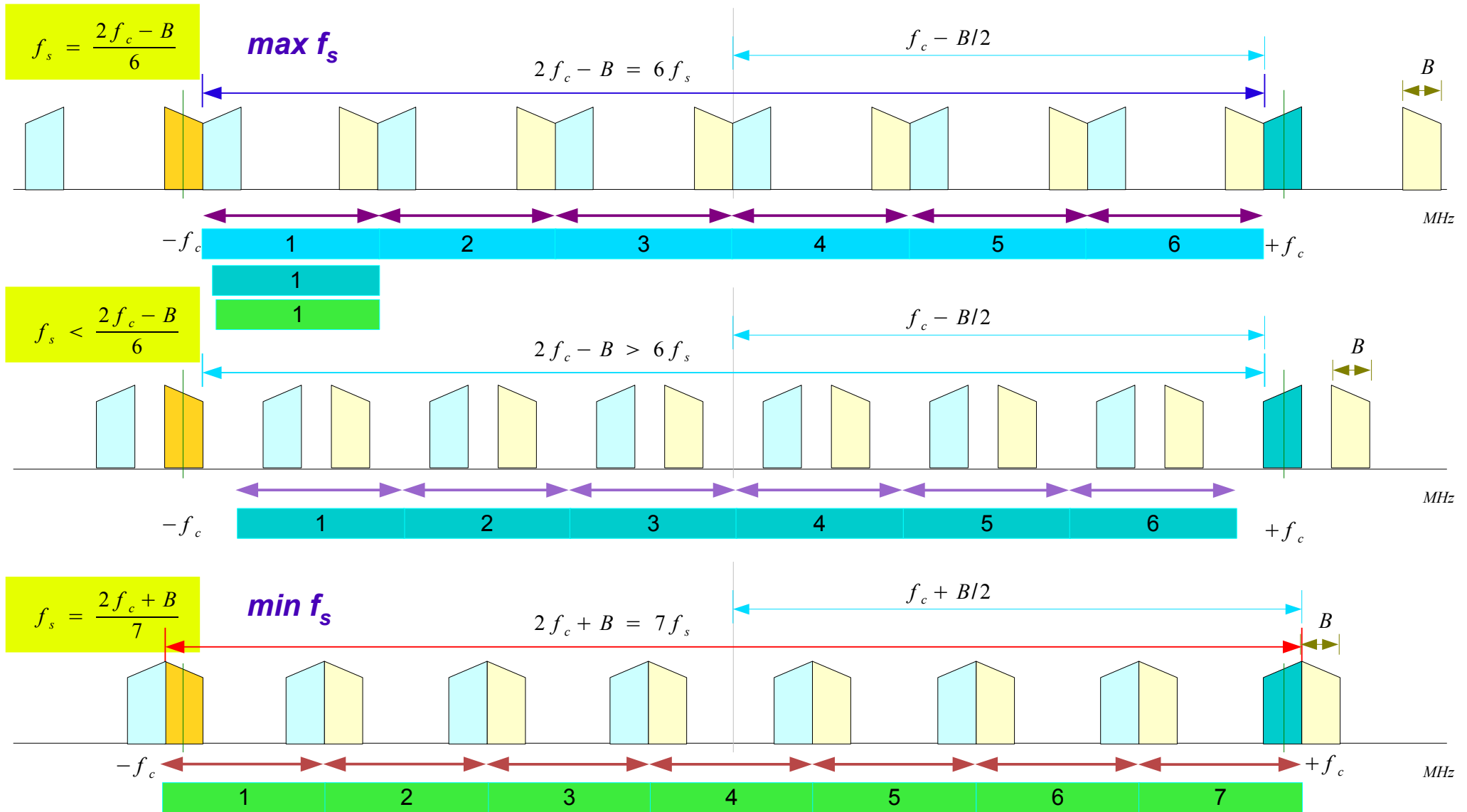
$$\max f_s = \frac{2f_c - B}{6}$$



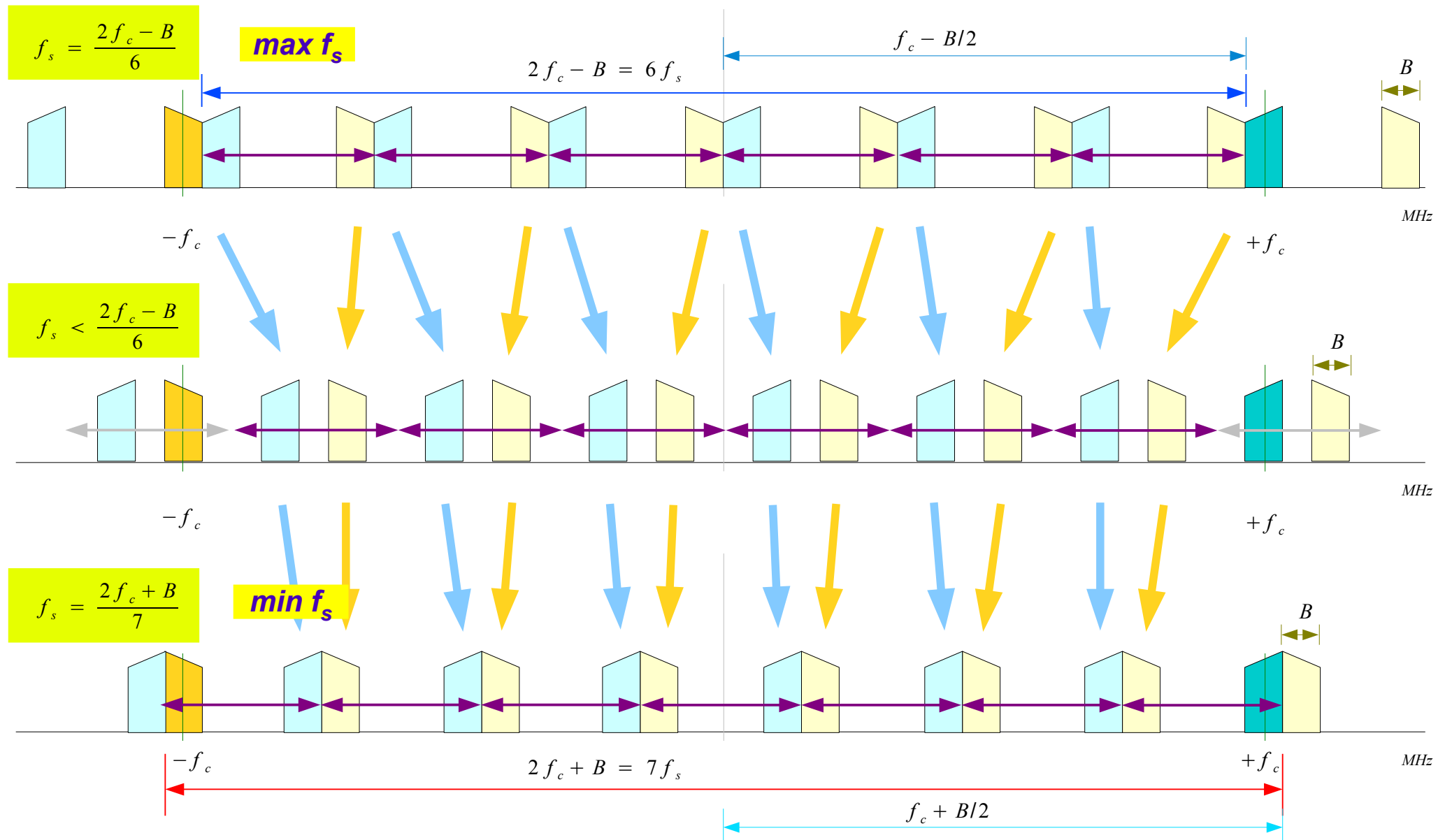
$$\min f_s = \frac{2f_c + B}{7}$$



# Example m=6 (2)



# Example m=6 (3)



# Minimum $f_s$ Plot (1)

$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{f_c + B/2}{B} = R \quad \rightarrow \mathbf{X}$$

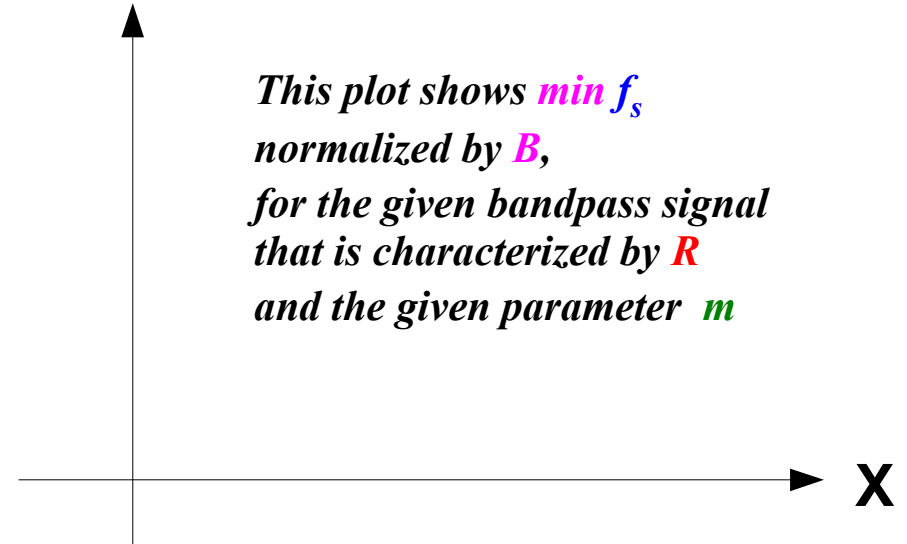
$\rightarrow$  highest signal frequency  
bandwidth  $B$

$$\frac{2f_c + B}{(m + 1)B} = \frac{f_{s,min}}{B} \quad \rightarrow \mathbf{Y}$$

$\rightarrow$  minimum sampling rate  
bandwidth  $B$

## X-Y Plot

$$\mathbf{Y} \quad \frac{f_{s,min}}{B}$$



*This plot shows  $\min f_s$  normalized by  $B$ , for the given bandpass signal that is characterized by  $R$  and the given parameter  $m$*

Characterized by

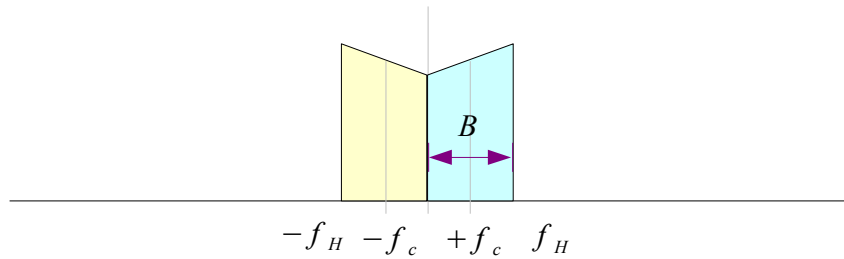
- Bandwidth  $B$
- Carrier Frequency  $f_c$

$$R = \frac{f_H}{B} = \frac{f_c + B/2}{B}$$

# Minimum $f_s$ Plot (2)

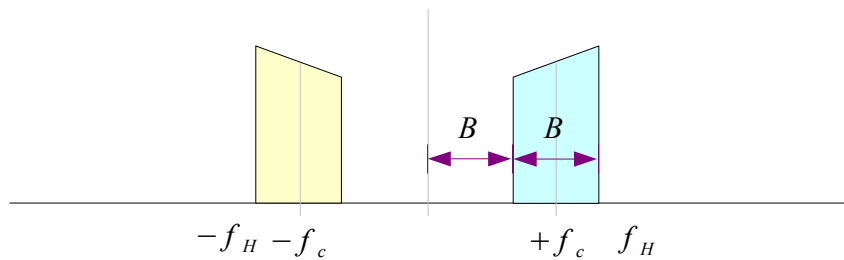
$$f_H = f_c + B/2 = 1B$$

$$R = f_H / B = 1$$



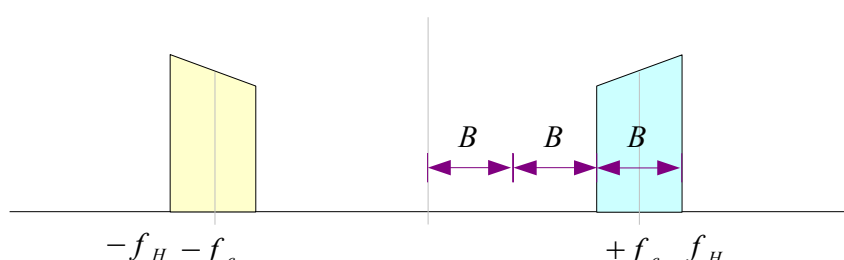
$$f_H = f_c + B/2 = 2B$$

$$R = f_H / B = 2$$

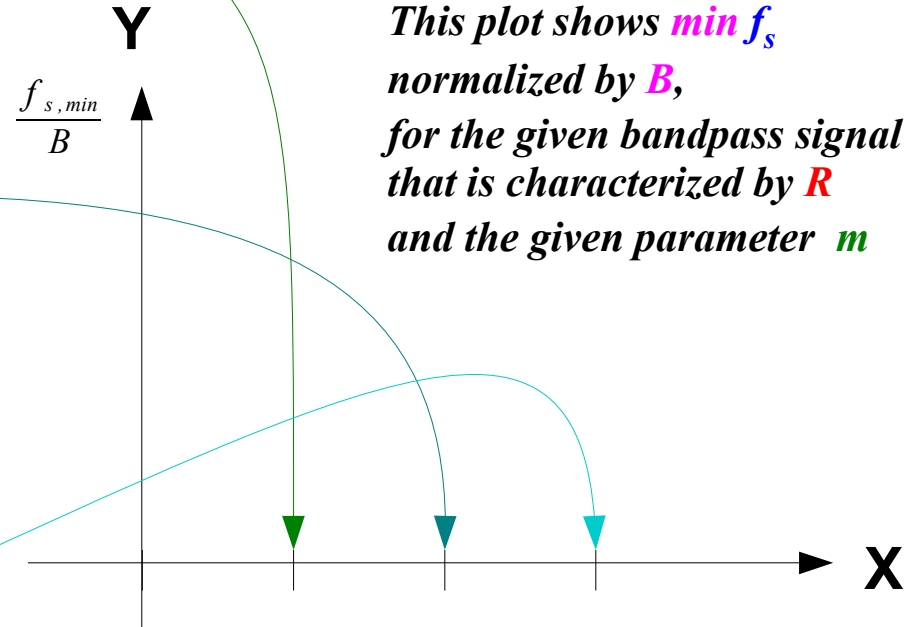


$$f_H = f_c + B/2 = 3B$$

$$R = f_H / B = 3$$



## X-Y Plot



This plot shows  $\min f_s$  normalized by  $B$ , for the given bandpass signal that is characterized by  $R$  and the given parameter  $m$

Characterized by

- Bandwidth  $B$
- Carrier Frequency  $f_c$

$$R = \frac{f_H}{B} = \frac{f_c + B/2}{B}$$

# Minimum $f_s$ Plot (3)

$$\frac{2f_c + B}{m+1} \leq f_s \leq \frac{2f_c - B}{m}$$

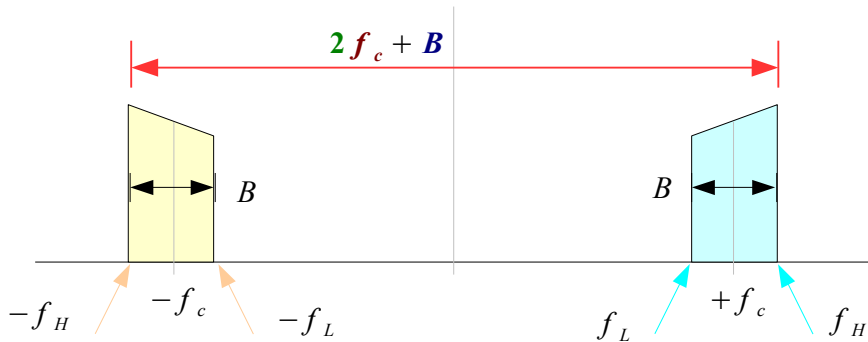
$$g(m, R) = \frac{2}{(m+1)} \frac{f_H}{B} = \frac{2}{(m+1)} R$$

$$\frac{f_H}{B} = \mathbf{X} \quad \Rightarrow \quad \frac{f_c + B/2}{B} = R$$

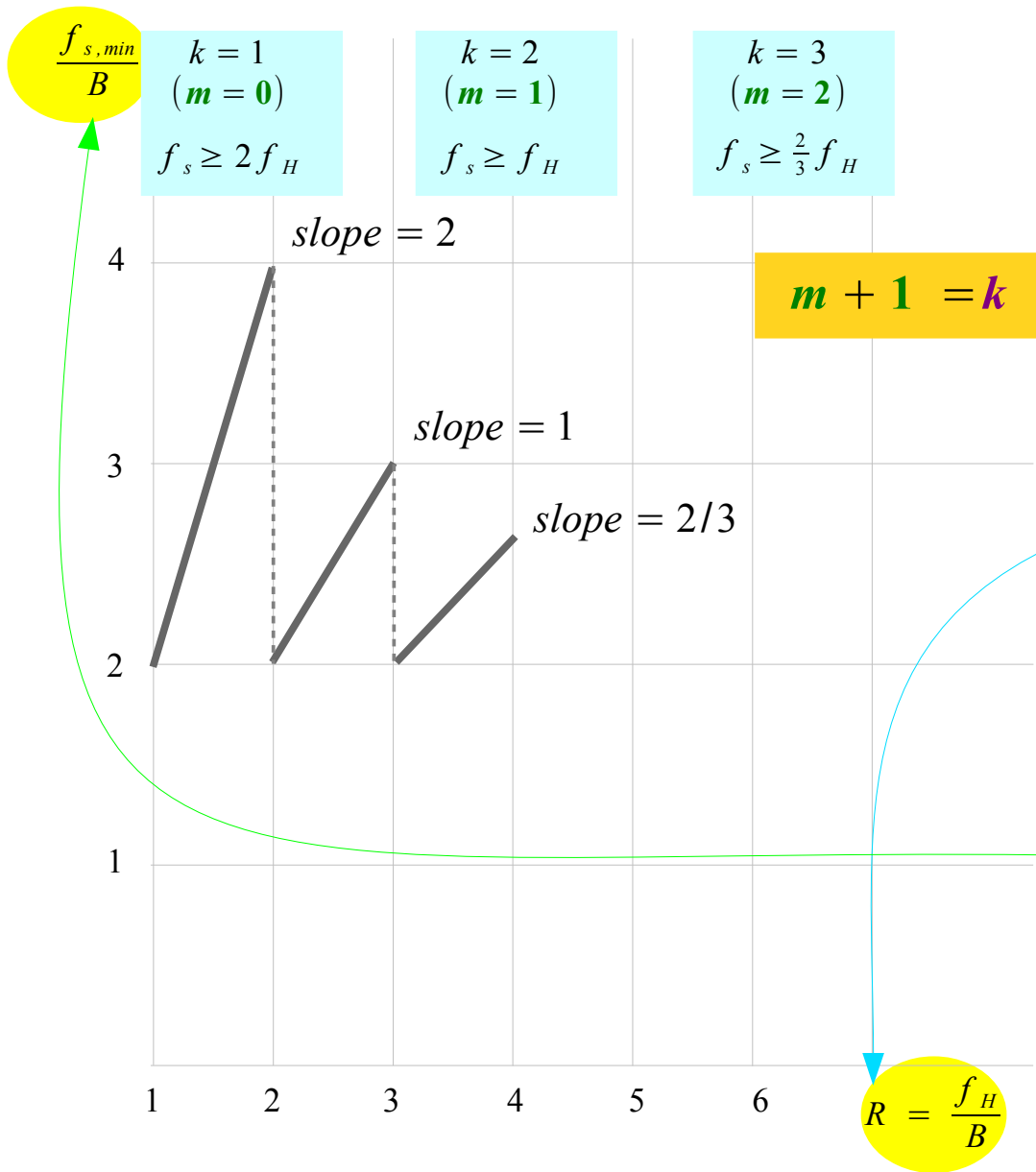
$$\frac{f_{s, \min}}{B} = \mathbf{Y} \quad \Rightarrow \quad \frac{2f_c + B}{(m+1)B} = \frac{2f_H}{(m+1)B}$$

$\Rightarrow g(m, R)$

$m = 0$	$g(0, R) = 2R$	<i>slope = 2</i>
$m = 1$	$g(1, R) = R$	<i>slope = 1</i>
$m = 2$	$g(2, R) = \frac{2}{3}R$	<i>slope = 2/3</i>
$m = 3$	$g(3, R) = \frac{1}{2}R$	<i>slope = 1/2</i>
$m = 4$	$g(4, R) = \frac{2}{5}R$	<i>slope = 2/5</i>
$m = 5$	$g(5, R) = \frac{1}{3}R$	<i>slope = 1/3</i>
$m = 6$	$g(6, R) = \frac{2}{7}R$	<i>slope = 2/7</i>
$m = 7$	$g(7, R) = \frac{1}{4}R$	<i>slope = 1/4</i>
$m = 8$	$g(8, R) = \frac{2}{9}R$	<i>slope = 2/9</i>



# Minimum $f_s$ Plot (3)



$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$

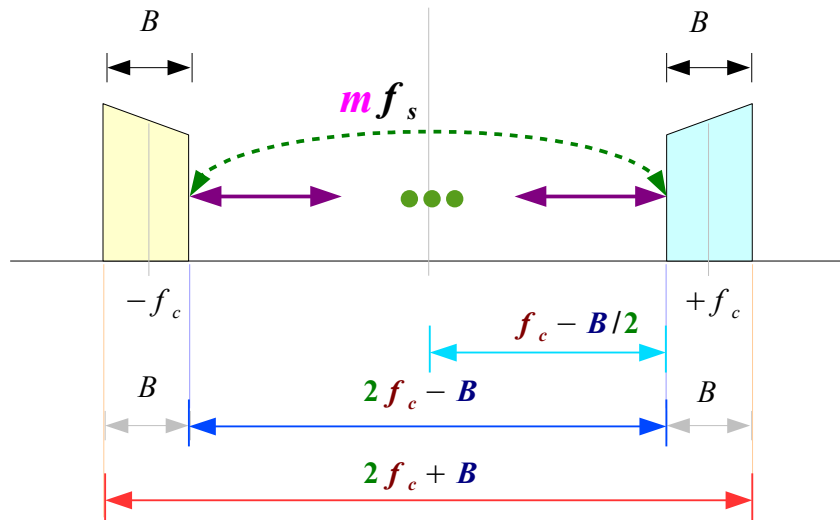
$$\frac{f_c + B/2}{B} = R$$

highest signal frequency  
 bandwidth  $B$

$$\frac{2f_c + B}{(m + 1)B} = \frac{f_{s,min}}{B} = g(m, R)$$

minimum sampling rate  
 bandwidth  $B$

# Range of $f_s$ (1)



$$\frac{2f_c + B}{(m+1)} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_H}{(m+1)} \leq f_s \leq \frac{2f_L}{m}$$

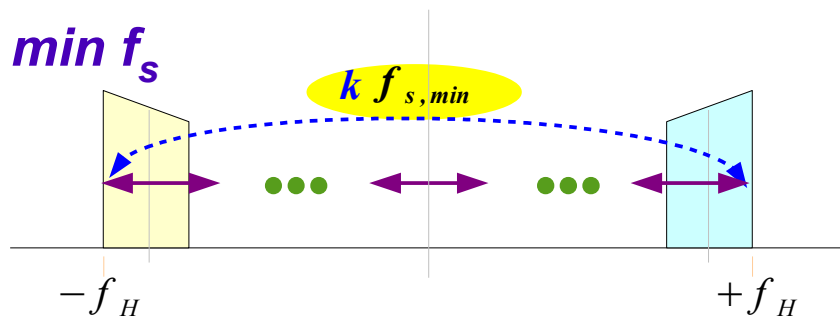
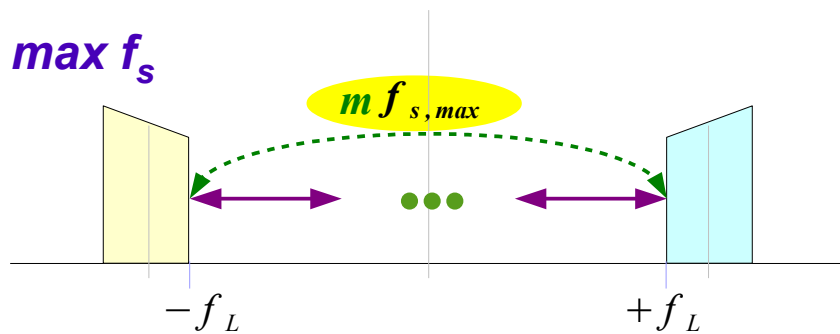
$$m + 1 = k$$

$m$  represents how many  $f_s$  are in  $2f_c - B$  in  $\max f_s$

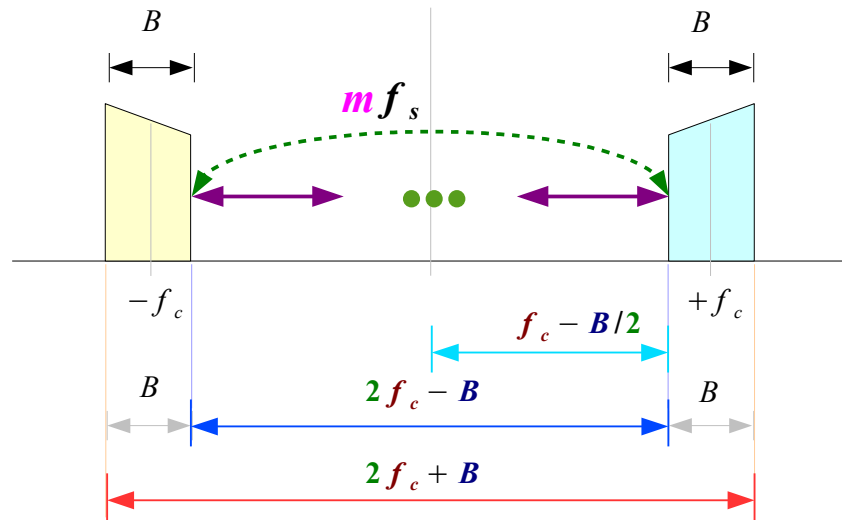
$$\max f_s = \frac{2f_c - B}{m} = \frac{2f_L}{m}$$

$k$  represents how many  $f_s$  are in  $2f_c + B$  in  $\min f_s$

$$\min f_s = \frac{2f_c + B}{k} = \frac{2f_H}{k}$$



# Range of $f_s$ (2)



$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

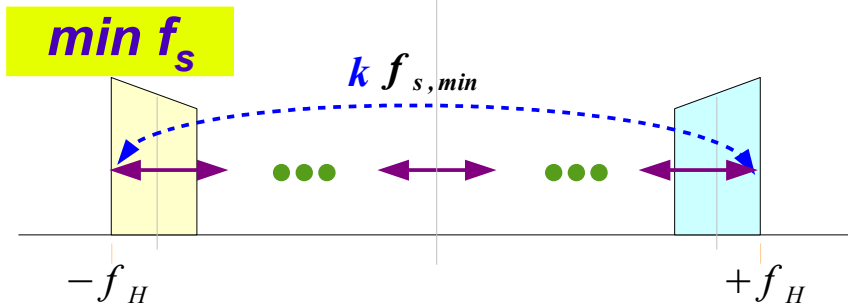
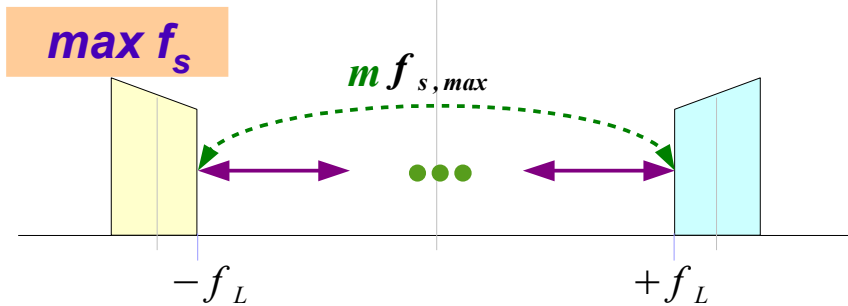
$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$

$$m + 1 = k$$

$$\text{min } f_s$$

$$\text{max } f_s$$

$$\frac{2f_H}{k} \leq f_s \leq \frac{2f_L}{m}$$



$$k = 2 \quad f_H \leq f_s \leq 2f_L \quad m = 1$$

$$k = 3 \quad \frac{2}{3}f_H \leq f_s \leq f_L \quad m = 2$$

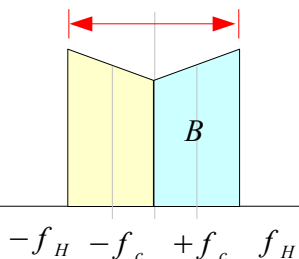
$$k = 4 \quad \frac{1}{2}f_H \leq f_s \leq \frac{2}{3}f_L \quad m = 3$$



# Example $k=1$

$k = 1$   
( $m = 0$ )

$f_H = f_c + B/2 = 1B$



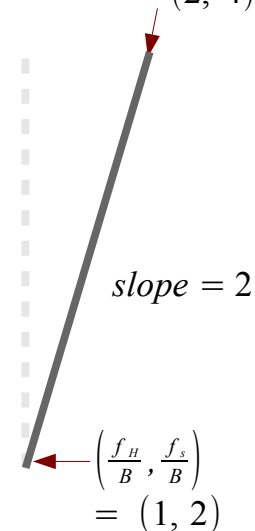
$R = f_H / B = 1$

$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2$

$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = +\infty$

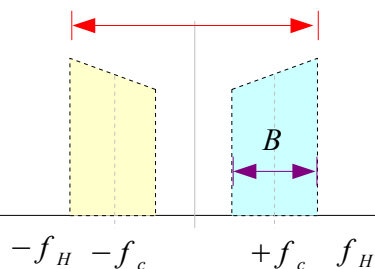
$R \in [1, 2]$

$\left(\frac{f_H}{B}, \frac{f_s}{B}\right) = (2, 4)$



$k = 1$   
( $m = 0$ )

$f_H = f_c + B/2 = 1.5B$



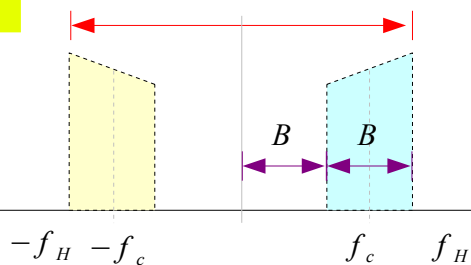
$R = f_H / B = 1.5$

$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 3$

$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = +\infty$

$k = 1$   
( $m = 0$ )

$f_H = f_c + B/2 = 2B$



$R = f_H / B = 2$

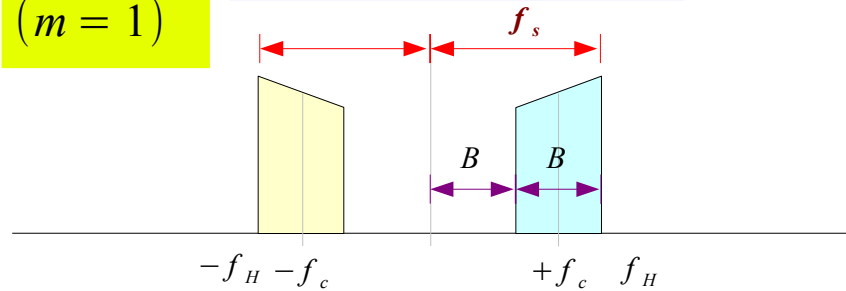
$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 4$

$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = +\infty$

# Example $k=2$

$k = 2$   
( $m = 1$ )

$$f_H = f_c + B/2 = 2B$$



$$R = f_H / B = 2$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 2$$

$$R = f_H / B = 2.5$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2.5$$

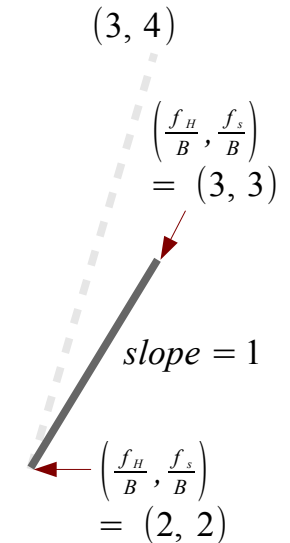
$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 3$$

$$R = f_H / B = 3$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 3$$

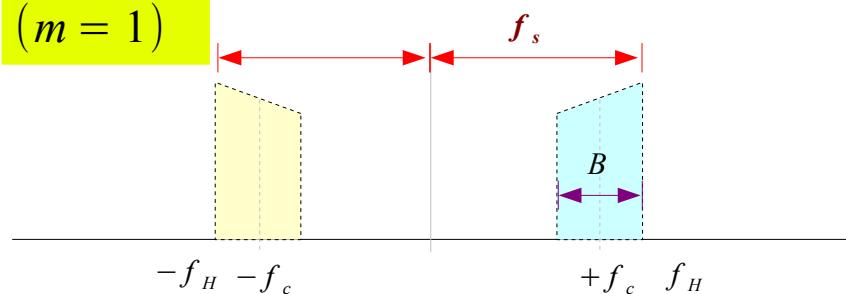
$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 4$$

$$R \in [2, 3]$$



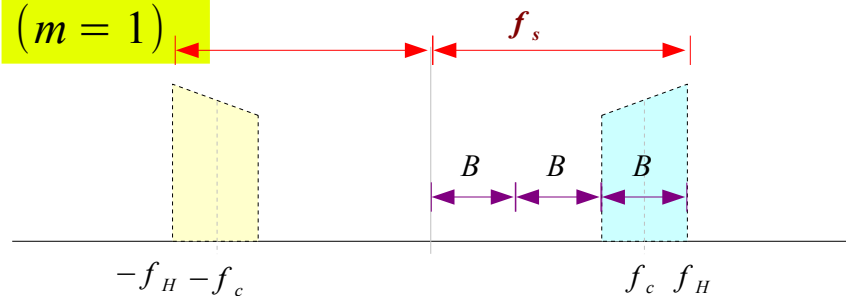
$k = 2$   
( $m = 1$ )

$$f_H = f_c + B/2 = 2.5B$$

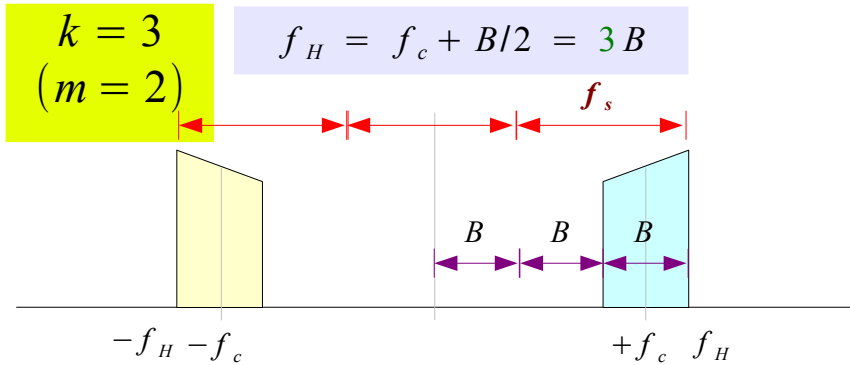


$k = 2$   
( $m = 1$ )

$$f_H = f_c + B/2 = 3B$$



# Example $k=3$

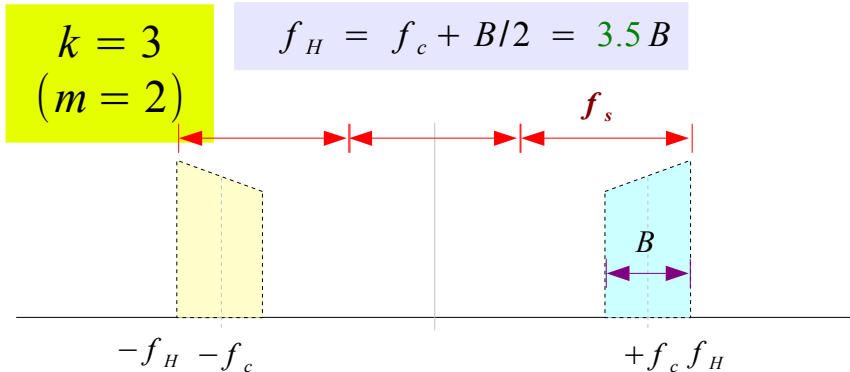


$$R = f_H / B = 3$$

$$R \in [3, 4]$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = 2$$

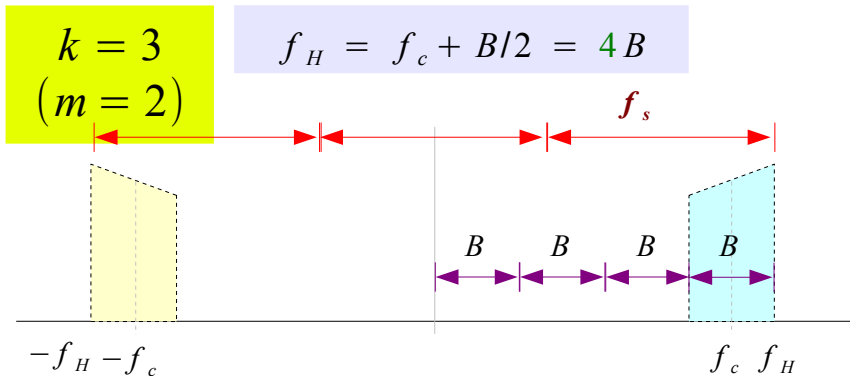
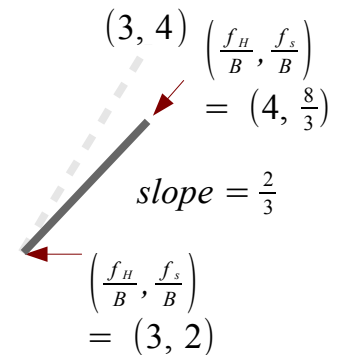
$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 2$$



$$R = f_H / B = 3.5$$

$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = \frac{7}{3}$$

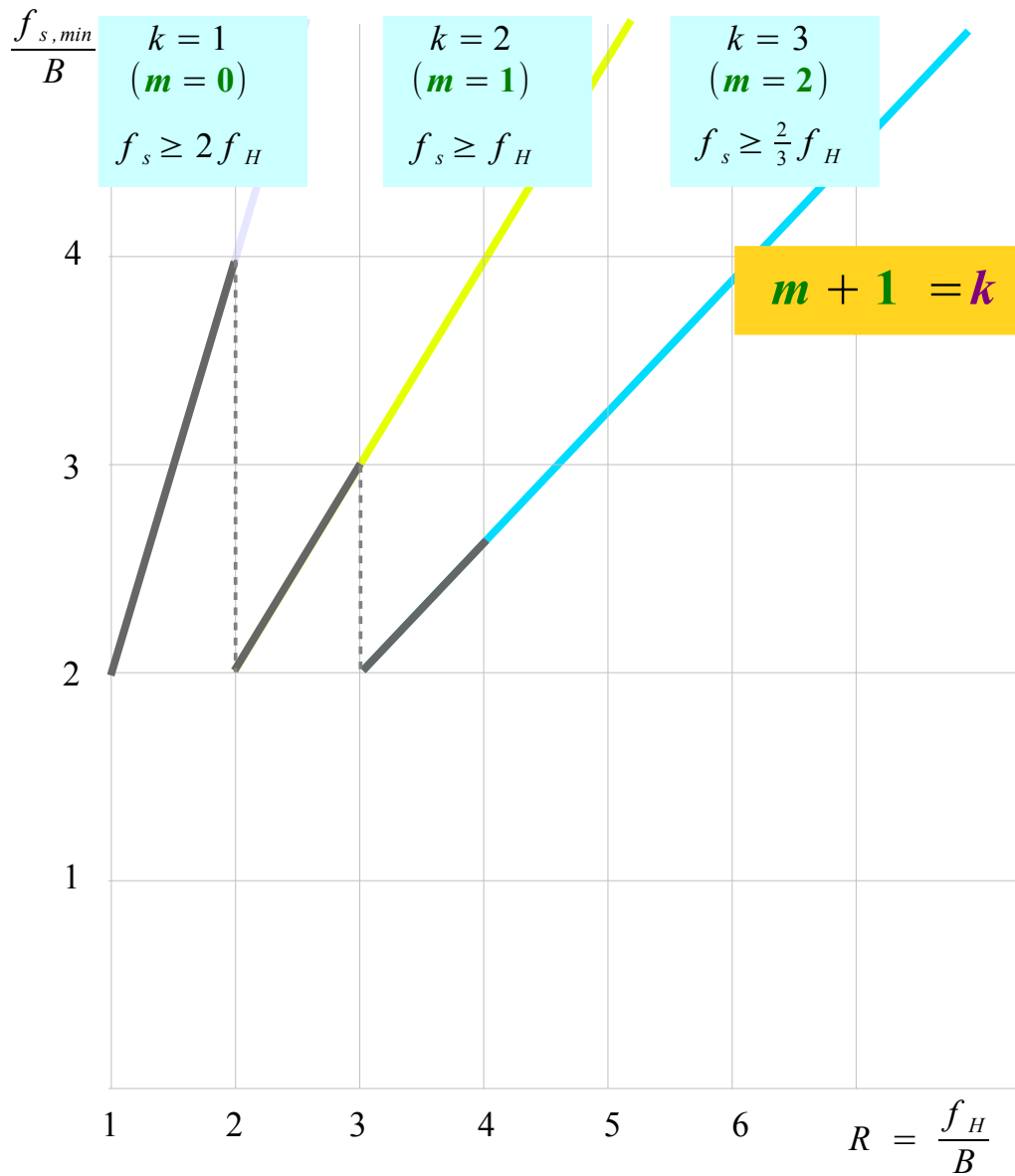
$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 3$$



$$R = f_H / B = 4$$

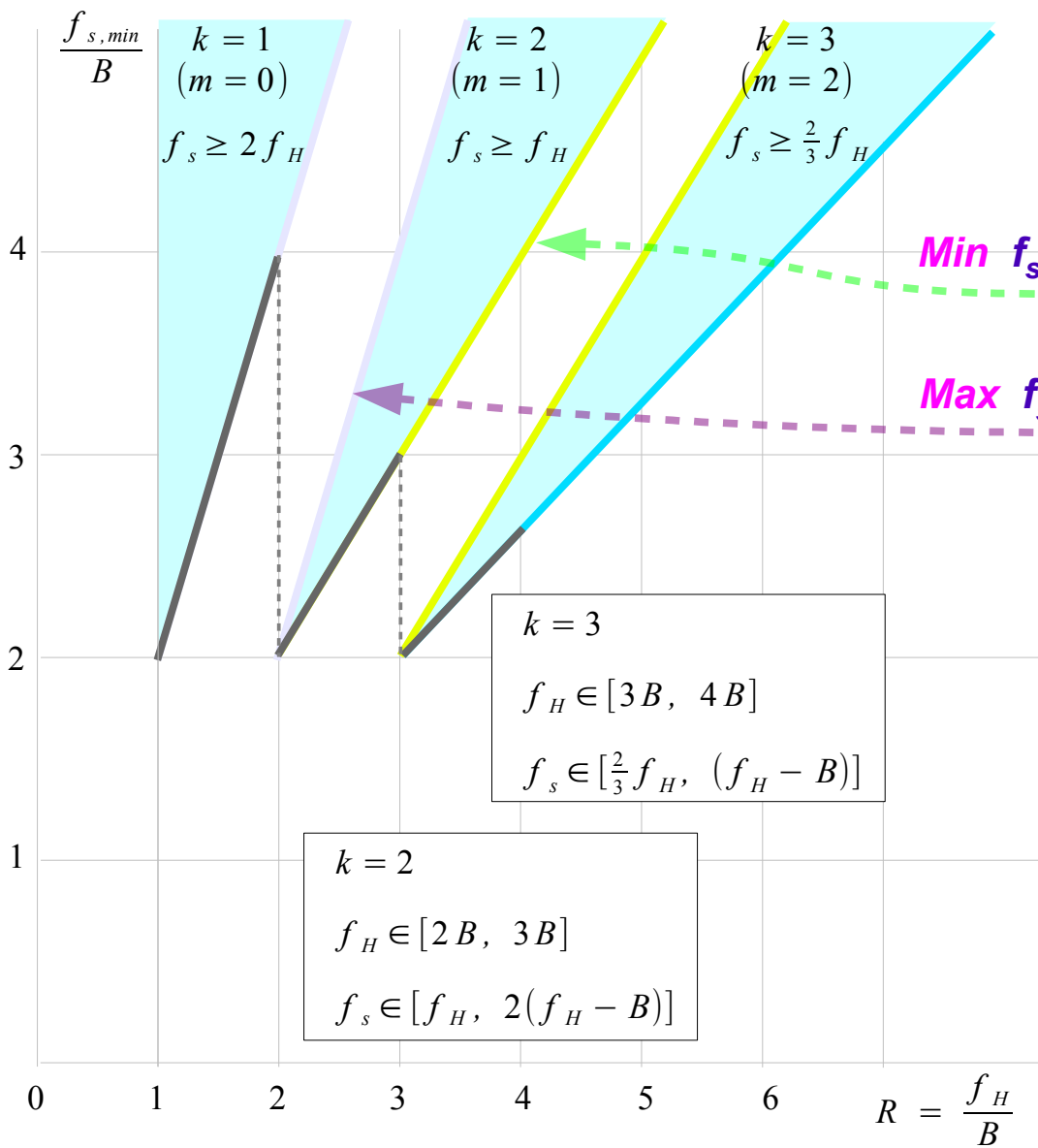
$$\frac{f_{s,min}}{B} = \frac{2f_H}{kB} = \frac{8}{3}$$

$$\frac{f_{s,max}}{B} = \frac{2(f_H - B)}{(k-1)B} = 4$$



$$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$$

$$\frac{2f_c + B}{k} \leq f_s \leq \frac{2f_c - B}{k - 1}$$



$\frac{2f_c + B}{m+1}$	$\leq f_s \leq$	$\frac{2f_c - B}{m}$
$\frac{2f_c + B}{k}$	$\leq f_s \leq$	$\frac{2f_c - B}{k-1}$

	<b>Max <math>f_s</math></b>	<b>Min <math>f_s</math></b>
	$y = 2(x-2)+2$	$y = 1(x-2)+2$
$k = 2$	$y = 2x-2$	$y = x$
	$y = 1(x-3)+2$	$y = \frac{2}{3}(x-3)+2$
$k = 2$	$y = x-1$	$y = \frac{2}{3}x$

# Range of $f_s$ (3)

For a given $m$	$\frac{2f_c + B}{m + 1} \leq f_s \leq \frac{2f_c - B}{m}$	Nyquist Criterion	$2B \leq f_s$	
$f_c = 20 \text{ MHz}$ $B = 5 \text{ MHz}$	↓	↓		
	$\min f_s$	$\max f_s$	Optimum Sampling Frequency	
$m = 1$	→	$\frac{2 \cdot 20 + 5}{1 + 1} = 22.5 \leq f_s \leq \frac{2 \cdot 20 - 5}{1} = 35$	→	$f_s = 22.5 \text{ MHz}$ ( $10 \leq f_s$ )
$m = 2$	→	$\frac{2 \cdot 20 + 5}{2 + 1} = 15 \leq f_s \leq \frac{2 \cdot 20 - 5}{2} = 17.5$	→	$f_s = 17.5 \text{ MHz}$ ( $10 \leq f_s$ )
$m = 3$	→	$\frac{2 \cdot 20 + 5}{3 + 1} = 11.25 \leq f_s \leq \frac{2 \cdot 20 - 5}{3} = 11.67$	→	$f_s = 11.25 \text{ MHz}$ ( $10 \leq f_s$ )
$m = 4$	→	$\frac{2 \cdot 20 + 5}{4 + 1} = 9 \geq \frac{2 \cdot 20 - 5}{4} = 8.75$	→	X
$m = 5$	→	$\frac{2 \cdot 20 + 5}{5 + 1} = 7.5 \geq \frac{2 \cdot 20 - 5}{5} = 7.0$	→	X



## References

- [1] <http://en.wikipedia.org/>
- [2] J.H. McClellan, et al., Signal Processing First, Pearson Prentice Hall, 2003
- [3] A "graphical interpretation" of the DFT and FFT, by Steve Mann
- [4] R. G. Lyons, Understanding Digital Signal Processing, 1997